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On the existence of infinitely many solutions for a class of semilinear elliptic equations in \mathbb{R}^N

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Analisi matematica. — *On the existence of infinitely many solutions for a class of semilinear elliptic equations in \mathbb{R}^N .* Nota di FRANCESCA ALESSIO, PAOLO CALDIROLI e PIERO MONTECCHIARI, presentata (*) dal Corrisp. A. Ambrosetti.

ABSTRACT. — We show, by variational methods, that there exists a set \mathcal{A} open and dense in $\{a \in L^\infty(\mathbb{R}^N) : a \geq 0\}$ such that if $a \in \mathcal{A}$ then the problem $-\Delta u + u = a(x)|u|^{p-1}u$, $u \in H^1(\mathbb{R}^N)$, with p subcritical (or more general nonlinearities), admits infinitely many solutions.

KEY WORDS: Semilinear elliptic equations; Locally compact case; Minimax arguments; Multiplicity of solutions; Genericity.

RIASSUNTO. — *Sull'esistenza di infinite soluzioni per una classe di equazioni ellittiche semilineari su \mathbb{R}^N .* Usando metodi variazionali, si dimostra che esiste un insieme \mathcal{A} aperto e denso in $\{a \in L^\infty(\mathbb{R}^N) : a \geq 0\}$ tale che per ogni $a \in \mathcal{A}$ il problema $-\Delta u + u = a(x)|u|^{p-1}u$, $u \in H^1(\mathbb{R}^N)$, con p sottocritico (o con non linearità più generali), ammette infinite soluzioni.

1. STATEMENT OF THE RESULT

In this *Note* we state a result concerning the existence of infinitely many solutions for a class of semilinear elliptic problems of the form

$$(P_a) \quad -\Delta u + u = a(x)f(u), \quad u \in H^1(\mathbb{R}^N)$$

where $a \in L^\infty(\mathbb{R}^N)$, with $\text{ess inf } a > 0$, and $f : \mathbb{R} \rightarrow \mathbb{R}$ satisfies:

$$(f1) \quad f \in C^1(\mathbb{R}),$$

$$(f2) \quad \text{there exists } C > 0 \text{ such that } |f(t)| \leq C(1 + |t|^p) \text{ for any } t \in \mathbb{R}, \text{ where } p \in (1, (N+2)/(N-2)) \text{ if } N \geq 3 \text{ and } p > 1 \text{ if } N = 1, 2,$$

$$(f3) \quad \text{there exists } \theta > 2 \text{ such that } 0 < \theta F(t) \leq f(t)t \text{ for any } t \neq 0, \text{ where } F(t) = \int_0^t f(s) ds,$$

$$(f4) \quad f(t)/t < f'(t) \text{ for any } t \neq 0.$$

Note that $f(t) = |t|^{p-1}t$ verifies (f1)–(f4) whenever $p \in (1, (N+2)/(N-2))$ if $N \geq 3$ or $p > 1$ if $N = 1, 2$.

Such kind of problem has been widely studied with variational methods and its main feature is given by a lack of global compactness due to the unboundedness of the domain. Indeed the imbedding of $H^1(\mathbb{R}^N)$ in $L^2(\mathbb{R}^N)$ is not compact and the Palais Smale condition fails.

The existence of nontrivial solutions of (P_a) strongly depends on the behaviour of a . We refer to [6-9, 15, 18, 27, 28] for existence results in the case in which a is a positive constant or $a(x) \rightarrow a_\infty > 0$ as $|x| \rightarrow \infty$.

(*) Nella seduta del 13 marzo 1998.

When a is periodic, the invariance under translations permits to prove existence, [24], and also multiplicity results, as in [1, 5, 13, 22], where, applying a technique developed in [26], infinitely many solutions (distinct up to translations) are found.

Multiplicity results have been obtained also without periodicity or asymptotic assumptions on a , in some «perturbative» settings, where concentration phenomena occur and a localization procedure can be used to get some compactness in the problem. We mention for instance [3, 4, 10-12, 14, 17, 19, 20, 23, 25].

Although some non existence examples are known (see [16]) we show that the existence of infinitely many solutions for the problem (P_a) is a generic property with respect to $a \in L^\infty(\mathbb{R}^N)$ with $a \geq 0$ a.e. in \mathbb{R}^N . Precisely we prove

THEOREM 1.1. *Let $f : \mathbb{R} \rightarrow \mathbb{R}$ satisfy (f1)-(f4). Then there exists a set \mathcal{A} open and dense in $\{a \in L^\infty(\mathbb{R}^N) : a(x) \geq 0 \text{ a.e. in } \mathbb{R}^N\}$ such that for every $a \in \mathcal{A}$ the problem (P_a) admits infinitely many solutions.*

In fact, given any $a \in L^\infty(\mathbb{R}^N)$, with $\text{ess inf } a > 0$, for all $\bar{\alpha} > 0$ we are able to construct a family of functions $\{\alpha_\omega \in C(\mathbb{R}^N) : \omega \in (0, \hat{\omega})\}$ with $0 \leq \alpha_\omega(x) \leq \bar{\alpha}$ in \mathbb{R}^N for which the problem $(P_{a+\alpha_\omega})$ admits infinitely many solutions. Then we show that this class of solutions is stable with respect to small L^∞ -perturbations of the functions $a + \alpha_\omega$.

