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## **A perturbation problem in the presence of affine symmetries**

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**Fisica matematica.** — *A perturbation problem in the presence of affine symmetries.*  
Nota di TULLIO VALENT, presentata (\*) dal Socio G. Grioli.

ABSTRACT. — An approach to a local analysis of solutions of a perturbation problem is proposed when the unperturbed operator has affine symmetries. The main result is a local theorem on existence, uniqueness, and analytic dependence on a parameter.

KEY WORDS: Perturbation problems; Affine symmetries for operators; Existence theorems.

RIASSUNTO. — *Un problema di perturbazione in presenza di simmetrie affini.* Si propone un approccio ad un'analisi locale delle soluzioni di un problema di perturbazione dove l'operatore imperturbato possiede delle simmetrie di tipo affine. Il risultato principale è un teorema locale di esistenza, unicità e dipendenza analitica di un parametro.

#### PREFACE

We consider a (perturbation) problem of the form  $A(x) + \varepsilon B(x) = 0$ , with  $A$  and  $B$  given operators from an open subset  $U$  of a Banach space  $X$  into a Banach space  $Y$  and  $\varepsilon$  a parameter, and suppose that the (unperturbed) operator  $A$  has affine symmetries of the type studied in Valent [4]. These symmetries are described by means of affine representations of a Lie group  $G$  on  $X$  and on  $Y$  connected by a linear mapping from  $X$  into  $Y$ .

We observe, as an example, that the perturbation problems arising in nonlinear, or linear, elastostatics when the loads depend on the unknown deformation in a general way are included in our abstract perturbation scheme.

The presence of symmetries for  $A$  leads to compatibility conditions on  $A$ ,  $B$ ,  $\varepsilon$ , which give rise to serious difficulties in pursuit of local existence theorems. The aim of this paper is to present a strategy for making a local analysis of solutions of the perturbation problem. To this end a crucial role is played by Lemma 4.1. In Section 5 we show that it is possible to associate to the operator  $B$ , at any pair  $(\xi_0, g_0) \in U \times G$ , some linear subspaces of the tangent space to  $G$  at its identity element which serve to distinguish the situations of essential singularity from those in which the singularity is apparent.

A first achievement obtained by following the ideas exposed in Sections 4 and 5 is a theorem of local existence, uniqueness, and analytic dependence on  $\varepsilon$  (see Theorem 6.1).

#### 1. DESCRIPTION OF AN ABSTRACT SETTING

Let  $(X, \|\cdot\|_X)$  and  $(Y, \|\cdot\|_Y)$  be real Banach spaces. Following Valent [4], we denote by  $\mathcal{A}(X)$  the (Banach) space of continuous, affine mapping from  $X$  into itself equipped with the norm  $\psi \mapsto \|\psi(0)\|_X + \sup\{\|\psi(x) - \psi(0)\|_X : \|x\|_X \leq 1\}$ , and by  $\mathcal{L}(X)$  the

(\*) Nella seduta del 13 giugno 1996.

subspace of  $\mathcal{A}(X)$  whose elements are (continuous) linear mappings.  $\mathcal{A}(Y)$  and  $\mathcal{L}(Y)$  have an analogous meaning. Moreover, let  $G$  be a Lie group, and let  $g \mapsto \varrho_g$  and  $g \mapsto \tilde{\varrho}_g$  be affine representations of  $G$  on  $X$  and on  $Y$ , respectively (*i.e.* homomorphisms of the group  $G$  into the group of invertible elements of  $\mathcal{A}(X)$ , and into the group of invertible elements of  $\mathcal{A}(Y)$ , respectively). The mappings  $g \mapsto \varrho_g$  and  $g \mapsto \tilde{\varrho}_g$  are supposed to be analytic; their differentials at the identity element  $e$  of the group  $G$  will be denoted by  $v \mapsto R_v$  and  $v \mapsto \tilde{R}_v$ , respectively. For any  $g \in G$ , let us denote by  $l_g$  the linear part of  $\varrho_g$  and by  $\tilde{l}_g$  the linear part of  $\tilde{\varrho}_g$ ; thus  $\varrho_g(x) = l_g(x) + \varrho_g(0) \forall x \in X$  and  $\tilde{\varrho}_g(y) = \tilde{l}_g(y) + \tilde{\varrho}_g(0) \forall y \in Y$ . Moreover, let  $v \mapsto L_v$  and  $v \mapsto \tilde{L}_v$  be the differentials at  $e$  of the mappings  $g \mapsto l_g$  and  $g \mapsto \tilde{l}_g$ , respectively; so the mappings  $v \mapsto R_v$ ,  $v \mapsto \tilde{R}_v$ ,  $v \mapsto L_v$ ,  $v \mapsto \tilde{L}_v$  send the tangent space  $T_e G$  at  $e$  to the manifold  $G$  into  $\mathcal{A}(X)$ ,  $\mathcal{A}(Y)$ ,  $\mathcal{L}(X)$ ,  $\mathcal{L}(Y)$ , respectively. It is easily seen that

$$R_v(x) = L_v(x) + R_v(0), \quad \tilde{R}_v(y) = \tilde{L}_v(y) + \tilde{R}_v(0)$$

for all  $v \in T_e G$ ,  $x \in X$ , and  $y \in Y$ . Finally, we set

$$\mathcal{R} = \{R_v : v \in T_e G\}, \quad \tilde{\mathcal{R}} = \{\tilde{R}_v : v \in T_e G\},$$

and, for any  $x \in X$  and  $y \in Y$ ,

$$\mathcal{R}(x) = \{R_v(x) : v \in T_e G\}, \quad \tilde{\mathcal{R}}(y) = \{\tilde{R}_v(y) : v \in T_e G\},$$

REMARK 1.1. For any  $g \in G$  we have

$$(1.1) \quad \{R_v \circ \varrho_g : v \in T_e G\} = \{l_g \circ R_v : v \in T_e G\}.$$

Consequently,

$$u \in \mathcal{R} \Rightarrow l_{g^{-1}} \circ u \circ \varrho_g \in \mathcal{R} \quad \forall g \in G.$$

PROOF. Let  $v \in T_e G$ , and for every  $\lambda \in \mathbf{R}$  let  $g_\lambda = \exp(\lambda v)$ . To the curve  $\lambda \mapsto g_\lambda$  in  $G$  we associate the curve  $\lambda \mapsto \bar{g}_\lambda$  in  $G$  defined by putting  $\bar{g}_\lambda = g^{-1} g_\lambda g$ , and we consider the element  $\bar{v}$  of  $T_e G$  defined by  $\bar{v} = ((d/d\lambda)\bar{g}_\lambda)_{\lambda=0}$ . Our proof is ended if we prove that  $R_v \circ \varrho_g = l_g \circ R_{\bar{v}}$ . In order to do this, it suffices to observe that, since

$$R_v = \left( \frac{d}{d\lambda} \varrho_{g_\lambda} \right)_{\lambda=0}, \quad R_{\bar{v}} = \left( \frac{d}{d\lambda} \varrho_{\bar{g}_\lambda} \right)_{\lambda=0},$$

we have

$$\begin{aligned} R_v \circ \varrho_g &= \left( \frac{d}{d\lambda} \varrho_{g_\lambda} \right)_{\lambda=0} \circ \varrho_g = \left( \frac{d}{d\lambda} (\varrho_{g_\lambda} \circ \varrho_g) \right)_{\lambda=0} = \left( \frac{d}{d\lambda} \varrho_{g_\lambda g} \right)_{\lambda=0} = \left( \frac{d}{d\lambda} \varrho_{\bar{g}_\lambda} \right)_{\lambda=0} = \\ &= \left( \frac{d}{d\lambda} (\varrho_g \circ \varrho_{\bar{g}_\lambda}) \right)_{\lambda=0} = l_g \circ \left( \frac{d}{d\lambda} \varrho_{\bar{g}_\lambda} \right)_{\lambda=0} = l_g \circ R_{\bar{v}}. \end{aligned}$$

The penultimate equality is true because  $\varrho_g \circ \varrho_{\bar{g}_\lambda} = \varrho_g \circ \varrho_{g_0} = l_g(\varrho_{\bar{g}_\lambda} - \varrho_{g_0})$ . ■

## 2. A PERTURBATION PROBLEM. ASSUMPTIONS ON THE UNPERTURBED OPERATOR

Let  $X, Y$  be real Banach spaces,  $U$  an open subset of  $X$ ,  $A: U \rightarrow Y$  and  $B: U \rightarrow Y$  smooth operators, and  $\varepsilon$  a real parameter. We shall deal with the problem of finding  $x \in U$  such that  $A(x) + \varepsilon B(x) = 0$ .

