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JOSEPH W. LORIMER, NORMAN D. LANE

Morphisms of affine Hjelmslev planes

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Geometria. — *Morphisms of affine Hjelmslev planes.* Nota di JOSEPH W. LORIMER e NORMAN D. LANE, presentata (*) dal Socio B. SEGRE.

RIASSUNTO. — Si stabiliscono varie proprietà dei morfismi fra piani affini di Hjelmslev e caratterizzazioni degli isomorfismi fra quelli.

1. INTRODUCTION

If \mathbf{P} and \mathbf{L} are sets and $I \subseteq \mathbf{P} \times \mathbf{L}$ ($\parallel \subseteq \mathbf{L} \times \mathbf{L}$ is an equivalence relation), then $\mathcal{S} = \langle \mathbf{P}, \mathbf{L}, I \rangle$ ($\langle \mathbf{P}, \mathbf{L}, I, \parallel \rangle$) is an incidence structure (with parallelism). If \mathcal{S}_1 and \mathcal{S}_2 are two incidence structures (with parallelism), then a morphism from \mathcal{S}_1 to \mathcal{S}_2 is a pair (Φ, Ψ) , where Φ maps \mathbf{P}_1 to \mathbf{P}_2 , Ψ maps \mathbf{L}_1 to \mathbf{L}_2 , and incidence (and parallelism) is preserved.

Various authors have considered the conditions under which these morphisms are isomorphisms in special classes of incidence structures; cfr. André [1], Satz 3.1; Artmann [2], 1.1; Cronheim [5], p. 2; Dembowski [6]; and Corbas [4].

In this paper, we examine the above problem for affine Hjelmslev planes.

1.1. NOTATION. Let $\langle \mathbf{P}, \mathbf{L}, I, \parallel \rangle$ be an incidence structure with parallelism. The elements of \mathbf{P} [\mathbf{L}] are *points* [*lines*] and are denoted by P, Q, \dots [l, m, \dots]. We write $l \parallel m$ for $(l, m) \in \parallel$ and PIl for $(P, l) \in I$. P, QIl shall mean PIl and QIl . We put $g \wedge h = \{P \in \mathbf{P} \mid PIl, h\}$. If $A \subseteq \mathbf{P}$, $|A|$ is the cardinality of the set A . Define $(P, Q) \in \sim_P$ if there exist $l, m \in \mathbf{L}$, $l \not\parallel m$, such that P, QIl, m . We usually write $P \sim Q$ for $(P, Q) \in \sim_P$. Define $(l, m) \in \sim_L$ (or $l \sim m$) if for every PIl there exists QIm such that $P \sim Q$ and for every QIm there exists PIl such that $Q \sim P$. If $P \sim Q$ [$l \sim m$] we call P and Q [l and m] *neighbours*. If P and Q [l and m] are not neighbours, we write $P \not\sim Q$ [$l \not\sim m$].

An *affine Hjelmslev plane* $\mathcal{H} = \langle \mathbf{P}, \mathbf{L}, I, \parallel \rangle$, is an incidence structure with parallelism, which satisfies the following system of axioms.

- (A 1) For any two points P and Q there exists $l \in \mathbf{L}$ such that P, QIl . We write $l = PQ$ if $P \sim Q$;
- (A 2) There exist $P_1, P_2, P_3 \in \mathbf{P}$ such that $P_i P_j \sim P_i P_k$; $i \neq j \neq k \neq i$; $i, j, k = 1, 2, 3$;
- (A 3) \sim_P is transitive on \mathbf{P} ;
- (A 4) If PIg, h , then $g \sim h$ iff $|g \wedge h| = 1$;
- (A 5) If $g \sim h$; P, RIg ; Q, RIh ; and $P \sim Q$, then $R \sim P, Q$;

(*) Nella seduta del 29 giugno 1974.