Let us note that the condition $\text{ess inf } a \geq 0$ can be weakened by requiring just $\liminf_{|x| \rightarrow \infty} a(x) \geq 0$. We refer to [2] for the complete proof of the result.

2. OUTLINE OF THE PROOF OF THEOREM 1.1

Let us fix $\bar{\alpha} > 0$ and $a \in L^\infty(\mathbb{R}^N)$ with $\text{ess inf } a > 0$ and let us denote $\mathcal{F} = \{b \in L^\infty(\mathbb{R}^N) : a_0 \leq b(x) \leq a_1 \text{ a.e. in } \mathbb{R}^N\}$ where $a_0 = \frac{1}{2}\text{ess inf } a$ and $a_1 = 2(\|a\|_{L^\infty} + \bar{\alpha})$.

Let $X = H^1(\mathbb{R}^N)$ be endowed with its standard norm $\|u\| = (\int_{\mathbb{R}^N} (|\nabla u|^2 + u^2) dx)^{1/2}$ and, for every $b \in \mathcal{F}$ let us introduce the functional

$$\varphi_b(u) = \frac{1}{2}\|u\|^2 - \int_{\mathbb{R}^N} b(x)F(u(x)) dx.$$

By (f2) and (f3), $\varphi_b \in C^1(X, \mathbb{R})$ for all $b \in \mathcal{F}$ and $\varphi'_b(u)v = \langle u, v \rangle - \int_{\mathbb{R}^N} b(x)f(u(x))v(x) dx$ where $\langle u, v \rangle = \int_{\mathbb{R}^N} (\nabla u \cdot \nabla v + uv) dx$. The critical points of φ_b are solutions of the problem (P_b) and we set $\mathcal{K}_b = \{u \in X : \varphi'_b(u) = 0, u \neq 0\}$.

Moreover let us denote $\langle u, v \rangle_\Omega = \int_\Omega (\nabla u \cdot \nabla v + uv) dx$ and $\|u\|_\Omega = \langle u, u \rangle_\Omega^{1/2}$ for all $u, v \in X$ and Ω measurable subset of \mathbb{R}^N .

We start by describing the behavior of any functional φ_b near the origin.

LEMMA 2.1. *$\varphi_b(u) = \|u\|^2/2 + o(\|u\|^2)$ and $\varphi'_b(u) = \langle u, \cdot \rangle + o(\|u\|)$ as $u \rightarrow 0$, uniformly with respect to $b \in \mathcal{F}$.*

Moreover there exists $\bar{\rho} \in (0, 1)$ such that if Ω is a regular open subset of \mathbb{R}^N satisfying the uniform cone property with respect to the cone $\{x = (x_1, \dots, x_N) \in B_1(0) : x_1 > |x|/2\}$ and

if $\sup_{y \in \Omega} \|u\|_{B_1(y)} \leq 2\bar{\rho}$ then

$$\int_{\Omega} b(x)F(u) dx \leq \frac{1}{4}\|u\|_{\Omega}^2 \quad \text{and} \quad \left| \int_{\Omega} b(x)f(u)v dx \right| \leq \frac{1}{2}\|u\|_{\Omega}\|v\|_{\Omega}$$

for every $b \in \mathcal{F}$ and for every $u, v \in X$.

According to Lemma 2.1, 0 is a strict local minimum for φ_b . Moreover, by (f3), for any $u \in X \setminus \{0\}$ there exists $s(u) > 0$ such that $\varphi_b(s(u)u) < 0$ for every $b \in \mathcal{F}$. Hence, any functional φ_b has the mountain pass geometry with mountain pass level

$$c(b) = \inf_{\gamma \in \Gamma} \sup_{s \in [0,1]} \varphi_b(\gamma(s))$$

where $\Gamma = \{\gamma \in C([0, 1], X) : \gamma(0) = 0, \varphi_b(\gamma(1)) < 0 \ \forall b \in \mathcal{F}\}$.