As regards the operator  $A$  we assume that it has the symmetries expressed by the following property: *an affine representation  $g \mapsto \rho_g$  of a Lie group  $G$  on  $X$ , an affine representation  $g \mapsto \tilde{\rho}_g$  of  $G$  on  $Y$ , a one-to-one, continuous, linear mapping  $\tau: X \rightarrow Y$ , and an inner product  $(y_1, y_2) \mapsto y_1 \cdot y_2$  on  $Y$  exist such that  $\rho_g(U) \subseteq U \forall g \in G$ , and*

$$(2.1) \quad \tilde{\rho}_g \circ \tau = \tau \circ \rho_g \quad \forall g \in G$$

$$(2.2) \quad A(\rho_g(x)) = \tilde{I}_g(A(x)) \quad \forall g \in G \quad \text{and} \quad \forall x \in U,$$

$$(2.3) \quad A(x) \cdot \tilde{R}_v(\tau(x)) = 0 \quad \forall v \in T_e G \quad \text{and} \quad \forall x \in U.$$

We emphasize the fact (proved in Valent [4, Theorem 3.2]) that, under suitable hypotheses on the operator  $A$  and the representations  $g \mapsto \rho_g$  and  $g \mapsto \tilde{\rho}_g$  of  $G$ , condition (2.3) follows from ((2.1), (2.2)).

We note that (2.1) easily get  $\tilde{R}_v \circ \tau = \tau \circ R_v$  for all  $v \in T_e G$ , and so (2.3) takes the form

$$(2.3)' \quad A(x) \cdot \tau(R_v(x)) = 0 \quad \forall v \in T_e G \quad \text{and} \quad \forall x \in U,$$

namely the form

$$(2.3)'' \quad A(x) \in \mathcal{N}(x)^0 \quad \forall x \in U,$$

where  $\mathcal{N}(x) = \tilde{R}(\tau(x))$ , i.e.

$$\mathcal{N}(x) = \{ \tilde{R}_v(\tau(x)) : v \in T_e G \} = \{ (\tau(R_v(x))) : v \in T_e G \},$$

and  $\mathcal{N}(x)^0$  denotes the orthogonal of  $\mathcal{N}(x)$  in the Hausdorff pre-Hilbert space  $(Y, \cdot)$ .

We further suppose that there is  $\xi_0 \in U$  such that

$$(2.4) \quad A(\xi_0) = 0,$$

$$(2.5) \quad \text{Ker } A'(\xi_0) \subseteq \mathcal{R}(\xi_0),$$

$$(2.6) \quad \dim \text{Ker } A'(\xi_0) \geq \text{codim } \text{Im } A'(\xi_0),$$

where  $A'(\xi_0)$  denotes the differential of  $A$  at  $\xi_0$ .

From ((2.2), (2.4)) it follows without difficulty that  $\text{Ker } A'(\xi_0) \supseteq \mathcal{R}(\xi_0)$ . Then, combining (2.2), (2.4) and (2.5), we get

$$(2.7) \quad \text{Ker } A'(\xi_0) = \mathcal{R}(\xi_0).$$

On the other hand ((2.3), (2.4)) implies  $A'(\xi_0)(x) \in \mathcal{N}(\xi_0)^0 \quad \forall x \in X$ , namely  $\text{Im } A'(\xi_0) \subseteq \mathcal{N}(\xi_0)^0$ ; then, as  $\dim \mathcal{N}(\xi_0) = \dim \mathcal{R}(\xi_0) < +\infty$ , it is easily seen, by using (2.5) and (2.6), that  $\text{Im } A'(\xi_0) = \mathcal{N}(\xi_0)^0$ , and hence that

$$(2.8) \quad Y = \mathcal{N}(\xi_0) \oplus \text{Im } A'(\xi_0).$$

This fact is generalized by

REMARK 2.1. *Let the hypotheses (2.1), (2.2), (2.3), (2.4), (2.5), (2.6) be satisfied. Then for any  $g \in G$  we have*

$$(2.9) \quad Y = \mathcal{N}(\rho_g(\xi_0)) \oplus \text{Im } A'(\rho_g(\xi_0)),$$

*and the subspaces  $\mathcal{N}(\rho_g(\xi_0))$  and  $\text{Im } A'(\rho_g(\xi_0))$  of  $Y$  are orthogonal for the inner product  $\cdot$  on  $Y$ .*

PROOF. We fix  $g \in G$ . From (2.8) it follows that, for each  $y \in Y$  there are  $\xi \in X$  and  $v \in T_e G$ , with  $\xi$  and  $R_v$  uniquely determined, such that

$$y = A'(\xi_0)(\xi) + (\tau \circ R_v)(\xi_0).$$

Then, putting  $x = \varrho_g(\xi)$ , we have

$$y = A'(\xi_0)(\varrho_{g^{-1}}(x)) + (\tau \circ l_{g^{-1}} \circ (l_g \circ R_v))(\xi_0).$$

On the other hand, in view of Remark 1.1, there is  $\bar{v} \in T_e G$  such that

$$l_g \circ R_v = R_{\bar{v}} \circ \varrho_g.$$

Therefore

$$y = A'(\xi_0)(\varrho_{g^{-1}}(x)) + (\tau \circ l_{g^{-1}})(R_{\bar{v}}(\varrho_g(\xi_0))).$$

Now, we observe that from (2.2) it easily follows that

$$A'(\varrho_g(\xi_0)) \circ \varrho_g = \tilde{l}_g \circ A'(\xi_0).$$

Then

$$\tilde{l}_g(y) = A'(\varrho_g(\xi_0))(x) + (\tilde{l}_g \circ \tau \circ l_{g^{-1}})(R_{\bar{v}}(\varrho_g(\xi_0))),$$

namely, as  $\tilde{l}_g \circ \tau \circ l_{g^{-1}} = \tau$ ,

$$\tilde{l}_g(y) = A'(\varrho_g(\xi_0))(x) + (\tau \circ R_{\bar{v}})(\varrho_g(\xi_0)).$$

Thus (2.9) is true, because  $\tilde{l}_g$  is a bijection of  $Y$  onto itself. Finally, in order to prove that  $\mathcal{N}(\varrho_g(\xi_0))$  and  $\text{Im} A'(\varrho_g(\xi_0))$  are orthogonal, it suffices to observe that ((2.2), (2.4)) implies  $A(\varrho_g(\xi_0)) = 0$ , and hence from (2.3) it follows that  $A'(\varrho_g(\xi_0))(\xi)$  belongs to  $\mathcal{N}(\varrho_g(\xi_0))^0 \forall \xi \in X$ . ■

