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## An elementary class extending abelian-by- $G$ groups, for $G$ infinite

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**Teoria dei gruppi.** — *An elementary class extending abelian-by- $G$  groups, for  $G$  infinite.* Nota (\*) di CARLO TOFFALORI, presentata dal Socio G. Zappa.

ABSTRACT. — We show that for no infinite group  $G$  the class of abelian-by- $G$  groups is elementary, but, at least when  $G$  is an infinite elementary abelian  $p$ -group (with  $p$  prime), the class of groups admitting a normal abelian subgroup whose quotient group is elementarily equivalent to  $G$  is elementary.

KEY WORDS: Elementary class of structures; Abelian-by- $G$  group; Commutator.

RIASSUNTO. — *Una classe elementare di gruppi abeliani-per- $G$ , con  $G$  infinito.* Si dimostra che, per ogni gruppo infinito  $G$ , la classe dei gruppi abeliani-per- $G$  non è elementare; tuttavia, se  $G$  è un  $p$ -gruppo abeliano elementare infinito per qualche primo  $p$ , allora la classe dei gruppi che hanno un sottogruppo normale abeliano con gruppo quoziente elementarmente equivalente a  $G$  è elementare.

Fix a group  $G$ . A group  $S$  is said to be abelian-by- $G$  if and only if  $S$  has a normal abelian subgroup  $A$  such that the quotient group  $S/A$  is isomorphic to  $G$ . Then the conjugation in  $A$  equips the subgroup  $A$  with a canonical structure of module over the group ring  $\mathbf{Z}[G]$ . When  $G$  is finite, abelian-by- $G$  groups closely extend abelian groups, and it turns out that, from a model theoretic point of view, most information about the abelian-by- $G$  group  $S$  is obtained by looking at  $A$ , viewed as a  $\mathbf{Z}[G]$ -module (see [2], for instance). When  $G$  is infinite, the connection between  $S$  and  $A$  is, of course, much less immediate. But  $S$  is still a group extension (with abelian kernel) of  $A$  by  $G$ , and it is worth examining what kind of model theoretic information about  $S$  is provided by  $A$ . The present *Note* is partly concerned with this problem.

More precisely, here is the aim of this paper.

When  $G$  is finite, it is known that abelian-by- $G$  groups are an elementary class, in other words they can be axiomatized within the first order language for groups, and even by a single sentence; this was shown in [2] when the order of  $G$  is squarefree, and in [3] in the general setting. When  $G$  is infinite, this elementarity result does not hold (see the proposition below). However one might consider alternatively, for any group  $G$ , the class  $\mathbf{K}(G)$  of the groups  $S$  admitting a normal abelian subgroup  $A$  whose quotient group  $S/A$  is elementarily equivalent to  $G$ . When  $G$  is finite,  $\mathbf{K}(G)$  is just the class of abelian-by- $G$  groups, and so is elementary owing to [3]. When  $G$  is infinite, there are again several examples witnessing that  $\mathbf{K}(G)$  may be non-elementary. However we will show that, for some infinite  $G$  (more precisely when  $G$  is an infinite abelian group of prime exponent),  $\mathbf{K}(G)$  is elementary.

We refer to [5] for group theory, to [1] for model theory, and to [4] for model theory of modules (in particular of abelian groups).

PROPOSITION. Let  $G$  be an infinite group. Then the class of abelian-by- $G$  groups is not elementary.

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PROOF. Let  $A$  be the additive group of the group ring  $\mathbf{Z}[G]$  where  $\mathbf{Z}$  is the ring of integers. Regard the elements of  $A$  as sequences of integers  $(a_b)_{b \in G}$ , with  $a_b = 0$  almost everywhere; the operation in  $A$  is the addition defined componentwise, but we prefer to adopt the multiplicative notation for  $A$ , and to reserve the additive notation for  $\mathbf{Z}$ . Let  $S$  be the semidirect product of  $A$  and  $G$ , where  $G$  acts on  $A$  in the obvious way: for  $g \in G$ ,  $a = (a_b)_{b \in G} \in A$ ,  $a^g = (a_{bg^{-1}})_{b \in G}$ . This action is faithful. Furthermore the following facts hold.

1.  $A$  is a normal subgroup of  $S$ ,  $G$  is a subgroup of  $S$ ,  $S = A \cdot G$ , and the only element in  $A \cap G$  is the identity  $1_G$  of  $G$ .