Note that $c(b_1) \geq c(b_2)$ if $b_1, b_2 \in \mathcal{F}$ with $b_1(x) \leq b_2(x)$ a.e. in \mathbb{R}^N . In particular $0 < c(a_1) \leq c(b) \leq c(a_0)$ for every $b \in \mathcal{F}$.

REMARK 2.1. By (f4) for every $u \in X \setminus \{0\}$ there exists a unique $s_u > 0$ such that $\frac{d}{ds} \varphi_b(su)|_{s=s_u} = 0$ and hence $c(b) = \inf_{\|u\|=1} \sup_{s \geq 0} \varphi_b(su)$ and $\inf_{\mathcal{K}_b} \varphi_b \geq c(b)$ for any $b \in \mathcal{F}$.

Now we state some properties of sequences $(u_n) \subset X$ such that $\varphi_{b_n}(u_n) \rightarrow l$ and $\varphi'_{b_n}(u_n) \rightarrow 0$ for some sequence $(b_n) \subset \mathcal{F}$ (generalized Palais Smale sequences for the class \mathcal{F}).

REMARK 2.2. Letting $\bar{\lambda} = (1 - \frac{2}{\theta})\bar{\rho}^2$, by Lemma 2.1 if $(u_n) \subset X$ is a generalized Palais Smale sequence for the class \mathcal{F} , then

- (i) (u_n) is bounded and $\lim \varphi_{b_n}(u_n) \geq 0$;
- (ii) if $\lim \varphi_{b_n}(u_n) \in [0, \bar{\lambda})$ then $u_n \rightarrow 0$;
- (iii) if $\lim \varphi_{b_n}(u_n) \geq \bar{\lambda}$ then there exists a sequence $(y_n) \subset \mathbb{R}^N$ such that $\liminf \|u_n\|_{B_1(y_n)} \geq \bar{\rho}$.

Let us note that (i) follows by the fact that, thanks to (f3), for every $b \in \mathcal{F}$

$$(2.1) \quad \left(\frac{1}{2} - \frac{1}{\theta}\right)\|u\|^2 \leq \varphi_b(u) + \frac{1}{\theta}\|\varphi'_b(u)\| \|u\| \quad \forall u \in X.$$

Now, the following characterization holds for the generalized Palais Smale sequences for the class \mathcal{F} .

LEMMA 2.2. Let $(b_n) \subset \mathcal{F}$, $(u_n) \subset X$ and $(y_n) \subset \mathbb{R}^N$ be such that $\varphi_{b_n}(u_n) \rightarrow l$, $\varphi'_{b_n}(u_n) \rightarrow 0$ and $\liminf \|u_n\|_{B_1(y_n)} \geq \bar{\rho}$. Then there exists $u \in X$ with $\|u\|_{B_1(0)} \geq \bar{\rho}$ such that, up to a subsequence,

- (i) $u_n(\cdot + y_n) \rightarrow u$ weakly in X , $\varphi_b(u) \leq l$ and $\varphi'_b(u) = 0$, where $b = \lim b_n(\cdot + y_n)$ in the $w^* - L^\infty$ topology,
- (ii) $\varphi_{b_n}(u_n - u(\cdot - y_n)) \rightarrow l - \varphi_b(u)$ and $\varphi'_{b_n}(u_n - u(\cdot - y_n)) \rightarrow 0$.

According to the above result, it is convenient to introduce some definitions concerning the problems «at infinity» associated to any functional φ_b . Given $b \in \mathcal{F}$, let us denote

$$H_\infty(b) = \{b \in L^\infty(\mathbb{R}^N) : \exists (y_n) \subset \mathbb{R}^N \text{ s.t. } |y_n| \rightarrow \infty, b(\cdot + y_n) \rightarrow b \text{ } w^*-L^\infty\}$$

and $c_\infty(b) = \inf_{h \in H_\infty(b)} c(h)$.

Using the fact that $H_\infty(b)$ is sequentially closed with respect to the w^*-L^∞ topology, it is possible to prove that the value $c_\infty(b)$ is attained. In fact we have:

LEMMA 2.3. *For every $b \in \mathcal{F}$ there exist $b_\infty \in H_\infty(b)$ and $u_\infty \in X \setminus \{0\}$ such that $\varphi_{b_\infty}(u_\infty) = c(b_\infty) = c_\infty(b)$ and $\varphi'_{b_\infty}(u_\infty) = 0$.*

In particular we are interested in applying the above result with $b = a + \bar{\alpha}$ as follows.