\* \* \*

Let us fix  $\bar{v}_1, \dots, \bar{v}_r \in T_e G$  such that  $(R_{\bar{v}_1}, \dots, R_{\bar{v}_r})$  is a base of  $\mathcal{R}$  and consider the mapping  $\gamma: X \times Y \rightarrow \mathbf{R}^r$  defined by putting

$$\gamma(x, y) = (y \cdot \tilde{R}_{\bar{v}_j}(\tau(x)))_{j=1, \dots, r}, \quad \text{namely } \gamma(x, y) = (y \cdot \tau(R_{\bar{v}_j}(x)))_{j=1, \dots, r},$$

for any  $(x, y) \in X \times Y$ . The mapping  $\gamma$ , which will have an important role, actually depends on the choice of the base  $(R_{\bar{v}_1}, \dots, R_{\bar{v}_r})$  of  $\mathcal{R}$ , but the points where  $\gamma$  vanishes are independent of the choice of the base of  $\mathcal{R}$ ; we emphasize the fact that we are interested to such points and not to the particular function  $\gamma$  vanishing at them. Clearly,  $\gamma$  is continuous, linear in  $y$ , and affine in  $x$ . We observe that from the definition of  $\gamma$  it immediately follows that

$$\gamma(x, y) = 0 \Leftrightarrow y \in \mathcal{N}(x)^0.$$

Later, we shall suppose that, for  $(x, y) \in X \times Y$ ,

$$(2.10) \quad \gamma(x, y) = 0 \Rightarrow \gamma(\varrho_g(x), \tilde{l}_g(y)) = 0 \quad \forall g \in G.$$

REMARK 2.2. Condition (2.10) is satisfied provided, for  $y_1, y_2 \in Y$ ,

$$(2.11) \quad y_1 \cdot y_2 = 0 \Rightarrow \tilde{l}_g(y_1) \cdot \tilde{l}_g(y_2) = 0 \quad \forall g \in G.$$

PROOF. Suppose that (2.11) holds, and let  $(x, y) \in X \times Y$  be such that  $\gamma(x, y) = 0$ , i.e.

$$y \cdot \tau(R_{\bar{v}_j}(x)) = 0 \quad \forall j = 1, \dots, r,$$

where  $(R_{\bar{v}_j})_{j=1, \dots, r}$  is a base of  $\mathcal{R}$ . Then, in view of (2.11),

$$\tilde{l}_g(y) \cdot \tilde{l}_g(\tau(R_{\bar{v}_j}(x))) = 0 \quad \forall j = 1, \dots, r,$$

namely, by (2.1),

$$\tilde{l}_g(y) \cdot \tau(l_g(R_{\bar{v}_j}(x))) = 0 \quad \forall j = 1, \dots, r.$$

Therefore, since in view of Remark 1.1 there are  $v_1, \dots, v_r \in T_e G$  such that

$$R_{v_j} = l_g \circ R_{\bar{v}_j} \circ Q_g^{-1},$$

we have

$$\tilde{l}_g(y) \cdot \tau(R_{v_j}(Q_g(x))) = 0 \quad \forall j = 1, \dots, r,$$

which means  $\gamma(Q_g(x), \tilde{l}_g(y)) = 0$ , because it is easy to see that  $(R_{v_j})_{j=1, \dots, r}$ , as well as  $(R_{\bar{v}_j})_{j=1, \dots, r}$ , is a base of  $\mathcal{R}$ . ■

### 3. TWO EXAMPLES FROM FINITE AND LINEAR ELASTOSTATICS

Let us take out from the finite and linear elastostatics two examples of operators  $A: X \rightarrow Y$  having the properties (2.1)-(2.6). As in Valent [4, Sect. 5], we take  $Y = Y_1 \times Y_2$  and we make the following two choices (within Sobolev and Schauder spaces) of the Banach spaces  $X, Y_1, Y_2$ :

$$(3.1) \quad \begin{cases} X = W^{m+2,p}(\Omega, \mathbf{R}^n) \\ Y_1 = W^{m,p}(\Omega, \mathbf{R}^n); \quad Y_2 = W^{m+1-1/p,p}(\partial\Omega, \mathbf{R}^n) \end{cases}$$

$$(3.2) \quad \begin{cases} X = C^{m+2,\lambda}(\bar{\Omega}, \mathbf{R}^n) \\ Y_1 = C^{m,\lambda}(\bar{\Omega}, \mathbf{R}^n); \quad Y_2 = C^{m+1,\lambda}(\partial\Omega, \mathbf{R}^n), \end{cases}$$

where  $\Omega$  is a smooth, bounded, open subset of  $\mathbf{R}^n$ ,  $\partial\Omega$  is its boundary,  $1 < p \in \mathbf{R}$ ,  $\lambda \in ]0, 1[$ , and  $p(m+1) > n$ . For the definitions and properties of these spaces we refer to Valent [3].

For both choices of  $X$  and  $Y$ , we take as  $\tau: X \rightarrow Y$  the function defined by  $\tau(x) = (x, x|_{\partial\Omega})$ , and consider the inner product  $\cdot$  induced on  $Y$  by the usual inner product on  $L^2(\Omega, \mathbf{R}^n) \times L^2(\partial\Omega, \mathbf{R}^n)$ . Furthermore, we take as  $U$  the set of elements of  $X$  that are orientation-preserving diffeomorphism of  $\bar{\Omega}$  onto a subset of  $\mathbf{R}^n$ . Since  $X$  is continuously embedded in  $C^1(\bar{\Omega}, \mathbf{R}^n)$ ,  $U$  is an open subset of  $X$  (see, for instance, Hirsch [1, Ch. 2, Th. 1.4]).

As a first example, let  $(t, Z) \mapsto s(t, Z)$  be a given function from  $\bar{\Omega} \times M_n^+$  into  $M_n$ , where  $M_n$  is the set of  $n \times n$  real matrices and  $M_n^+ = \{Z \in M_n : \det Z > 0\}$ , and consi-

der the ( $n$ -dimensional version of the) finite elastostatics operator  $A: U \rightarrow Y$  defined by

$$(3.3) \quad A(x) = (-\operatorname{div} S(x), S(x)|_{\partial\Omega} \nu),$$

where  $\nu$  is the outward, unit normal to  $\partial\Omega$ , and  $S(x)$  is the function from  $\bar{\Omega}$  into  $\mathbf{M}_n$  defined by setting

$$(3.4) \quad S(x)(t) = s(t, \partial x(t))$$

for all  $t \in \bar{\Omega}$ . Here  $\partial x(t)$  denotes the gradient at  $t$  of the function  $x: \bar{\Omega} \rightarrow \mathbf{R}^n$ . We suppose that

$$(3.5) \quad s(t, RZ) = R s(t, Z) \quad \forall (t, Z, R) \in \Omega \times \mathbf{M}_n^+ \times \mathbf{O}_n^+,$$

$$(3.6) \quad s(t, Z) Z^T \in \operatorname{Sym}_n \quad \forall (t, Z) \in \Omega \times \mathbf{M}_n^+,$$

where  $Z^T$  is the transpose of the matrix  $Z$ ,  $\mathbf{O}_n^+$  denotes the set of  $Z \in \mathbf{M}_n^+$  such that  $Z^T = Z^{-1}$ , and  $\operatorname{Sym}_n$  denotes the set of symmetric elements of  $\mathbf{M}_n$ . In the physical context:  $\Omega$  represents a reference configuration of an elastic body, the function  $x$  represents a deformation of the body, the function  $s$  is the response function for the first Piola-Kirchhoff stress, the symmetry (3.5) follows from the material frame indifference, while (3.6) is a consequence of the balance of angular momentum. On the function  $s$  we make the further two hypotheses:

$$(3.7) \quad s(t, I) = 0 \quad \forall t \in \Omega,$$

$$(3.8) \quad \sum_{i,j,b,k=1}^n \partial_{Z_{bk}} s(t, I) Z_{bk} Z_{ij} > 0 \quad \forall t \in \bar{\Omega} \quad \text{and} \quad \forall Z \in \operatorname{Sym}_n \setminus \{0\},$$