- (A 6) If $g \sim h$; $j \sim g$; PIg, j ; and QIh, j ; then $P \sim Q$;
 (A 7) If $g \parallel h$; PIj, g ; and $g \sim j$; then $j \sim h$ and there exists Q such that QIh, j ;
 (A 8) For every $P \in \mathbf{P}$ and every $l \in \mathbf{L}$, there exists a unique line $L(P, l)$ such that $PIl(P, l)$ and $l \parallel L(P, l)$.

Let $\mathcal{H} = \langle \mathbf{P}, \mathbf{L}, I, \parallel \rangle$ be an affine Hjelmslev plane, henceforth called an A. H. plane. If Π and Π' are pencils of parallel lines, we define $\Pi \sim \Pi'$ if each line of Π is a neighbour of some line of Π' . This is an equivalence relation. Let Π_l be the pencil of lines parallel to l . Then $\Pi_l \sim \Pi_m$ if and only if $|l \wedge m| = 1$; cfr. [9], Satz 2.9.

With each A. H. plane \mathcal{H} there is associated an ordinary affine plane $\overline{\mathcal{H}} = \langle \overline{\mathbf{P}}, \overline{\mathbf{L}}, \bar{I} \rangle$. Here, $\overline{\mathbf{P}}$ and $\overline{\mathbf{L}}$ are the quotient spaces of $\sim_{\mathbf{P}}$ and $\sim_{\mathbf{L}}$, respectively, and $\bar{I}l$ if there exists Sl such that $S \sim P$. Let $\chi_{\mathbf{P}}$ and $\chi_{\mathbf{L}}$ be the quotient maps of $\sim_{\mathbf{L}}$ and $\sim_{\mathbf{P}}$, respectively: cfr. [9], Satz 2.6.

If $l \in \mathbf{L}$, there exist P, QI such that $P \sim Q$, and if $P \in \mathbf{P}$, there exist $l, m \in \mathbf{L}$ such that PIl, m and $l \sim m$; cfr. [9], Satz 2.3 and 2.4.

1.2. MORPHISMS (cfr. [7], 1.2, 1.3). Let \mathcal{H}_1 and \mathcal{H}_2 be A. H. planes.

(a) $f = (\Phi, \Psi) : \mathcal{H}_1 \rightarrow \mathcal{H}_2$ is a *morphism* from \mathcal{H}_1 to \mathcal{H}_2 if the following conditions hold.

- (i) $\Phi : \mathbf{P}_1 \rightarrow \mathbf{P}_2$ and $\Psi : \mathbf{L}_1 \rightarrow \mathbf{L}_2$ are maps;
- (ii) $\Phi(P_1) I_2 \Psi(l_1)$ whenever $P_1 I_1 l_1$;
- (iii) $\Psi(l_1) \parallel_2 \Psi(m_1)$ whenever $l_1 \parallel_1 m_1$.

In general, we shall write I, \parallel , and L for I_i, \parallel_i and L_i , respectively, for $i = 1, 2$, unless ambiguity arises.

If f satisfies only (i) and (ii) we shall call f an *incidence morphism*, or an *I-morphism*. f is a *neighbour-preserving* I-morphism if $P \sim Q$ implies $\Phi P \sim \Phi Q$ and $l \sim m$ implies $\Psi l \sim \Psi m$; cfr. [8], p. 136.

(b) $f = (\Phi, \Psi)$ is an *epimorphism* if Φ and Ψ are both surjective.

(c) $f = (\Phi, \Psi)$ is a *monomorphism* if Φ and Ψ are both injective.

(d) $f = (\Phi, \Psi)$ is an *I-isomorphism* if f is an I-morphism such that Φ and Ψ are bijective and $P_1 I_1 l_1 \iff \Phi(P_1) I_2 \Psi(l_1)$. If, in addition, $l_1 \parallel_1 m_1 \iff \Psi l_1 \parallel_2 \Psi m_1$ then f is an *isomorphism*. If $\mathcal{H}_1 = \mathcal{H}_2$, then f is an *automorphism*.

Remark. For ordinary affine planes, the concepts of an I-isomorphism and an isomorphism are identical; cfr. 2.3. However, P. Bacon has constructed an I-isomorphism between two A. H. planes which is not an isomorphism; cfr. [3], Corollaries 3.11 and 3.12.