2.  $A$  is abelian, and is a maximal abelian subgroup in  $S$ ; in fact, let  $g \in G$ ,  $g \neq 1_G$ ,  $a, b \in A$ , then  $b(ag) = (ba)g$ ,  $(ag)b = (ab^g)g = (b^g a)g$ , and  $g \neq 1_G$  forces  $b^g \neq b$  for some  $b \in A$ ; accordingly  $b$  and  $ag$  do not commute.

3. For every  $s \in S - A$ , the centralizer of  $s$  in  $S$  has infinite index in  $S$ . In fact let  $s = ag$  with  $a \in A$ ,  $g \in G$  and  $g \neq 1_G$ ; we claim that the conjugacy class of  $s$  is infinite. For  $b \in A$ ,  $b^{-1}agb = (b^{-1}ab^g)g$  where  $b^{-1}ab^g = (-b_b + a_b + b_{bg^{-1}})_{b \in G}$ ; fix  $h \in G$  and  $b_h \in \mathbf{Z}$ ; use  $g \neq 1_G$ , and let  $b_{hg^{-1}}$  range over the integers; accordingly  $-b_b + a_b + b_{hg^{-1}}$  assumes infinitely many values. Hence  $s = ag$  has infinitely many conjugates.

Now enlarge the first order language for groups by two 1-ary relation symbols, and in the new language consider the structure  $(S, A, G)$ . Notice that the properties 1, 2 and 3 above (in particular the fact that  $S$  is the semidirect product of  $A$  and  $G$ ) can be expressed in the new language by (possibly infinitely many) first order sentences. Take an elementary extension  $(S', A', G')$  of  $(S, A, G)$  such that  $(S', A', G')$  is  $\lambda$ -saturated for some cardinal  $\lambda$  greater than the power  $|G|$  of  $G$ . Then  $A'$  is a normal abelian subgroup of  $S'$ , but  $S'/A' \simeq G'$ , and so  $S'/A'$  cannot be isomorphic to  $G$ , because  $|G'| = \lambda > |G|$ . More generally, pick a normal abelian subgroup  $H'$  of  $S'$ . If  $H'$  is included in  $A'$ , then  $|S'/H'| = \lambda$ , hence  $S'/H'$  cannot be isomorphic to  $G$ . Otherwise there exists some element  $s'$  in  $H' - A'$ ; as  $H'$  is abelian, the centralizer of  $s'$  includes  $H'$ . But this centralizer has infinite index (so, index  $\lambda$ ) in  $S'$ . Consequently  $H'$  has index  $\lambda$  in  $S'$ , too. Hence  $S'/H'$  is not isomorphic to  $G$ . In conclusion  $S'$  is elementarily equivalent to  $S$ , but  $S'$  is not abelian-by- $G$ . ■

DEFINITION. For every group  $G$ ,  $\mathbf{K}(G)$  is the class of the groups  $S$  such that, for some normal abelian subgroup  $A$ ,  $S/A$  is elementarily equivalent to  $G$ .

We wish to deal with the following

PROBLEM. For which groups  $G$  is  $\mathbf{K}(G)$  an elementary class (in the first order language for groups)?

REMARKS. 1. As observed before, if  $G$  is finite, then  $\mathbf{K}(G)$  is the class of abelian-by- $G$  groups, and so is elementary; actually a single sentence axiomatizes  $\mathbf{K}(G)$  [3].

2. Of course, for  $G$  infinite, we can assume  $G$  countable.

3. Let us exhibit some (very familiar) examples of infinite (indecomposable) abelian groups  $G$  such that  $\mathbf{K}(G)$  is not elementary.

(3.1) Let  $G = \mathbf{Q}$  be the additive group of rationals. For every prime  $p$ ,  $\mathbf{Z}/p^\infty \oplus \mathbf{Q}$  is elementarily equivalent to  $\mathbf{Z}/p^\infty$ . But  $\mathbf{Z}/p^\infty \oplus \mathbf{Q} \in \mathbf{K}(G)$ , while  $\mathbf{Z}/p^\infty \notin \mathbf{K}(G)$  because  $\mathbf{Z}/p^\infty$  is a torsion group, and so no homomorphic image of  $\mathbf{Z}/p^\infty$  can be a model of the theory of  $\mathbf{Q}$ , in particular torsionfree. So  $\mathbf{K}(G)$  is not elementary.

(3.2) Now let  $G = \mathbf{Z}_p$  be the localization of  $\mathbf{Z}$  at a given prime  $p$  (recall that  $G$  is elementarily equivalent to the pure injective hull of  $\mathbf{Z}_p$ , so to its  $p$ -adic completion). Put  $A = \bigoplus_n \mathbf{Z}/p^n$  where  $n$  ranges over the positive integers. Then  $A$  is elementarily equivalent to  $A \oplus \mathbf{Z}_p$ . Moreover  $A \oplus \mathbf{Z}_p \in \mathbf{K}(G)$ , but  $A \notin \mathbf{K}(G)$  because  $A$  is torsion, and any model of the theory of  $\mathbf{Z}_p$  is torsionfree. Hence  $\mathbf{K}(G)$  is not elementary.