By Lemma 2.3, since $H_\infty(a + \bar{\alpha}) = H_\infty(a) + \bar{\alpha}$, there exist $a_\infty \in L^\infty(\mathbb{R}^N)$ and a sequence $(x_j) \subset \mathbb{R}^N$ such that $a(\cdot + x_j) \rightarrow a_\infty$ w^*-L^∞ $|x_{j+1}| - |x_j| \uparrow + \infty$ and $c_\infty(a + \bar{\alpha}) = c(a_\infty + \bar{\alpha})$. Then, for $\omega \in (0, 1)$ we define $j(\omega) = \inf\{j \in \mathbb{N} : |x_j| - |x_{j-1}| \geq \frac{4}{\omega}\}$ and

$$\alpha_\omega(x) = \begin{cases} \bar{\alpha}(1 - \omega^2|x - x_j|^2/4) & \text{for } |x - x_j| \leq 2/\omega, j \geq j(\omega) \\ 0 & \text{otherwise.} \end{cases}$$

Note that $\max_{x \in \mathbb{R}^N} \alpha_\omega(x) = \bar{\alpha} = \alpha(x_j)$ for all $j \geq j(\omega)$ and $\alpha_\omega(x) \leq \frac{15}{16}\bar{\alpha}$ for every $x \in \mathbb{R}^N \setminus \bigcup_{j \in \mathbb{N}} B_{\frac{1}{2\omega}}(x_j)$.

To simplify the notation, for $\omega \in (0, 1)$ we set $\varphi_\omega = \varphi_{a+\alpha_\omega}$, $\mathcal{K}_\omega = \mathcal{K}_{a+\alpha_\omega}$. In addition we denote $\varphi_\infty = \varphi_{a_\infty+\bar{\alpha}}$ and $c_\infty = c_\infty(a + \bar{\alpha})$.

REMARK 2.3. By definition of c_∞ , if $b \in H_\infty(a)$ and $\beta \in L^\infty(\mathbb{R}^N)$ with $0 \leq \beta \leq \bar{\alpha}$ a.e. in \mathbb{R}^N , then $c(b + \beta) \geq c(b + \bar{\alpha}) \geq c_\infty$. Moreover, if $\beta \in (0, \bar{\alpha})$, then $c_\infty(a + \beta) > c_\infty(a + \bar{\alpha}) = c_\infty$. This is proved using suitable estimates on the critical points of the functionals φ_{b_∞} , being $b \in \mathcal{F}$.

In the following lemmas we state some properties concerning the sequences $(u_n) \subset X$ such that $\varphi'_{\omega_n}(u_n) \rightarrow 0$ and that «carry mass» at infinity, i.e., for which $\|u_n\|_{B_1(y_n)} \geq \bar{\rho}$ for some sequence $|y_n| \rightarrow \infty$.

First, we give an estimate from below of the level of such sequences:

LEMMA 2.4. *Let $(\omega_n) \subset (0, 1)$, $(u_n) \subset X$ and $(y_n) \subset \mathbb{R}^N$ be such that $\varphi'_{\omega_n}(u_n) \rightarrow 0$, $|y_n| \rightarrow \infty$ and $\|u_n\|_{B_1(y_n)} \geq \bar{\rho}$ for every $n \in \mathbb{N}$. Then $c_\infty \leq \liminf \varphi_{\omega_n}(u_n)$.*

Secondly, a compactness result holds for those sequences $(u_n) \subset X$ at a level close to c_∞ and such that $\varphi'_{\omega_n}(u_n) \rightarrow 0$ and every u_n has a «mass» located in $\bar{B}_{\frac{1}{\omega_n}}(x_{j_n})$.

LEMMA 2.5. *There exist $h_0 > 0$ and $\omega_0 \in (0, 1)$ such that if $(\omega_n) \subset (0, \omega_0)$, $(u_n) \subset X$ and $(y_n) \subset \mathbb{R}^N$ satisfy $\varphi'_{\omega_n}(u_n) \rightarrow 0$, $\|u_n\|_{B_1(y_n)} \geq \bar{\rho}$, $y_n \in \bar{B}_{\frac{1}{\omega_n}}(x_{j_n})$ with $j_n \geq j(\omega_n)$, and $\limsup \varphi_{\omega_n}(u_n) \leq c_\infty + h_0$, then $(u_n(\cdot + y_n))$ is precompact in X .*

The above Lemma suggests to introduce the following sets

$$\mathcal{A}_j(\omega, h, \nu) = \{u \in X : \varphi_\omega(u) \leq c_\infty + h, \|\varphi'_\omega(u)\| \leq \nu \text{ and } \sup_{y \in \bar{B}_{\frac{1}{2\omega}}(x_j)} \|u\|_{B_1(y)} \geq \bar{\rho}\}$$

defined for every $\omega \in (0, 1)$, $h > 0$, $\nu > 0$ and $j \geq j(\omega)$. Let us note that, by Lemma 2.5, for $\omega \in (0, \omega_0)$ the functional φ_ω satisfies the Palais Smale condition in each set $\mathcal{A}_j(\omega, h, \nu)$ with $j \geq j(\omega)$ and $0 < h \leq h_0$.