2. MORPHISMS OF ORDINARY AFFINE PLANES

We shall first consider the special case where $\mathcal{H}_1 = \mathcal{A}_1$ and $\mathcal{H}_2 = \mathcal{A}_2$ are ordinary affine planes, and $f = (\Phi, \Psi)$ is an \mathcal{I} -morphism. In this case, the analysis of morphisms is made easier due to fact that parallelism is defined in terms of incidence. Let I.P. denote the property PI/ if and only if $\Phi(P) \parallel \Psi(l)$. By using the methods of V. Corbas in [4], one can easily verify the following statements.

- 2.1. LEMMA. (1) *If Ψ is injective, then $l \parallel m$ whenever $\Psi(l) \parallel \Psi(m)$;*
 (2) *If f is a morphism and Ψ is surjective, then Φ is surjective;*
 (3) *If Φ is surjective and f has I.P., then f is a morphism;*
 (4) *f has I.P. if and only if f is an \mathcal{I} -monomorphism;*
 (5) *If Φ surjective, then Ψ is surjective.*

2.2. The main result of Corbas in [4] is the following assertion.
If f is an \mathcal{I} -epimorphism, then Φ and Ψ are both injective.

2.3. From 2.1 and 2.2, we readily obtain the following characterizations of an isomorphism.

THEOREM. *Let $f = (\Phi, \Psi): \mathcal{A}_1 \rightarrow \mathcal{A}_2$ be an \mathcal{I} -morphism. Then the following are equivalent:*

- (1) *f is an isomorphism;*
 (2) *f is an \mathcal{I} -isomorphism;*
 (3) *f is an \mathcal{I} -epimorphism;*
 (4) *Φ is surjective;*
 (5) *Ψ is surjective and f is a morphism.*

3. MORPHISMS OF A. H. PLANES

3.1. The objective of our paper is the proof of the following result.

THEOREM. *Let $f = (\Phi, \Psi): \mathcal{H}_1 \rightarrow \mathcal{H}_2$ be a morphism. The the following statements are equivalent:*

- (1) *f is an isomorphism;*
 (2) *Φ and Ψ are bijective;*
 (3) *Φ is surjective and Ψ is injective;*
 (4) *Φ is surjective, $l \parallel m$ whenever $\Psi(l) \parallel \Psi(m)$, and f is neighbour-preserving.*

For the proof of our theorem, we first establish some preliminary lemmas.

3.2. LEMMA. *Let $f = (\Phi, \Psi): \mathcal{H}_1 \rightarrow \mathcal{H}_2$ be an \mathcal{I} -morphism. If Φ is surjective then Ψ is surjective.*

Proof. Let l_2 in \mathcal{H}_2 . Choose P_2 and Q_2 on l_2 such that $P_2 \sim Q_2$. Then there exist distinct points P_1 and Q_1 in \mathcal{H}_1 such that $\Phi(P_1) = P_2$ and $\Phi(Q_1) = Q_2$. Select any line l_1 through P_1 and Q_1 . Since $P_1, Q_1 I l_1$, we have $P_2, Q_2 I \Psi(l_1)$. Hence $\Psi(l_1) = l_2$.

3.3. LEMMA. Let $f = (\Phi, \Psi) : \mathcal{H}_1 \rightarrow \mathcal{H}_2$ be a morphism. The the following statements are valid:

- (1) If Ψ is injective, then $P \sim Q$ implies $\Phi(P) \sim \Phi(Q)$;
- (2) $\Psi(L(P, l)) = L(\Phi(P), \Psi(l))$;
- (3) If $P \sim Q$ and $\Phi(P) \sim \Phi(Q)$, then $\Psi(PQ) = \Phi(P) \Phi(Q)$;
- (4) If $\Pi_i \sim \Pi_m$ and $\Pi_{\Psi(l)} \sim \Pi_{\Psi(m)}$, then $\Phi(l \wedge m) = \Psi(l) \wedge \Psi(m)$.