(3.3) A similar argument shows that  $\mathbf{K}(\mathbf{Z})$  is not elementary. In fact, when  $p$  ranges over the primes, and  $n$  over the positive integers

$$\bigoplus_{p,n} \mathbf{Z}/p^n \equiv \left( \bigoplus_{p,n} \mathbf{Z}/p^n \right) \oplus \mathbf{Z}$$

(both groups are elementarily equivalent to  $\bigoplus_p \left( \bigoplus_n \mathbf{Z}/p^\infty \oplus \mathbf{Z}_p \right)$ ); the latter group is in  $\mathbf{K}(\mathbf{Z})$  and the former is not.

(3.4) Finally let  $G = \mathbf{Z}/p^\infty$  for some prime  $p$ . Then  $\mathbf{Q}/\mathbf{Z}$  is isomorphic to  $\mathbf{Z}/p^\infty$ , whence  $\mathbf{Q}$ , as well as  $\mathbf{Z} \oplus \mathbf{Q}$ , is in  $\mathbf{K}(\mathbf{Z}/p^\infty)$ . But  $\mathbf{Z} \oplus \mathbf{Q}$  is elementarily equivalent to  $\mathbf{Z}$ , and  $\mathbf{Z}$  is not in  $\mathbf{K}(\mathbf{Z}/p^\infty)$  because any homomorphic image of  $\mathbf{Z}$  is either finite or isomorphic to  $\mathbf{Z}$ , and in no case can be elementarily equivalent to  $\mathbf{Z}/p^\infty$ .

However we wish to show now that, for some infinite groups  $G$ , the class  $\mathbf{K}(G)$  is elementary.

**THEOREM.** Let  $p$  be a prime,  $G$  be an infinite elementary abelian  $p$ -group. Then  $\mathbf{K}(G)$  is elementary.

**PROOF.** First let us fix some notation. For every group  $S$ , let  $S^p$  be the subgroup generated by the  $p$ -th powers in  $S$ , and  $S'$  be the derived subgroup of  $S$ . Both  $S^p$  and  $S'$  are normal in  $S$ . In particular  $S^p \cdot S'$  is a normal subgroup of  $S$ , too. We will divide our proof in two steps.

**STEP 1.** For every group  $S$ ,  $S \in \mathbf{K}(G)$  if and only if  $S$  satisfies the following conditions:

- (i)  $S^p \cdot S'$  is abelian;
- (ii)  $S^p \cdot S'$  has infinite index in  $S$ .

**STEP 2.** There are (infinitely many) sentences in the first order language for groups such that a group  $S$  satisfies all these sentences if and only if (i) and (ii) hold in  $S$ .

**PROOF OF STEP 1.** Let  $A$  be a normal abelian subgroup of  $S$  such that  $S/A$  is elementarily equivalent to  $G$ . Then  $S/A$  is an infinite elementary abelian  $p$ -group. Since  $S/A$  is

abelian,  $S'$  is a subgroup of  $A$ . Since  $S/A$  is an elementary  $p$ -group,  $S^p$  is a subgroup of  $A$  (in fact, for every  $s \in S$ ,  $s^p A = (sA)^p = A$ , so  $s^p \in A$ ). In particular both  $S'$  and  $S^p$  are abelian, moreover commutators and  $p$ -th powers commute in  $S$ . Hence  $S^p \cdot S'$  is abelian, and (i) holds. Furthermore  $A$  includes even  $S^p \cdot S'$ , and so  $S/(S^p \cdot S')$  is infinite because projects itself onto  $S/A$ . Accordingly, also (ii) holds.

Conversely, assume (i) and (ii). (i) says that  $S^p \cdot S'$  is abelian. As  $S^p \cdot S'$  contains  $S'$ , the quotient group  $S/(S^p \cdot S')$  is abelian. As  $S^p \cdot S'$  contains  $S^p$ ,  $S/(S^p \cdot S')$  is a  $p$ -group of exponent  $p$ . In conclusion,  $S/(S^p \cdot S')$  is an elementary abelian  $p$ -group. (ii) implies that  $S/(S^p \cdot S')$  is infinite; so  $S/(S^p \cdot S')$  is elementarily equivalent to  $G$ , and  $S \in \mathbf{K}(G)$  by means of  $A = S^p \cdot S'$ .