where  $I$  is the unit element of the ring  $\mathbf{M}_n$ . Note that  $I = \partial \iota_\Omega$ , where  $\iota_\Omega$  denotes the identity function from  $\Omega$  into  $\mathbf{R}^n$ . Thus (3.7) and (3.8) concerns the behaviour of the function  $s$  at the deformation  $\iota_\Omega$ , namely at the reference configuration: (3.7) means that the reference configuration is unstressed, and (3.8) is usually assumed when (3.7) holds. It is not difficult to see that if (3.5) and (3.6) holds, then the operator  $A$  defined by ((3.3), (3.4)) has the properties (2.1), (2.2), (2.3) when  $G$  is the group of isometries of  $\mathbf{R}^n$  (i.e., function from  $\mathbf{R}^n$  onto  $\mathbf{R}^n$  of the type  $y \mapsto c + Ry$ , with  $c \in \mathbf{R}^n$  and  $R \in \mathbf{O}_n^+$ ) and  $\varrho_g, \bar{\varrho}_g$  are defined by putting

$$\varrho_g(x) = g \circ x, \quad \bar{\varrho}_g(y_1, y_2) = (g \circ y_1, g \circ y_2)$$

for all  $x \in X$ ,  $y_1 \in Y_1$  and  $y_2 \in Y_2$ . (Cf. Valent [4]). Furthermore, it is possible to prove (see Valent [3, Chapter III]) that, under hypotheses (3.5)-(3.8), if  $\Omega$  is of class  $C^{m+2}$  and  $s \in C^{m+2}(\bar{\Omega} \times \mathbf{M}_n, \mathbf{M}_n)$  [respectively  $\Omega$  of class  $C^{m+2,\lambda}$  and  $s \in C^{m+3}(\bar{\Omega} \times \mathbf{M}_n, \mathbf{M}_n)$ ], then  $A$  is a  $C^m$ -mapping when  $X, Y_1, Y_2$  are defined by (3.1) [respectively by (3.2)], and moreover

$$\operatorname{Ker} A'(\iota_\Omega) = \mathcal{R}(\iota_\Omega), \quad \operatorname{Im} A'(\iota_\Omega) = \mathcal{N}(\iota_\Omega)^0,$$

whence

$$Y = \mathcal{N}(\iota_\Omega) \oplus \operatorname{Im} A'(\iota_\Omega),$$

or, more in general,

$$Y = \mathcal{N}(\varrho_g(\iota_\Omega)) \oplus \operatorname{Im} A'(\varrho_g(\iota_\Omega))$$

for any isometry  $g$  of  $\mathbf{R}^n$  (by Remark 2.1). It is interesting to observe that, for a suitable choice of the base of  $\mathcal{R}$ , we have

$$(3.9) \quad \gamma(x, y)(t) = \left( \int_{\Omega} y_1 + \int_{\partial\Omega} y_2 \right) + \left( \int_{\Omega} x \wedge y_1 + \int_{\partial\Omega} x \wedge y_2 \right) t, \quad t \in \overline{\Omega},$$

for any  $x \in X$  and  $y = (y_1, y_2) \in Y$ , where  $x \wedge y_1$  and  $x \wedge y_2$  are pointwise defined, namely  $(x \wedge y_1)(t) = x(t) \wedge y_1(t) \forall t \in \overline{\Omega}$  and  $(x \wedge y_2)(t) = x(t) \wedge y_2(t) \forall t \in \partial\Omega$ , with  $\wedge$  the external product on  $\mathbf{R}^n$ .

The *second example* concerns the ( $n$ -dimensional version of the) linear elastostatics. In this case the operator  $A$  has the form (3.3), with  $S(x)$  defined by

$$S(x) = \left( \sum_{b, k=1}^n s_{ijbk} \partial_k x_b \right)_{i, j=1, \dots, n},$$

where the  $s_{ijbk}$  are given real-valued functions defined on  $\overline{\Omega}$  and  $x_b$  is the  $b$ -th component of the  $\mathbf{R}^n$ -valued function  $x$ . In Valent [4] it has been remarked that, if

$$s_{ijbk} = s_{bkij} = s_{jibk},$$

then  $A$  has the properties (2.1), (2.2), (2.3) when  $G$  is the tangent space at the identity function on  $\mathbf{R}^n$  to the manifold of isometries of  $\mathbf{R}^n$  (i.e.,  $G$  is the set of functions  $g: \mathbf{R}^n \rightarrow \mathbf{R}^n$  of the type  $g(t) = c + Wt$ ,  $t \in \mathbf{R}^n$ , with  $c \in \mathbf{R}^n$  and  $W$  a skewsymmetric element of  $M_n$ ) and  $\varrho_g, \tilde{\varrho}_g$  are defined by putting

$$\varrho_g(x) = x + g|_{\Omega}, \quad \tilde{\varrho}_g(y_1, y_2) = (y + g|_{\Omega}, y + g|_{\partial\Omega}).$$

If, in addition,

$$\sum_{i, j, b, k=1}^n s_{ijbk}(t) Z_{ij} Z_{bk} > 0 \quad \forall t \in \overline{\Omega} \quad \text{and} \quad \forall Z \in \text{Sym}_n \setminus \{0\},$$

it is known (see Valent [3]) that

$$Y = \mathcal{N}(0) \oplus \text{Im } A'(0) \quad (= \{(g|_{\Omega}, g|_{\partial\Omega}) : g \in G\} \oplus \text{Im } A).$$

Finally, we observe that a suitable choice of the base of  $\mathcal{R}$  leads to

$$\gamma(x, y)(t) = \left( \int_{\Omega} y_1 + \int_{\partial\Omega} y_2 \right) + \left( \int_{\Omega} \iota_{\Omega} \wedge y_1 + \int_{\partial\Omega} \iota_{\partial\Omega} \wedge y_2 \right) t, \quad t \in \overline{\Omega},$$

for all  $x \in X$  and  $y = (y_1, y_2) \in Y$ , where  $\iota_{\partial\Omega}$  denotes the identity function from  $\partial\Omega$  into  $\mathbf{R}^n$ .

We observe that, in the context of elastostatics, *the operator  $B$  has the meaning of a loading operator*. Since here  $B$  is an arbitrary smooth mapping, our results apply to an arbitrary loading operator (depending on the deformation  $x$  in a quite general manner). For some concrete examples of loading operators we refer to Valent [4, Sect. 7], or Valent [3].

#### 4. A BASIC LEMMA AND PRELIMINARY REMARKS

Let  $\xi_0 \in X$  be such that (2.4), (2.5) and (2.6) hold. In view of ((2.2), (2.4)) we have, for any  $g_0 \in G$ ,  $A(\varrho_{g_0}(\xi_0)) = 0$ . Thus, for any  $g_0 \in G$ , the equation  $A(x) + \varepsilon B(x) = 0$  is

satisfied with  $x = \varrho_{g_0}(\xi_0)$  and  $\varepsilon = 0$ . We observe that a direct application of the implicit function theorem to this equation in order to express  $x$  as a function of  $\varepsilon$  near  $(\varrho_{g_0}(\xi_0), 0)$  is not possible because, by Remark 2.1, the partial differential with respect to  $x$  at  $(\varrho_{g_0}(\xi_0), 0)$  of the mapping  $(x, \varepsilon) \mapsto A(x) + \varepsilon B(x)$  takes its values in  $\mathcal{N}(\varrho_{g_0}(\xi_0))^0$ , while  $A(x) + \varepsilon B(x)$  does not belong to  $\mathcal{N}(\varrho_{g_0}(\xi_0))^0$ . We also remark that the condition  $A(x) + \varepsilon B(x) \in \mathcal{N}(\varrho_{g_0}(\xi_0))^0$ , i.e.  $\gamma(\varrho_{g_0}(\xi_0), A(x) + \varepsilon B(x)) = 0$ , involves the parameter  $\varepsilon$ , while, by virtue of (2.3)", the condition

$$A(x) + \varepsilon B(x) \in \mathcal{N}(x)^0, \quad \varepsilon \neq 0,$$

is satisfied if and only if  $B(x) \in \mathcal{N}(x)^0$  and hence does not involve  $\varepsilon$ . For this reason the following lemma will be important.