Hence, the next goal will be to construct a pseudogradient flow which leaves invariant suitable localized minimax classes, in order to get the existence of Palais Smale sequences for φ_ω in each set $\mathcal{A}_j(\omega, h, \nu)$.

To this extent, we need suitable estimates in neighborhoods of the sets $\mathcal{A}_j(\omega, h, \nu)$. In fact the following holds:

LEMMA 2.6. *There exist $\bar{\omega} \in (0, \omega_0)$, $\bar{h} \in (0, h_0)$ and $\bar{\nu} > 0$ such that:*

- (i) *if $u \in B_{4\rho_0}(\mathcal{A}_j(\omega))$ for some $\omega \in (0, \bar{\omega})$ and $j \geq j(\omega)$, then $\|u\|_{\mathbb{R}^N \setminus \bar{B}_{\frac{1}{2\omega}-1}(x_j)} \leq 6\rho_0$;*
- (ii) *if $u \in (B_{4\rho_0}(\mathcal{A}_j(\omega)) \setminus \mathcal{A}_j(\omega)) \cap \{\varphi_\omega \leq c_\infty + \bar{h}\}$ for some $\omega \in (0, \bar{\omega})$ and $j \geq j(\omega)$, then $\|u\|_{\mathbb{R}^N \setminus \bar{B}_{\frac{1}{2\omega}-1}(x_j)} < \rho_0$ and $\|\varphi'_\omega(u)\| > \bar{\nu}$,*

where $\mathcal{A}_j(\omega) = \mathcal{A}_j(\omega, \bar{h}, \bar{\nu})$ and $\rho_0 = \bar{\rho}/8$.

By the above listed properties of the sets $\mathcal{A}_j(\omega)$, we can state the existence of a pseudogradient vector field acting in $\mathcal{A}_j(\omega)$. Precisely:

LEMMA 2.7. *There exist $\bar{\varepsilon} > 0$ and $\bar{\mu} > 0$ such that for any $\varepsilon \in (0, \bar{\varepsilon})$ there is $\omega_\varepsilon \in (0, \bar{\omega})$ for which if $\mathcal{A}_j(\omega) \cap \mathcal{K}_\omega = \emptyset$ for some $\omega \in (0, \omega_\varepsilon)$ and $j \geq j(\omega)$, then there exist $\mu_{j\omega} > 0$ and a locally Lipschitz continuous function $V_{j\omega}: X \rightarrow X$ verifying:*

- (i) $\|V_{j\omega}(u)\| \leq 1$, $\varphi'_\omega(u)V_{j\omega}(u) \geq 0$ for all $u \in X$ and $V_{j\omega}(u) = 0$ for all $u \in X \setminus B_{4\rho_0}(\mathcal{A}_j(\omega))$,
- (ii) $\varphi'_\omega(u)V_{j\omega}(u) \geq \mu_{j\omega}$ if $u \in B_{\rho_0}(\mathcal{A}_j(\omega)) \cap \{\varphi_\omega \leq c_\infty + \bar{h}/2\}$,
- (iii) $\varphi'_\omega(u)V_{j\omega}(u) \geq \bar{\mu}$ if $u \in (B_{2\rho_0}(\mathcal{A}_j(\omega)) \setminus B_{\rho_0}(\mathcal{A}_j(\omega))) \cap \{\varphi_\omega \leq c_\infty + \bar{h}/2\}$,
- (iv) $\langle u, V_{j\omega}(u) \rangle_{\mathbb{R}^N \setminus \bar{B}_{\frac{1}{2\omega}}(x_j)} \geq 0$ if $\|u\|_{\mathbb{R}^N \setminus \bar{B}_{\frac{1}{2\omega}}(x_j)} \geq \varepsilon$.

Now we construct infinitely many minimax classes of mountain pass type for any functional φ_ω with $\omega > 0$ sufficiently small.

First, we point out that, by Lemma 2.3, there exists $u_\infty \in X$ such that $\varphi_\infty(u_\infty) = c_\infty$ and $\varphi'_\infty(u_\infty) = 0$. Moreover, by Remark 2.1, there exists $\gamma_\infty \in \Gamma$, with $\text{range } \gamma_\infty \subset \{su_\infty : s \geq 0\}$, satisfying:

- (i) $\max_{s \in [0, 1]} \varphi_\infty(\gamma_\infty(s)) = \varphi_\infty(u_\infty)$,
- (ii) for every $r > 0$ there is $h_r > 0$ such that $\varphi_\infty(u) \leq c_\infty - h_r$ for any $u \in \text{range } \gamma_\infty$ with $\|u - u_\infty\| \geq r$.