PROOF OF STEP 2. It is easy to write down a first order sentence saying that in  $S$  two commutators, or two  $p$ -th powers, or a commutator and a  $p$ -th power commute. So (i) can be expressed in the required way. Now we have to deal with (ii).

LEMMA. Let  $S$  be an abelian-by- $H$  group, where  $H$  is a finite group of order  $n$ , and  $H$  is abelian. Then every element in  $S'$  can be expressed as the product of at most  $3n^2$  commutators.

PROOF OF THE LEMMA. Let  $A$  be a normal abelian subgroup of  $S$  such that the quotient group  $S/A$  is isomorphic to  $H$ . Since  $H$  is abelian,  $S'$  is a (normal) subgroup of  $A$ . Let  $x_1, \dots, x_n$  be a set of representatives for the cosets of  $A$  in  $S$ , then every element  $s$  of  $S$  decomposes in a unique way as  $s = a \cdot x_j$ , where  $a$  is in  $A$ , and  $1 \leq j \leq n$ . Take  $a, b \in A$ ,  $1 \leq i, j \leq n$ , then  $[ax_j, bx_i] = [ax_j, x_i][ax_j, b]^{x_i} = [a, x_i]^{x_j}[x_j, x_i][a, b]^{x_j x_i}[x_j, b]^{x_i}$ , whence, using  $A$  abelian,  $[ax_j, bx_i] = [a, x_i]^{x_j}[x_j, x_i][x_j, b]^{x_i}$  (here, the exponents denote conjugation). Moreover, if  $a$  and  $b$  are in  $A$  and  $1 \leq i \leq n$ , then  $S' \subseteq A$  implies

$[a, x_i][b, x_i] = a^{-1} x_i^{-1} a [x_i^{-1}, b] x_i = a^{-1} x_i^{-1} [x_i^{-1}, b] a x_i = [ba, x_i] = [ab, x_i]$ , and, similarly,  $[x_i, a][x_i, b] = [x_i, ab]$ . Since  $S'$  is abelian, it follows that any product of commutators in  $S$  can be expressed as a product of  $[a, x_i]^{x_j}$ ,  $[x_i, x_j]$ ,  $[x_j, b]^{x_i}$  when  $i$  and  $j$  range over  $\{1, \dots, n\}$ , for a suitable choice of  $a$  and  $b$  in  $A$ , and so, in conclusion, as the product of at most  $3n^2$  commutators.

This concludes the proof of the Lemma. Now let us come back to Step 2. Assume (i), hence  $S^p \cdot S'$  abelian. If  $S^p \cdot S'$  has a finite index  $\leq n$  in  $S$ , then, owing to the Lemma, the following sentence  $\alpha_n$  is true in  $S$

$$\forall v_0 \dots \forall v_n \exists w \exists u_0 \dots \exists u_{3n^2-1} \left( \bigwedge_{i < 3n^2} \langle u_i \text{ is a commutator} \rangle \wedge \bigvee_{i < j \leq n} v_i v_j^{-1} = w^p \prod_{i < 3n^2} u_i \right).$$

In fact any element of  $S^p \cdot S'$  can be expressed as the product of a unique  $p$ -th power in  $S$  with at most  $3n^2$  commutators, because, for  $a$  and  $b$  in  $S$ ,  $a^p b^p = (ab)^p \text{ mod } S'$ . Conversely, if  $S$  satisfies  $\alpha_n$  for some positive integer  $n$ , then the index of  $S^p \cdot S'$  in  $S$  is  $\leq n$ . It follows that, when (i) holds,  $S/(S^p \cdot S')$  is infinite if and only if  $S$  satisfies  $\neg \alpha_n$  for all positive integers  $n$ . This translates (ii) (modulo (i)) into infinitely many first order sentences, and accomplishes the required axiomatization of  $\mathbf{K}(G)$ . ■

REMARK. Notice that a simpler approach can be followed when  $p = 2$ . In fact, in this case, for every group  $S$ ,  $S \in \mathbf{K}(G)$  if and only if  $S^2$  is abelian and  $S/S^2$  is infinite.

The direction from the right to the left is a consequence of the fact that  $S/S^2$  is a group of exponent 2, and hence is an elementary abelian 2-group. The other direction is implicit in the previous proof. « $S/S^2$  infinite» can be expressed by (infinitely many) first order sentences owing to the Oger result [3] saying that, in an abelian-by- $H$  group  $S$  with  $H$  finite of order  $n$  and exponent  $m$ , every element of  $S^m$  can be expressed as the product of at most  $2n - 1$   $m$ -th powers in  $S$ .

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