LEMMA 4.1. For each  $\bar{x} \in X$  there is a neighborhood  $U_{\bar{x}}$  of  $\bar{x}$  in  $X$  such that

$$\mathcal{N}(\bar{x}) \cap \mathcal{N}(x)^0 = \{0\} \quad \forall x \in U_{\bar{x}}.$$

PROOF.  $\mathcal{N}(\bar{x})$  is a linear subspace of  $Y$  of (finite) dimension  $r$ ; therefore it is closed. For any  $x \in X$  let  $\alpha(x)$  be the (continuous, linear) mapping from  $\mathcal{N}(\bar{x})$  into  $\mathbf{R}^r$  defined by putting

$$\alpha(x)(y) = \gamma(x, y)$$

for every  $y \in \mathcal{N}(\bar{x})$ . Note that  $\alpha(\bar{x})$  is one-to-one (and hence an isomorphism of  $\mathcal{N}(\bar{x})$  on to  $\mathbf{R}^r$  for the structures of topological linear space), because the condition  $\alpha(\bar{x})(y) = 0$  means  $y \in \mathcal{N}(\bar{x})^0$ , and so if  $\alpha(\bar{x})(y) = 0$  with  $y \in \mathcal{N}(\bar{x})$  then  $y = 0$ . It is easy to show that  $x \mapsto \alpha(x)$  is a continuous mapping from  $X$  into the space of all continuous, linear mappings from  $\mathcal{N}(\bar{x})$  into  $\mathbf{R}^r$  endowed with the bounded convergence topology. Therefore, as the set of invertible elements of this space is open in it, there is a neighborhood  $U_{\bar{x}}$  of  $\bar{x}$  in  $X$  such that  $\alpha(x)$  is one-to-one  $\forall x \in U_{\bar{x}}$ . Consequently, from  $y \in \mathcal{N}(\bar{x}) \cap \mathcal{N}(x)^0$  with  $x \in U_{\bar{x}}$  it follows  $y = 0$ , because the condition  $y \in \mathcal{N}(x)^0$  can be written in the form  $\alpha(x)(y) = 0$ . ■

Now, we observe that if  $g \in G$  and  $\xi = \varrho_{g^{-1}}(x)$ , then from (2.2) it follows that the equality  $A(x) + \varepsilon B(x) = 0$  is true if and only if

$$A(\xi) + \varepsilon \tilde{l}_g^{-1} B(\varrho_g(\xi)) = 0.$$

Then, with Lemma 4.1 in mind, our aim will be to see whether for some  $g_0 \in G$  it occurs that for any  $\xi$  near  $\xi_0$  in  $X$  there is an element  $\tilde{g}(\xi)$  of  $G$  near  $g_0$  such that

$$\tilde{l}_{\tilde{g}(\xi)}^{-1} B(\varrho_{\tilde{g}(\xi)}(\xi)) \in \mathcal{N}(\xi)^0,$$

namely

$$\gamma(\xi, \tilde{l}_{\tilde{g}(\xi)}^{-1} B(\varrho_{\tilde{g}(\xi)}(\xi))) = 0.$$

In this case, if  $E_{\xi_0}$  denotes a topologically supplementary subspace of  $\mathcal{R}(\xi_0)$  in  $X$  and  $p_{\xi_0}$  denotes the projection of  $Y$  onto its (closed) subspace  $\mathcal{N}(\xi_0)^0 (= \text{Im} A'(\xi_0))$ , then setting for  $(\eta, \varepsilon) \in E_{\xi_0} \times \mathbf{R}$

$$(4.1) \quad A(\eta, \varepsilon) = p_{\xi_0} (A(\xi_0 + \eta) + \varepsilon \tilde{l}_{\tilde{g}(\xi_0 + \eta)}^{-1} B(\varrho_{\tilde{g}(\xi_0 + \eta)}(\xi_0 + \eta))),$$

the implicit function theorem applies to the equation  $\mathcal{A}(\xi, \varepsilon) = 0$ . Indeed,  $\mathcal{A}$  takes its values in  $\mathcal{N}(\xi_0)^0$  and, by ((2.7), (2.8)), its partial differential with respect to  $\eta$  at  $(0, 0)$  is a bijection from  $E_{\xi_0}$  onto  $\mathcal{N}(\xi_0)^0$ .

5. SUBSPACES OF  $T_e G$  ASSOCIATED TO THE OPERATOR  $B$  AT ANY  $(\xi_0, g_0) \in X \times G$

Let us define, for any  $\xi \in U$ , a function  $M_\xi: G \rightarrow \mathcal{R}$  by putting

$$M_\xi(g) = \gamma(\varrho_g(\xi), B(\varrho_g(\xi)))$$

for all  $g \in G$ . For any  $g_0 \in G$ , the differential at  $e$  of the translation  $g \mapsto gg_0$  of  $G$  will be denoted by  $\tilde{g}_0$ ; so  $\tilde{g}_0$  is a continuous, linear mapping from  $T_e G$  into the tangent space  $T_{g_0} G$  to  $G$  at  $g_0$ .

DEFINITION 5.1. Let  $(\xi_0, g_0) \in U \times G$  and let  $0 \neq v \in T_e G$ . We will say that  $v$  is *critical at  $(\xi_0, g_0)$  for  $B$*  if the differential  $M'_{\xi_0}(g_0)$  of  $M_{\xi_0}$  at  $g_0$  vanishes at the element  $\tilde{g}_0(v)$  of  $T_{g_0} G$ .

DEFINITION 5.2. Let  $\langle , \rangle$  be the inner product on  $\mathcal{R}$  carried by the inner product of  $\mathcal{R}'$  when the base of  $\mathcal{R}$  is that one used for defining  $\gamma$ . For any  $(\xi_0, g_0) \in U \times G$  we will denote by  $\mathcal{X}_{\xi_0, g_0}(B)$  any maximal element of the set of linear subspaces  $\mathcal{X}$  of  $\mathcal{R}$  having the following property: «if  $R_v \in \mathcal{X}$  and  $\langle R_v, \gamma(x, B(x)) \rangle = 0$  for every  $x$  belonging to some neighborhood of  $\varrho_{g_0}(\xi_0)$  then  $R_v = 0$ ».

In order to clarifying the meaning of the definition of the subspaces  $\mathcal{X}_{\xi_0, g_0}(B)$  of  $\mathcal{R}$  it is useful to observe that, if  $(R_{v_1}, \dots, R_{v_r})$  is a base of  $\mathcal{R}$  and  $\bar{J}$  is a maximal element of the family of subsets  $J$  of  $\{1, \dots, r\}$  such that the set of the functions

$$x \mapsto \langle R_{v_j}, \gamma(x, B(x)) \rangle, \quad j \in J,$$

is linearly independent on every neighborhood of  $\varrho_{g_0}(\xi_0)$  in  $X$ , then  $\sum_{j \in \bar{J}} \mathcal{R}R_{v_j}$  is a  $\mathcal{X}_{\xi_0, g_0}(B)$ . Conversely, any  $\mathcal{X}_{\xi_0, g_0}(B)$  is of this type for a suitable choice of the base  $(R_{v_1}, \dots, R_{v_r})$  of  $\mathcal{R}$ .