3.4. LEMMA. Let $f = (\Phi, \Psi) : \mathcal{H}_1 \rightarrow \mathcal{H}_2$ be a morphism such that Φ is surjective and Ψ is injective. Then

- (1) $\{Q \mid Q I \Psi(l)\} = \{\Phi(P) \mid P I l\}$;
- (2) If $l \sim m$, then $\Psi(l) \sim \Psi(m)$.

Proof. (1) Since f is a morphism, $\{\Phi(P) \mid P I l\} \subseteq \{Q \mid Q I \Psi(l)\}$. Now take $Q I \Psi(l)$. Then there exists P such that $\Phi(P) = Q$. Now $\Psi(l) = L(\Phi(P), \Psi(l)) = \Psi(L(P, l))$, by Lemma 3.3. Since Ψ is injective, $l = L(P, l)$ and so $P I l$.

(2) Let $l \sim m$. Choose $R I \Psi(l)$. By (1), $R = \Phi(P)$ for some point $P I l$. Then there exists $Q I m$ such that $P \sim Q$. By Lemma 3.2, $\Phi(P) \sim \Phi(Q)$. Hence $\Psi(l) \sim \Psi(m)$.

Similarly, every point of $\Psi(m)$ is a neighbour of some point of $\Psi(l)$.

3.5. LEMMA. Let $f = (\Phi, \Psi) : \mathcal{H}_1 \rightarrow \mathcal{H}_2$ be a morphism. Then the following statements are equivalent:

- (1) $P I l$ if and only if $\Phi(P) I \Psi(l)$;
- (2) f is a monomorphism;
- (3) Ψ is injective.

Proof. To show that (1) implies (2), we shall first prove that Φ is injective. Suppose that $P \neq Q$. Then we may choose l such that $P I l$ but $Q \not I l$. By (1), $\Phi(P) I \Psi(l)$ but $\Phi(Q) \not I \Psi(l)$. Hence $\Phi(P) \neq \Phi(Q)$. Similarly, we can verify that Ψ is injective.

It is obvious that (2) implies (3). Finally, we shall show that (3) implies (1). Let Ψ be injective. Suppose that $\Phi(P) I \Psi(l)$. Then $\Phi(l) = L(\Phi(P), \Psi(l)) = \Psi(L(P, l))$, by Lemma 3.3. Since Ψ is injective, $l = L(P, l)$ and so $P I l$.

3.6. LEMMA. Let $f = (\Phi, \Psi) : \mathcal{H}_1 \rightarrow \mathcal{H}_2$ be a morphism such that Ψ is injective. Then $l \parallel m$ whenever $\Psi(l) \parallel \Psi(m)$.

Proof. Assume that $\Psi(l) \parallel \Psi(m)$. If $l \neq m$, then there exists $P I l$ such that $P \not I m$. Put $j = L(P, m)$; thus $j \parallel m$. Then $\Psi(j) \parallel \Psi(m)$ and so $\Psi(j) \parallel \Psi(l)$. But $P I j, l$ implies $\Phi(P) I \Psi(j), \Psi(l)$, and so $\Psi(j) = \Psi(l)$. Since Ψ is injective, $j = l$ and so $l \parallel m$.

3.7. *Remark.* In 1.2, we require an isomorphism to have the properties

$$PIl \iff \Phi(P) I \Psi(l) \text{ and } l \parallel m \iff \Psi(l) \parallel \Psi(m).$$

Lemmas 3.5 and 3.6 show that this definition is redundant with respect to both incidence and parallelism.

3.8. Let $\text{Aut } \mathcal{H}$ and $\text{Aut } \bar{\mathcal{H}}$ denote the groups of automorphisms of \mathcal{H} and $\bar{\mathcal{H}}$; cfr. 1.1.

We call an automorphism $f = (\Phi, \Psi)$ of $\text{Aut } \mathcal{H}$ a *neighbouring automorphism* if $\Phi(P) \sim P$ and $\Psi(l) \sim l$ for each P and l . The set of neighbouring automorphisms shall be denoted by $N \text{ Aut } \mathcal{H}$.