Let us fix $M > 0$ such that $\sup_{u \in B_{4\rho_0}(\mathcal{A}_j(\omega))} \|u\| \leq M$ for all $\omega \in (0, \bar{\omega})$, $j \geq j(\omega)$ and $\max_{s \in [0, 1]} \|\gamma_\infty(s)\| \leq M$. This is possible because of (2.1).

Then, fixing $\hat{\varepsilon} > 0$ small enough (precisely $\hat{\varepsilon} < (1/8) \min\{\bar{\varepsilon}, h_{\rho_0}, \bar{\mu}\rho_0\}$ where h_{ρ_0} is defined in the above property (ii) and $\bar{\mu}$ and $\bar{\varepsilon}$ in Lemma 2.7), let us define

$$\Gamma_j(\omega) = \{\gamma \in \Gamma : \|\gamma(s)\| \leq M \text{ and } \|\gamma(s)\|_{\mathbb{R}^N \setminus \bar{B}_{\frac{1}{\omega}}(x_j)} \leq \hat{\varepsilon} \ \forall s \in [0, 1]\}.$$

The classes of mountain pass paths $\Gamma_j(\omega)$ satisfy the following properties:

LEMMA 2.8. *There exists $\hat{\omega} \in (0, \omega_{\hat{\varepsilon}})$ such that for all $\omega \in (0, \hat{\omega})$ and $j \geq j(\omega)$, setting $\gamma_j(s) = \gamma_{\infty}(s)(\cdot - x_j)$ for all $s \in [0, 1]$, there results:*

- (i) $\gamma_j \in \Gamma_j(\omega)$,
- (ii) $\max_{s \in [0, 1]} \varphi_{\omega}(\gamma_j(s)) \leq c_{\infty} + \hat{\varepsilon}$,
- (iii) if $\gamma_j(s) \notin B_{\rho_0}(\mathcal{A}_j(\omega))$ then $\varphi_{\omega}(\gamma_j(s)) \leq c_{\infty} - h_{\rho_0}/2$.

In particular $\Gamma_j(\omega) \neq \emptyset$ for all $\omega \in (0, \hat{\omega})$ and $j \geq j(\omega)$, and we can define the corresponding minimax values

$$c_j(\omega) = \inf_{\gamma \in \Gamma_j(\omega)} \max_{s \in [0, 1]} \varphi_{\omega}(\gamma(s)).$$

These mountain pass levels are close to the mountain pass level c_{∞} in the sense explained by the following Lemma.

LEMMA 2.9. *For all $\omega \in (0, \hat{\omega})$ there exists $\hat{j}(\omega) \geq j(\omega)$ such that $|c_j(\omega) - c_{\infty}| \leq \hat{\varepsilon}$ for all $j \geq \hat{j}(\omega)$.*

Now we can prove that for $\omega > 0$ sufficiently small, the functional φ_{ω} admits infinitely many critical points. More precisely we show that:

LEMMA 2.10. *If $\omega \in (0, \hat{\omega})$ then $\mathcal{A}_j(\omega) \cap \mathcal{K}_{\omega} \neq \emptyset$ for every $j \geq \hat{j}(\omega)$.*

PROOF. Arguing by contradiction, suppose that there exist $\omega \in (0, \hat{\omega})$ and $j \geq \hat{j}(\omega)$ such that $\mathcal{A}_j(\omega) \cap \mathcal{K}_{\omega} = \emptyset$. Let $V_{j\omega}: X \rightarrow X$ be the pseudogradient vector field given by Lemma 2.7 and let $\eta \in C(\mathbb{R} \times X, X)$ be the associated flow, given by the solution of the Cauchy problem

$$\begin{cases} \frac{d\eta(t, u)}{dt} = -V_{j\omega}(\eta(t, u)) \\ \eta(0, u) = u. \end{cases}$$

Note that η is well defined and continuous in $\mathbb{R} \times X$ because the field $V_{j\omega}$ is a bounded, locally Lipschitz continuous function. Moreover, by the properties of $V_{j\omega}$ stated in Lemma 2.7, for a fixed $\tau > 0$ large enough, the function $\eta_{j\omega}(u) = \eta(\tau, u)$ satisfies:

- (i) $\eta_{j\omega}(u) = u$ for all $u \in X \setminus B_{4\rho_0}(\mathcal{A}_j(\omega))$,
- (ii) $\varphi_{\omega}(\eta_{j\omega}(u)) \leq \varphi_{\omega}(u)$ for all $u \in X$,
- (iii) $\varphi_{\omega}(\eta_{j\omega}(u)) \leq \varphi_{\omega}(u) - \bar{\mu}\rho_0$ if $u \in B_{\rho_0}(\mathcal{A}_j(\omega)) \cap \{\varphi_{\omega} \leq c_{\infty} + \bar{h}/2\}$,
- (iv) $\|\eta_{j\omega}(u)\|_{\mathbb{R}^N \setminus \bar{B}_{\frac{1}{\omega}}(x_j)} \leq \varepsilon$ if $\|u\|_{\mathbb{R}^N \setminus \bar{B}_{\frac{1}{\omega}}(x_j)} \leq \varepsilon$.