LEMMA 5.3. Let  $(\xi_0, g_0) \in X \times G$  be such that  $M_{\xi_0}(g_0)$  and let  $T$  be a linear subspace of  $T_e G$  such that the set  $\{R_v: v \in T\}$  contains some  $\mathcal{X}_{\xi_0, g_0}(B)$ . Suppose that (2.10) holds, that  $B$  is of class  $C^m$  [respectively is analytic at  $\varrho_{g_0}(\xi_0)$ ], and that no element  $\neq 0$  of  $T$  is critical for  $B$  at  $(\xi_0, g_0)$ . Then neighborhoods  $V_0$  of  $\xi_0$  in  $U$  and  $W_0$  of  $g_0$  in  $G$  exist such that for each  $\xi \in V_0$  there is a unique element  $\tilde{g}(\xi)$  of  $W_0 \cap (\exp T)g_0$  ( $= W_0 \cap \{(\exp v)g_0: v \in T\}$ ) such that

$$(5.1) \quad \gamma(\xi, \tilde{g}(\xi)^{-1} B(\varrho_{\tilde{g}(\xi)}(\xi))) = 0.$$

$V_0$  and  $W_0$  can be chosen such that the mapping  $\xi \mapsto \tilde{g}(\xi)$  is of class  $C^m$  [respectively is analytic at  $\xi_0$ ].

PROOF. Let  $\mathcal{X} = \{R_v: v \in T\}$ , and let  $\pi$  be the projection of  $\mathcal{R}$  onto  $\mathcal{X}$  with respect to the inner product  $\langle , \rangle$ . For any  $(v, \xi) \in T \times U$  we set

$$\Gamma(v, \xi) = (\pi \circ \gamma)(\varrho_{(\exp v)g_0}(\xi), B(\varrho_{(\exp v)g_0}(\xi))),$$

and we note that  $\Gamma(v, \xi_0) = (\pi \circ M_{\xi_0})(\exp v)g_0$ .  $\Gamma$  is a  $C^m$  mapping from  $T \times U$  into

$\mathcal{X}$ : it is analytic at  $(0, \xi_0)$  provided  $B$  is analytic at  $\varrho_{g_0}(\xi_0)$ . Since  $M_{\xi_0}(g_0) = 0$  we have  $\Gamma(0, \xi_0) = 0$ . The differential at 0 of the mapping  $v \mapsto \Gamma(v, \xi_0)$  is the mapping from  $T$  into  $\mathcal{R}$

$$v \mapsto (\pi \circ M'_{\xi_0}(g_0))(\tilde{g}_0(v)).$$

As  $\mathcal{X}$  contains some  $\mathcal{X}_{\xi_0, g_0}(B)$ , from the definition of  $\mathcal{X}_{\xi_0, g_0}(B)$  it follows that there is a linear mapping  $L: \mathcal{X} \rightarrow \mathcal{R}$  such that

$$(5.2) \quad \gamma(x, B(x)) = (L \circ \pi \circ \gamma)(x, B(x))$$

for every  $x$  belonging to a suitable neighborhood  $U_0$  of  $\varrho_{g_0}(\xi_0)$  in  $U$ . Then, choosing a neighborhood  $W$  of  $g_0$  in  $G$  such that  $\varrho_g(\xi_0) \in U_0 \quad \forall g \in W$ , we have

$$M_{\xi_0}(g) = (L \circ \pi)(M_{\xi_0}(g)) \quad \forall g \in W.$$

Therefore, if the differential at 0 of the mapping  $v \mapsto \Gamma(v, \xi_0)$  vanishes at  $v_0 \in T$  we have  $M'_{\xi_0}(g_0)(\tilde{g}_0(v_0))$ , which implies  $v_0 = 0$  because no element  $\neq 0$  of  $T$  is critical for  $B$  at  $(\xi_0, g_0)$ . Thus the differential at 0 of the mapping  $v \mapsto \Gamma(v, \xi_0)$  is a one-to-one (linear) mapping from  $T$  into  $\mathcal{X}$ ; hence, as  $\dim \mathcal{X} \leq \dim T$ , we have  $\dim \mathcal{X} = \dim T$  and the mapping  $v \mapsto \Gamma(v, \xi_0)$  is a bijection from  $T$  onto  $\mathcal{X}$ . Then, in view of the implicit function theorem applied to the equation  $\Gamma(v, \xi) = 0$ , open neighborhoods  $V$  of  $\xi_0$  in  $U$  and  $N$  of 0 in  $T_\varepsilon G$  exist such that for each  $\xi \in V$  there is one and only one element  $\widehat{v}(\xi)$  of  $T \cap N$  such that  $\Gamma(\widehat{v}(\xi), \xi) = 0$ . Moreover,  $V$  and  $N$  can be chosen such that the mapping  $\xi \mapsto \widehat{v}(\xi)$  is of class  $C^m$ , and analytic at  $\xi_0$  if  $B$  is analytic at  $\varrho_{g_0}(\xi_0)$ . Note that the equality  $\Gamma(\widehat{v}(\xi), \xi) = 0$  implies

$$(\pi \circ \gamma)(\varrho_{(\exp \widehat{v}(\xi))g_0}(\xi), B(\varrho_{(\exp \widehat{v}(\xi))g_0}(\xi))) = 0.$$

Hence, if  $V_0$  is a neighborhood of  $\xi_0$  in  $X$  contained in  $V$  and such that

$$(\exp \widehat{v}(\xi))g_0 \in W \quad \forall \xi \in V_0,$$

then, in view of (5.2), we have

$$\gamma(\varrho_{(\exp \widehat{v}(\xi))g_0}(\xi), B(\varrho_{(\exp \widehat{v}(\xi))g_0}(\xi))) = 0 \quad \forall \xi \in V_0,$$

and this implies, by (2.10),

$$\gamma(\xi, \tilde{I}_{((\exp \widehat{v}(\xi))g_0)^{-1}} B(\varrho_{(\exp \widehat{v}(\xi))g_0}(\xi))) = 0 \quad \forall \xi \in V_0.$$

Therefore, to conclude the proof it suffices to set, for every  $\xi \in V_0$ ,

$$\widehat{g}(\xi) = (\exp \widehat{v}(\xi))g_0,$$

and take as  $W_0$  a neighborhood of  $g_0$  contained in  $W$  and such that  $v \in N$  whenever  $v \in T$  and  $(\exp v)g_0 \in W_0$ . ■

### 6. LOCAL EXISTENCE, UNIQUENESS, AND ANALYTIC DEPENDENCE ON $\varepsilon$

We are now in a position to prove a local theorem on existence, uniqueness, and analytic dependence on the parameter  $\varepsilon$  for the equation  $A(x) + \varepsilon B(x) = 0$ .

THEOREM 6.1. *Suppose that  $A$  and  $B$  are of class  $C^m$ , that (2.1), (2.2), (2.3), (2.10) hold, that there is  $(\xi_0, g_0)$  in  $U \times G$  such that (2.4), (2.5), (2.6) are satisfied and  $M_{\xi_0}(g_0) = 0$ , that there is a linear subspace  $T$  of  $T_e G$  such that the set  $\{R_v : v \in T\}$  contains some  $\mathcal{X}_{\xi_0, g_0}(B)$  and no element  $\neq 0$  of  $T$  is critical for  $B$  at  $(\xi_0, g_0)$ . Fix a topological supplementary  $E_{\xi_0}$  of  $\text{Ker } A'(\xi_0)$  in  $X$ , and set  $x_0 = \rho_{g_0}(\xi_0)$  and*

$$D_{\xi_0, g_0}(T) = \bigcup_{v \in T} \rho_{(\exp v)g_0}(\xi_0 + E_{\xi_0}).$$

*Then a neighborhood  $V$  of  $\xi_0$  in  $X$  and a neighborhood  $W$  of  $g_0$  in  $G$  exist such that for each  $\varepsilon \in \mathbf{R}$  with  $|\varepsilon|$  sufficiently small there are a unique  $\xi_\varepsilon$  in  $V \cap (\xi_0 + E_{\xi_0})$  and a unique  $g_\varepsilon$  in  $W \cap (\exp T)g_0$  such that putting  $x_\varepsilon = \rho_{g_\varepsilon}(\xi_\varepsilon)$  we have*

$$(6.1) \quad A(x_\varepsilon) + \varepsilon B(x_\varepsilon) = 0.$$

*Consequently, if the mapping  $(\xi, g) \mapsto \rho_g(\xi)$  from  $(\xi_0 + E_{\xi_0}) \times (\exp T)g_0$  onto  $D_{\xi_0, g_0}(T)$  is a local homeomorphism at  $(\xi_0, g_0)$ , then a neighborhood  $U_0$  of  $x_0$  in  $U$  exists such that for each  $\varepsilon \neq 0$ , with  $|\varepsilon|$  sufficiently small, there is a unique  $x_\varepsilon$  in  $U_0 \cap D_{\xi_0, g_0}(T)$  satisfying (6.1). The mapping  $\varepsilon \mapsto x_\varepsilon$ , defined also for  $\varepsilon = 0$  by assuming that its value at 0 is  $x_0$ , is of class  $C^m$  in a suitable neighborhood of 0; it is analytic at 0 provided  $A$  is analytic at  $\xi_0$  and  $B$  is analytic at  $x_0$ .*