In view of Lemma 3.4, if $f \in \text{Aut } \mathcal{H}$, we may put $f = (f, f)$. We shall establish a relationship between $\text{Aut } \mathcal{H}$ and $\text{Aut } \bar{\mathcal{H}}$.

3.9. **THEOREM.** *The map $h: \text{Aut } \mathcal{H} \rightarrow \text{Aut } \bar{\mathcal{H}} (f \rightarrow \bar{f})$, where $\bar{f}(\bar{P}) = \overline{f(P)}$ and $\bar{f}(\bar{l}) = \overline{f(l)}$ for any $P \in \mathbf{P}$ and any $l \in \mathbf{L}$, is a group homomorphism and $\chi \circ f = \bar{f} \circ \chi$. Moreover, $N \text{ Aut } \mathcal{H}$ is the kernel of h and*

$$\text{Aut } \mathcal{H} / N \text{ Aut } \mathcal{H} \cong h[\text{Aut } \mathcal{H}].$$

Proof. We first show that \bar{f} is well-defined on \mathbf{P} . Let $\bar{P} = \bar{Q}$. Then $P \sim Q$, and so $f(P) \sim f(Q)$, by Lemma 3.3. Hence $\bar{f}(\bar{Q}) = \overline{f(Q)} = \overline{f(P)} = \bar{f}(\bar{P})$. Similarly, Lemma 3.4 shows that \bar{f} is well-defined on \mathbf{L} . Now we show that $\bar{f} \in \text{Aut } \bar{\mathcal{H}}$. Let $\bar{P} \bar{I} \bar{l}$. Then there exists $S \bar{I} l$ such that $S \sim P$. Hence $f(S) \bar{I} f(l)$ and $f(S) \sim f(P)$ and so $\bar{f}(\bar{P}) \bar{I} \bar{f}(\bar{l})$. By definition, \bar{f} is surjective. Then by 2.3, $\bar{f} \in \text{Aut } \bar{\mathcal{H}}$. Next.

$$(\chi \circ f)(P) = \chi(f(P)) = \overline{f(P)} = \bar{f}(\bar{P}) = (\bar{f} \circ \chi)(P)$$

and

$$(\overline{f \circ g})(\bar{P}) = \overline{(f \circ g)(P)} = \bar{f}(\overline{g(P)}) = (\bar{f} \circ \bar{g})(\bar{P}).$$

Hence h is a homomorphism. Finally, $f \in \text{Ker } h$ if and only if $\bar{f}(\bar{P}) = \bar{P}$ and $\bar{f}(\bar{l}) = \bar{l}$ if and only if $f(P) \sim P$ and $f(l) \sim l$.

3.10. **LEMMA.** *If $f: \mathcal{H}_1 \rightarrow \mathcal{H}_2$ is a neighbour-preserving I-epimorphism, then $\bar{f}: \bar{\mathcal{H}}_1 \rightarrow \bar{\mathcal{H}}_2$, well-defined as in 3.9 by $\bar{\Phi}(\bar{P}_1) = \overline{\Phi(P_1)}$ and $\bar{\Psi}(\bar{l}_1) = \overline{\Psi(l_1)}$, is also an I-epimorphism. Hence by 2.3, \bar{f} is an isomorphism.*

Proof. Let $\bar{P}_2 \in \bar{\mathcal{H}}_2$; thus $P_2 \in \mathcal{H}_2$. Since Φ is surjective, there exists $P_1 \in \mathcal{H}_1$ such that $\Phi(P_1) = P_2$. Then $\bar{\Phi}(\bar{P}_1) = \overline{\Phi(P_1)} = \bar{P}_2$. Similarly, $\bar{\Psi}$ is surjective. Finally, let $\bar{P}_1 \bar{I} \bar{l}_1$; thus there exists $S_1 \in \mathcal{H}_1$ such that $S_1 \bar{I} l_1$ and $S_1 \sim P_1$. Then $\Phi(S_1) \bar{I} \Psi(l_1)$ and so $\bar{\Phi}(\bar{S}_1) \bar{I} \bar{\Psi}(\bar{l}_1)$; i.e., $\bar{\Phi}(\bar{S}_1) \bar{I} \bar{\Psi}(\bar{l}_1)$. Since $\bar{P}_1 = \bar{S}_1$, we have $\bar{\Phi}(\bar{P}_1) \bar{I} \bar{\Psi}(\bar{l}_1)$.