Let now $\hat{\gamma}_j(s) = \eta_{j\omega}(\gamma_j(s))$ for $s \in [0, 1]$, where $\gamma_j \in \Gamma_j(\omega)$ is defined as in Lemma 2.8. By the above listed properties (i) and (iv) of $\eta_{j\omega}$, the class $\Gamma_j(\omega)$ is invariant under the deformation $\eta_{j\omega}$ and then $\hat{\gamma}_j \in \Gamma_j(\omega)$. We claim that $\max_{s \in [0, 1]} \varphi_{\omega}(\hat{\gamma}_j(s)) \leq c_j(\omega) - \hat{\varepsilon}$

and therefore we get a contradiction with the definition of $c_j(\omega)$. Indeed, if $\gamma_j(s) \notin B_{\rho_0}(\mathcal{A}_j(\omega))$, by the property (ii) of $\eta_{j\omega}$ and by Lemma 2.8 (iii), we have $\varphi_\omega(\hat{\gamma}_j(s)) \leq \varphi_\omega(\gamma_j(s)) \leq c_\infty - h_{\rho_0}/2 \leq c_\infty - 2\hat{\varepsilon}$, since $\hat{\varepsilon} < h_{\rho_0}/4$. On the other hand, if $\gamma_j(s) \in B_{\rho_0}(\mathcal{A}_j(\omega))$, by the property (iii) of $\eta_{j\omega}$ and by Lemma 2.8 (ii), we have $\varphi_\omega(\hat{\gamma}_j(s)) \leq \varphi_\omega(\gamma_j(s)) - \bar{\mu}\rho_0 \leq c_\infty + \hat{\varepsilon} - \bar{\mu}\rho_0 \leq c_\infty - 2\hat{\varepsilon}$, since $\hat{\varepsilon} \leq \bar{\mu}\rho_0/9$. Therefore, by Lemma 2.9, for all $s \in [0, 1]$ we conclude that $\varphi_\omega(\hat{\gamma}_j(s)) \leq c_\infty - 2\hat{\varepsilon} \leq c_j(\omega) - \hat{\varepsilon}$. \square

We remark that by the arbitrariness of $\bar{\alpha} > 0$ and $a \in L^\infty(\mathbb{R}^N)$ with $\text{ess inf } a > 0$, the above result shows that the problem (P_a) admits infinitely many solutions whenever a belongs to a dense subset of $\{a \in L^\infty(\mathbb{R}^N) : a \geq 0\}$.

Then Theorem 1.1 follows by the next final Lemma.

LEMMA 2.11. *If $\omega \in (0, \hat{\omega})$, there exists $\beta_0 > 0$ such that if $\|\beta\|_{L^\infty(\mathbb{R}^N)} \leq \beta_0$ then the problem $(P_{a+\alpha_\omega+\beta})$ admits infinitely many solutions.*

PROOF. Given $\beta \in L^\infty(\mathbb{R}^N)$ we denote $\varphi_{\omega\beta}(u) = \varphi_\omega(u) - \int_{\mathbb{R}^N} \beta(x)F(u) dx$ and $\mathcal{K}_{\omega\beta} = \{u \in X \setminus \{0\} : \varphi'_{\omega\beta}(u) = 0\}$. We note that $a + \alpha_\omega + \beta \in \mathcal{F}$ whenever $\|\beta\|_{L^\infty(\mathbb{R}^N)} \leq a_0$.

Letting M be the constant fixed before the definition of $\Gamma_j(\omega)$, there exists $C = C(M) > 0$ such that

$$(2.2) \quad \sup_{\|u\| \leq M} |\varphi_{\omega\beta}(u) - \varphi_\omega(u)| \leq C\|\beta\|_{L^\infty(\mathbb{R}^N)},$$

$$(2.3) \quad \sup_{\|u\| \leq M} \|\varphi'_{\omega\beta}(u) - \varphi'_\omega(u)\| \leq C\|\beta\|_{L^\infty(\mathbb{R}^N)}.$$

We claim that if $\omega \in (0, \hat{\omega})$ and $j \geq \hat{j}(\omega)$ then $\mathcal{K}_{\omega\beta} \cap \mathcal{A}_j(\omega) \neq \emptyset$ whenever $\|\beta\|_{L^\infty} \leq \beta_0$, being $\beta_0 = (1/2) \min\{a_0, \hat{\varepsilon}/C\}$ with $\hat{\varepsilon} > 0$ fixed above.