PROOF. Let  $V_0, W_0$  and  $\widehat{g}$  be as in the statement of Lemma 5.3, and let  $V_{\xi_0}$  be a neighborhood of  $\xi_0$  in  $X$  such that  $\mathcal{N}(\xi_0) \cap \mathcal{N}(\xi)^0 = \{0\} \forall \xi \in V_{\xi_0}$  (see Lemma 4.1). For any  $\varepsilon \in \mathbf{R}$  and  $\eta \in E_{\xi_0}$  with  $\eta + \xi_0 \in V_0$  we define  $\mathcal{A}(\eta, \varepsilon)$  as in (4.1).  $\mathcal{A}$  is a  $C^m$  mapping from the open subset  $((V_0 - \xi_0) \cap E_{\xi_0}) \times \mathbf{R}$  of the Banach space  $E_{\xi_0} \times \mathbf{R}$  into the closed subspace  $\text{Im } A'(\xi_0)$  of  $Y$ . By (2.4) we have  $\mathcal{A}(0, 0) = 0$ . Moreover, the differential at 0 of the mapping  $\eta \mapsto \mathcal{A}(\eta, 0)$  is  $A'(\xi_0)$ , which is a bijection of  $E_{\xi_0}$  onto  $\text{Im } A'(\xi_0)$ . Therefore, in view of the implicit function theorem applied to the equation  $\mathcal{A}(\eta, \varepsilon) = 0$ , there is a neighborhood  $V_1$  of 0 in  $X$  contained in  $V_0 - \xi_0$  such that for any  $\varepsilon$  with  $|\varepsilon|$  sufficiently small a unique  $\eta_\varepsilon$  exists in  $V_1 \cap E_{\xi_0}$  such that  $\mathcal{A}(\eta_\varepsilon, \varepsilon) = 0$  and the mapping  $\eta \mapsto \eta_\varepsilon$  is of class  $C^m$ ; this mapping is analytic at 0 provided  $A$  is analytic at  $\xi_0$  and  $B$  is analytic at  $x_0$ , because in this case  $\mathcal{A}$  is analytic at  $(0, 0)$ . We set  $\xi_\varepsilon = \xi_0 + \eta_\varepsilon$  and observe that, since  $\gamma(\xi_\varepsilon, A(\xi_\varepsilon)) = 0$  by virtue of (2.3)", from Lemma 5.3 it follows that

$$\gamma(\xi_\varepsilon, A(\xi_\varepsilon) + \varepsilon \widetilde{I}_{\widetilde{g}(\xi_\varepsilon)}^{-1} B(\rho_{\widetilde{g}(\xi_\varepsilon)}(\xi_\varepsilon))) = 0,$$

namely

$$A(\xi_\varepsilon) + \varepsilon \widetilde{I}_{\widetilde{g}(\xi_\varepsilon)}^{-1} B(\rho_{\widetilde{g}(\xi_\varepsilon)}(\xi_\varepsilon)) \in \mathcal{N}(\xi_\varepsilon)^0.$$

Note that the condition  $\mathcal{A}(\eta_\varepsilon, \varepsilon) = 0$  means that

$$A(\xi_\varepsilon) + \varepsilon \widetilde{I}_{\widetilde{g}(\xi_\varepsilon)}^{-1} B(\rho_{\widetilde{g}(\xi_\varepsilon)}(\xi_\varepsilon)) \in \mathcal{N}(\xi_0).$$

Then, taking  $V_1 \subseteq V_{\xi_0} - \xi_0$  and using Lemma 4.1, we deduce that

$$A(\xi_\varepsilon) + \varepsilon \widetilde{I}_{\widetilde{g}(\xi_\varepsilon)}^{-1} B(\rho_{\widetilde{g}(\xi_\varepsilon)}(\xi_\varepsilon)) = 0.$$

Hence, setting

$$g_\varepsilon = \widehat{g}(\xi_\varepsilon), \quad x_\varepsilon = Q_{g_\varepsilon}(\xi_\varepsilon),$$

in view of (2.2) we have  $A(x_\varepsilon) + \varepsilon B(x_\varepsilon) = 0$ . To conclude it suffices to take  $W = W_0$ , and recall that  $g$  is of class  $C^m$  and it is analytic at  $\xi_0$  provided  $B$  is analytic at  $x_0$

7. AN APPLICATION TO THE LIVE TRACTION PROBLEM IN FINITE ELASTOSTATICS

Let us go back to the finite elastostatics operator introduced in Section 3, in order to make explicit a consequence of Theorem 6.1 in such a context. The loading operator  $B$  will be an arbitrary mapping from  $X$  into  $Y_1 \times Y_2$ , with  $X, Y_1, Y_2$  chosen as in (3.1) or (3.2). The two components of  $B$  will be denoted by  $B_1$  and  $B_2$ . We recall that, here,  $G$  is the group of isometries of  $\mathbf{R}^n$  that  $U$  is the open subset of  $X$  whose elements are the orientation preserving diffeomorphisms of  $\overline{\Omega}$  onto a subset of  $\mathbf{R}^n$ , and that  $Q_g(x) = g \circ x \mathbf{V}(x, g) \in U \times G$ . We observe that  $T_e G$  is the set of infinitesimal rigid deformations of  $\mathbf{R}^n$ , i.e. the set of functions  $v: \mathbf{R}^n \rightarrow \mathbf{R}^n$  of the type  $v(t) = c + Wt, (t \in \mathbf{R}^n)$ , with  $c \in \mathbf{R}^n$  and  $W \in \text{Skew}_n$ , that  $R_v(x) = v \circ x \mathbf{V}(x, v) \in U \times T_e G$ , and that  $\mathcal{R}$  can be identified with  $T_e G$  through the mapping  $v \mapsto R_v$ . We fix a base of  $\mathcal{R}$  such that  $\gamma(x, y)$  has the form (3.9); thus for any  $(\xi, g) \in U \times G$  and  $t \in \overline{\Omega}$ , we have

$$M_\xi(g)(t) = \left( \int_\Omega B_1(Q_g(\xi)) + \int_{\partial\Omega} B_2(Q_g(\xi)) \right) + \left( \int_\Omega Q_g(\xi) \wedge B_1(Q_g(\xi)) + \int_{\partial\Omega} Q_g(\xi) \wedge B_2(Q_g(\xi)) \right) t.$$

Therefore  $\mathcal{X}_{\xi_0, g_0}(B)$  can be regarded as a maximal element of the set of linear subspaces  $\mathcal{X}$  of  $T_e G$  having the following property: «if  $v: t \mapsto c + Wt$  is an element of  $\mathcal{X}$  and

$$c \cdot \left( \int_\Omega B_1(x) + \int_{\partial\Omega} B_2(x) \right) + W \cdot \left( \int_\Omega x \wedge B_1(x) + \int_{\partial\Omega} x \wedge B_2(x) \right) = 0$$

for all  $x$  belonging to some neighborhood of  $g_0 \circ \xi_0$  in  $X$ , then  $v = 0$ ».