3.11. **LEMMA.** *If $f: \mathcal{H}_1 \rightarrow \mathcal{H}_2$ is a neighbour-preserving I-epimorphism, then*

$$(1) \ P \sim Q \iff \Phi(P) \sim \Phi(Q);$$

$$(2) \ l \parallel m \iff \Psi(l) \parallel \Psi(m).$$

Proof. (1) By 3.10, \bar{f} is an isomorphism, and so

$$P \sim Q \iff \bar{P} \neq \bar{Q} \iff \bar{\Phi}(\bar{P}) \neq \bar{\Phi}(\bar{Q}) \iff \overline{\Phi(P)} \neq \overline{\Phi(Q)} \iff \Phi(P) \sim \Phi(Q).$$

We can verify (2) in a similar fashion.

3.12. *Proof of Theorem 3.1.* Clearly, (1) implies (3). To show that (3) implies (2), we need only to verify that Ψ is surjective, since Φ is injective by Lemma 3.5. Choose $l_2 \in L_2$. By 1.1, we can choose $P_2, Q_2 \in l_2$ such that $P_2 \sim Q_2$. Then there exist P_1 and Q_1 such that $\Phi(P_1) = P_2$ and $\Phi(Q_1) = Q_2$. By Lemma 3.3, $P_1 \sim Q_1$. By Lemma 3.3, again $\Psi(P_1, Q_1) = \Phi(P_1) \Phi(Q_1) = P_2 Q_2 = l_2$. Next, (2) implies (4), by 3.3, 3.4 and 3.6. Finally we show that (4) implies (1). By 3.2, f is an epimorphism. Let $P, Q \in \mathcal{H}_1$, $P \neq Q$. If $P \sim Q$, then $\Phi P \sim \Phi Q$, by 3.11, and so $\Phi P \neq \Phi Q$. Suppose now that $P \neq Q$ but $P \sim Q$. Choose a line l through P such that l is not a neighbour of any line through P and Q ; cfr. 1.1. Thus $Q \notin l$. Select a point $R \in l$ such that $R \sim P$. Then $R \sim Q$, by (A3), and by 3.11, $\Phi R \sim \Phi P, \Phi Q$. As $RP \neq RQ$, we have $RP \neq RQ$. Hence $\Psi(RP) \neq \Psi(RQ)$, and by 3.3, $\Phi R \Phi P \neq \Phi R \Phi Q$. Hence $\Phi P \neq \Phi Q$. Thus Φ is injective.

Next we wish to show that Ψ is injective. Let $l, m \in \mathcal{H}_1$, $l \neq m$. If $l \neq m$, then $\Psi l \neq \Psi m$, and $\Psi l \neq \Psi m$. Next, suppose $l \neq m$ and $l \parallel m$. Choose $P \in l$ and $j \sim l$ such that $P \in j$. By (A7), $j \sim m$, and there is a point $Q \in m$, j ; and $Q \neq P$. Since Φ is injective $\Phi P \neq \Phi Q$. By 3.11, $\Psi j \sim \Psi l, \Psi m$. Since $\Phi P \in \Psi l, \Psi j$ and $\Phi Q \in \Psi m, \Psi j$, we obtain $\Psi l \neq \Psi m$, otherwise Ψl would be a neighbour of Ψj .

Assertion (1) of Theorem 3.1 now follows from 3.5.

Remark. The Authors have shown that an automorphism of a Desarguesian A.H. plane \mathcal{H} with a coordinate ring H can be represented by a non-singular semi-linear transformation of the left module structure on $H \times H$. This result can also be derived by embedding \mathcal{H} in the projective Hjelmslev space over the free module $H \times H \times H$: cfr. ([8], 2 and 8).

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