Indeed, arguing by contradiction, assume that $\mathcal{K}_{\omega\beta} \cap \mathcal{A}_j(\omega) = \emptyset$ for some $\omega \in (0, \hat{\omega})$ and $j \geq \hat{j}(\omega)$. Then, using (2.2) and (2.3), one can see that

- (1) there exists $\nu_j > 0$ such that $\|\varphi'_{\omega\beta}(u)\| \geq \nu_j$ for all $u \in \mathcal{A}_j(\omega) \cap \{\varphi_\omega \leq c_\infty + 2\bar{h}/3\}$.
- (2) $\|\varphi'_{\omega\beta}(u)\| \geq \bar{\nu}/2$ for all $u \in (B_{4\rho_0}(\mathcal{A}_j(\omega)) \setminus \mathcal{A}_j(\omega)) \cap \{\varphi_\omega \leq c_\infty + \bar{h}\}$.

By (1) and (2), since $a + \alpha_\omega + \beta \in \mathcal{F}$, it is possible to show the existence of a pseudogradient vector field $\tilde{V}_j : X \rightarrow X$ satisfying:

- (i) $\|\tilde{V}_j(u)\| \leq 1$, $\varphi'_{\omega\beta}(u)\tilde{V}_j(u) \geq 0$ for all $u \in X$ and $\tilde{V}_j(u) = 0$ for all $u \in X \setminus B_{4\rho_0}(\mathcal{A}_j(\omega))$,
- (ii) $\varphi'_{\omega\beta}(u)\tilde{V}_j(u) \geq \mu_j > 0$ if $u \in B_{\rho_0}(\mathcal{A}_j(\omega)) \cap \{\varphi_\omega \leq c_\infty + \bar{h}/2\}$,
- (iii) $\varphi'_{\omega\beta}(u)\tilde{V}_j(u) \geq \bar{\mu}/2$ if $u \in (B_{2\rho_0}(\mathcal{A}_j(\omega)) \setminus B_{\rho_0}(\mathcal{A}_j(\omega))) \cap \{\varphi_\omega \leq c_\infty + \bar{h}/2\}$,
- (iv) $\langle u, \tilde{V}_j(u) \rangle_{\mathbb{R}^N \setminus \bar{B}_{\frac{1}{\omega}}(x_j)} \geq 0$ if $\|u\|_{\mathbb{R}^N \setminus \bar{B}_{\frac{1}{\omega}}(x_j)} \geq \hat{\varepsilon}$.

Considering the flow associated to the field \tilde{V}_j , we obtain the existence of a continuous function $\eta_j : X \rightarrow X$ which verifies:

- (i)' $\eta_j(u) = u$ for all $u \in X \setminus B_{4\rho_0}(\mathcal{A}_j(\omega))$,
- (ii)' $\varphi_{\omega\beta}(\eta_j(u)) \leq \varphi_{\omega\beta}(u)$ for all $u \in X$,

- (iii)' $\varphi_{\omega\beta}(\eta_j(u)) \leq \varphi_{\omega\beta}(u) - \bar{\mu}\rho_0/2$ if $u \in B_{\rho_0}(\mathcal{A}_j(\omega)) \cap \{\varphi_\omega \leq c_\infty + \bar{h}/2\}$,
 (iv)' $\|\eta_j(u)\|_{\mathbb{R}^N \setminus \bar{B}_\perp(x_j)} \leq \varepsilon$ if $\|u\|_{\mathbb{R}^N \setminus \bar{B}_\perp(x_j)} \leq \varepsilon$.

Then, considering the path $\tilde{\gamma}_j(s) = \eta_j(\gamma_\infty(s)(\cdot - x_j))$, $s \in [0, 1]$, by (i)' and (iv)' $\tilde{\gamma}_j \in \Gamma_j(\omega)$. Then, by (2.2), (ii)' and (iii)', since $\hat{\varepsilon} < (1/8) \min\{b_{\rho_0}, \bar{\mu}\rho_0\}$, using Lemma 2.9, we get $\max_{s \in [0,1]} \varphi_\omega(\tilde{\gamma}_j(s)) \leq \max_{s \in [0,1]} \varphi_{\omega\beta}(\tilde{\gamma}_j(s)) + \hat{\varepsilon}/2 \leq \max\{c_\infty - b_{\rho_0}/2 + \hat{\varepsilon}, c_\infty - \bar{\mu}\rho_0/2 + 2\hat{\varepsilon}\} < c_j(\omega)$, a contradiction. \square

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