We remark that a topological supplementary  $E_{\iota_\Omega}$  of  $\mathcal{R}(\iota_\Omega) [= \text{Ker } A'(\iota_\Omega)]$  in  $X$  is

$$\left\{ x \in X: \int_\Omega x = 0, \int_\Omega \partial x \in \text{Sym}_n \right\}.$$

For a proof of this fact we refer to Valent [3, Ch. III, Sect. 1]. Finally we point out the following result which can easily deduced from Lemma 4.4 in [3, Ch. V]: *the mapping  $(\xi, g) \mapsto Q_g(\xi)$  is a homeomorphism of the set of those elements  $(\xi, (\exp v)g_0)$  of  $(\iota_\Omega + E_{\iota_\Omega}) \times (\exp T)g$  such that  $\int_\Omega \partial \xi$  is positive definite onto the set of elements  $x$  of  $X$*

such that  $\det \int_{\Omega} \partial x > 0$  and the rigid deformation of  $\mathbf{R}^n$

$$t \mapsto \left( \int_{\Omega} (x - R_x R_{g_0}^T g_0) \right) + (R_x R_{g_0}^T) t$$

belongs to  $\exp T$ ; here  $R_x$  is the element of  $O_n^+$  such that the matrix  $R_x^T \int_{\Omega} x$  is symmetric and positive definite, and  $R_{g_0}$  has an analogous meaning.

We are now in a position to state a consequence of Theorem 6.1 for the general live traction problem in finite elastostatics; in order to simplify the statement we take  $\xi_0 = \iota_{\Omega}$ .

**THEOREM 7.1.** *Let  $A: X \rightarrow Y_1 \times Y_2$  be defined by ((3.3), (3.4)), with  $X, Y_1, Y_2$  as in (3.1), let  $\Omega$  be of class  $C^{m+2}$  and  $s \in C^{m+2}(\bar{\Omega} \times \mathbf{M}_n^+, \mathbf{M}_n)$  satisfying (3.5), (3.6), (3.7), (3.8). Let  $B: X \rightarrow Y_1 \times Y_2$  of class  $C^m$  and let  $g_0$  be an isometry of  $\mathbf{R}^n$  such that*

$$\int_{\Omega} B_1(g_0) + \int_{\partial\Omega} B_2(g_0) = 0, \quad \int_{\Omega} g_0 \wedge B_1(g_0) + \int_{\partial\Omega} g_0 \wedge B_2(g_0) = 0.$$

Suppose that there is a linear subspace  $T$  of  $T_e G$  containing some  $\mathcal{R}_{\iota_{\Omega}, g_0}(B)$  and such that no element  $\neq 0$  of  $T$  is critical for  $B$  at  $(\iota_{\Omega}, g_0)$ . Then for each  $\varepsilon \neq 0$  with  $|\varepsilon|$  small enough there is one and only one deformation  $x_{\varepsilon}$  of  $\bar{\Omega}$  belonging to a suitable neighborhood of  $g_0|_{\bar{\Omega}}$  in  $W^{m+2,p}(\Omega, \mathbf{R}^n)$  that satisfy (6.1) and such that the rigid deformation

$$t \mapsto \left( \int_{\Omega} (x_{\varepsilon} - R_{x_{\varepsilon}} R_{g_0}^T g_0) \right) + (R_{x_{\varepsilon}} R_{g_0}^T) t$$

of  $\mathbf{R}^n$  belongs to  $\exp T$ . The mapping  $\varepsilon \mapsto x_{\varepsilon}$ , defined also for  $\varepsilon = 0$  by assuming that its value at 0 is  $g_0|_{\bar{\Omega}}$ , is of class  $C^m$  in a suitable neighborhood of 0.

**REMARK 7.2.** In the statement of Theorem 7.1, if  $T = T_e G$  then (7.1) obviously belongs to  $\exp T$ , and thus we have local uniqueness near  $g_0|_{\bar{\Omega}}$  for the traction problem  $A(x) + \varepsilon B(x) = 0$ .

**REMARK 7.3.** In the very particular case when  $B$  is a constant operator (dead loading operator)  $T$  cannot be equal to  $T_e G$  because each constant function  $t \mapsto c$  from  $\mathbf{R}^n$  into  $\mathbf{R}^n$  is critical for  $B$  at  $(\iota_{\Omega}, g_0)$ . Therefore  $T$  must be a space of infinitesimal rigid rotations, i.e. mappings from  $\mathbf{R}^n$  into  $\mathbf{R}^n$  of the type  $t \mapsto Wt$ , with  $W \in \text{Skew}_n$ . Moreover, when  $n = 3$ , one can prove (see Valent [3, Ch. V]) that the infinitesimal rigid rotation  $t \mapsto Wt$  is critical for  $B$  at  $(\iota_{\Omega}, g_0)$  if and only if the axis of  $W$  is an «axis of equilibrium» for the loading at  $g_0|_{\bar{\Omega}}$ ,

**REMARK 7.4.** A simple example of live traction problem to which Theorem 7.1 trivially applies is the elastic «balloon problem» with zero body forces. (A local existence theorem for this problem was obtained by Le Dret [2]). In this case  $\Omega = \Omega_e \setminus \Omega_i$  with  $\Omega_e$

and  $\Omega_i$  open subsets of  $\mathbf{R}^n$  and  $\overline{\Omega}_i \subset \Omega_e$ ; moreover

$$(7.2) \quad \begin{cases} B_1(x) = 0 & \text{in } \Omega, \\ B_2(x)(t) = -\pi_e(x) \operatorname{cof} \partial x(t) \nu(t) & \forall t \in \partial \Omega_e, \\ B_2(x)(t) = -\pi_i(x) \operatorname{cof} \partial x(t) \nu(t) & \forall t \in \partial \Omega_i, \end{cases}$$

where  $\operatorname{cof} \partial x(t)$  is the matrix of cofactors of the matrix  $\partial x(t)$ , and  $\pi_e, \pi_i$  are smooth  $\mathbf{R}^+$ -valued functionals of the deformation  $x$ . It is not difficult to verify that  $M_{\iota_\Omega}(g) = 0 \forall g \in G$ ; therefore  $\mathcal{X}_{\iota_\Omega, g_0}(B) = \{0\} \forall g_0 \in G$ , and any element  $\neq 0$  of  $T_e G$  is critical for  $B$  at  $(\iota_\Omega, g_0)$ . It follows that, for all  $g_0 \in G$ ,  $\{0\}$  is the only linear subspace  $T$  of  $T_e G$  containing  $\mathcal{X}_{\iota_\Omega, g_0}(B)$  and such that no element  $\neq 0$  of  $T$  is critical for  $B$  at  $(\iota_\Omega, g_0)$ . Then, a consequence of Theorem 7.1 is the following: *if the operator  $A$  is as in the statement of Theorem 7.1 and  $B$  is defined by (7.2), then, fixed arbitrarily an isometry  $g_0: t \mapsto c_0 + R_0 t$  of  $\mathbf{R}^n$ , for each  $\varepsilon \neq 0$  with  $|\varepsilon|$  small enough there is one and only one deformation  $x_\varepsilon$  of  $\overline{\Omega}$  belonging to a suitable neighborhood of  $g_0|_{\overline{\Omega}}$  in  $W^{m+2,p}(\Omega, \mathbf{R}^n)$  such that  $A(x) + \varepsilon B(x) = 0$  and  $R_{x_\varepsilon} = R_0$ ,  $\int_{\Omega} x_\varepsilon(t) dt = \int_{\Omega} t dt$ .*

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