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Rigidity of holomorphic maps and distortion of biholomorphic maps in operator Siegel domains

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Geometria. — *Rigidity of holomorphic maps and distortion of biholomorphic maps in operator Siegel domains.* Nota di KAZIMIERZ WŁODARCZYK, presentata(*) dal Socio E. Vesentini.

ABSTRACT. — Results concerning the rigidity of holomorphic maps and the distortion of biholomorphic maps in infinite dimensional Siegel domains of J^* -algebras are established. The homogeneity of the open unit balls in these algebras plays a key role in the arguments.

KEY WORDS: Rigidity of holomorphic maps; Distortion of biholomorphic maps; Operator Siegel domains; J^* -algebras.

RIASSUNTO. — *Rigidità di applicazioni olomorfe e distorsione di applicazioni biolomorfe in dominî di Siegel di operatori.* Si stabiliscono alcuni risultati sulla rigidità di applicazioni olomorfe e sulla distorsione di biolomorfismi di dominî di Siegel di algebre J^* . L'omogeneità dei dischi unità in queste algebre ha un ruolo essenziale nelle dimostrazioni.

1. INTRODUCTION

Let H and K be Hilbert spaces over C , let $\mathcal{L}(H, K)$ denote the Banach space of all bounded linear operators X from H to K with the operator norm, and let $\mathfrak{U} \subset \mathcal{L}(H, K)$ be a J^* -algebra, i.e. a closed complex linear subspace of $\mathcal{L}(H, K)$ such that $XX^* X \in \mathfrak{U}$ whenever $X \in \mathfrak{U}$. J^* -algebras are natural generalizations of C^* -algebras, B^* -algebras, JC^* -algebras, ternary algebras, complex Hilbert spaces and others.

For partial isometries $U \in \mathfrak{U}$, let \mathfrak{U}_U be operator Siegel domains defined by the formulae

$$(1.1) \quad \mathfrak{U}_U = \{X \in \mathfrak{U}: 2 \operatorname{Re} U^* X - X^*(I_K - UU^*)X + I_H - U^* U > 0\}$$

when $U^* U \neq I_H$ and

$$(1.2) \quad \mathfrak{U}_U = \{X \in \mathfrak{U}: \operatorname{Re} U^* X - X^*(I_K - UU^*)X > 0\}$$

when $U^* U = I_H$. Obviously, if $U = 0$ in (1.1), then

$$(1.3) \quad \mathfrak{U}_0 = \{X \in \mathfrak{U}: \|X\| < 1\}.$$

In particular, for J^* -algebras $\mathfrak{U} = \mathcal{L}(C, H) = H$, if $u \in H$ and $\|u\| = 1$, by (1.2), we have $H_u = \{x \in H: \operatorname{Re} \langle x, u \rangle - \|x - \langle x, u \rangle u\|^2 > 0\}$ and, by (1.3), $H_0 = \{x \in H: \|x\| < 1\}$. As is well known, the unit balls \mathfrak{U}_0 are bounded symmetric homogeneous domains and, moreover, \mathfrak{U}_0 and unbounded convex domains \mathfrak{U}_U , $U \neq 0$, are holomorphically equivalent (see L. A. Harris [16, 18, 19] in infinite dimensions and E. Cartan [5] and I. I. Pjateckij-Shapiro [24] in finite dimensions).

We study the problems concerning the rigidity of holomorphic maps in \mathfrak{U}_U and the distortion of biholomorphic maps in H_u .

There are many variations, generalizations and applications of Schwarz's lemma, concerning the rigidity of holomorphic maps, and Koebe or Bloch theorems concerning

(*) Nella seduta del 15 giugno 1995.

the distortion of biholomorphic or holomorphic maps. There are still many open problems. For details, see e.g. [14, 15, 7, 10, 20-23, 3, 29].

Pick's differential version of the Schwarz lemma reads as follows [33]:

$$(1.4) \quad |DF(x)| \leq (1 - |F(x)|^2)/(1 - |x|^2)$$

everywhere in $\Delta = \{x \in \mathbb{C} : |x| < 1\}$ for all holomorphic maps $F: \Delta \rightarrow \Delta$. Assuming that $F: \Delta \rightarrow \mathbb{C}$ is biholomorphic in Δ and $z \in \Delta$, Koebe proved, in particular, the following result [25, p. 22]:

$$(1.5) \quad (1 - |x|^2) |DF(x)| / 4 \leq \text{dist}[F(x), \partial F(\Delta)] \leq (1 - |x|^2) |DF(x)|.$$

In this paper we present infinite dimensional forms of Pick's differential version of the Schwarz lemma for maps holomorphic in \mathfrak{U}_U (see Theorems 2.1, 2.3 and 2.4). Moreover, we prove the upper bound in (1.5) for biholomorphic maps in H_u (see Theorem 2.2).

Recall that, in the spaces of complex dimensions greater than one, the lower bounds in inequalities (1.5) (in particular, the Koebe 1/4-theorem when $x = 0$, $F(0) = 0$ and $DF(0) = 1$) do not hold and the Bieberbach conjecture and the Bloch theorem are false, either (some additional assumptions, such as e.g. the starlikeness or the convexity [28] of the maps considered, are necessary). Speculations about the extensions of the Koebe 1/4-theorem, the Bieberbach conjecture or the Bloch theorem are probably as old as H. Cartan's article [4]. We refer the reader to [1, 2, 6, 8, 9, 11-13, 17, 21, 30] for details, counter-examples, additional assumptions and results in these directions.

2. STATEMENT OF RESULTS

Before stating our results, we must introduce some definitions and notations.

Let H and K be complex Hilbert spaces. Let H_u , where $u \in H$ and $\|u\| = 1$ or $u = 0$, and K_v , where $v \in K$ and $\|v\| = 1$ or $v = 0$, be domains defined by the formulae

$$(2.1) \quad H_u = \{x \in H : \text{Re}\langle x, u \rangle - \|x - \langle x, u \rangle u\|^2 > 0\} \quad \text{when } \|u\| = 1;$$

$$(2.2) \quad H_0 = \{x \in H : \|x\| < 1\} \quad \text{when } u = 0;$$

$$(2.3) \quad K_v = \{y \in K : \text{Re}\langle y, v \rangle - \|y - \langle y, v \rangle v\|^2 > 0\} \quad \text{when } \|v\| = 1;$$

$$(2.4) \quad K_0 = \{y \in K : \|y\| < 1\} \quad \text{when } v = 0.$$

Throughout the paper, for $x \in H$,

$$(2.5) \quad Q_x = E_x + (1 - \|x\|^2)^{1/2} [I_H - E_x] \quad \text{if } x \in H \setminus \{0\}, \quad E_0 = 0, \quad Q_0 = I_H,$$

and, for $y \in K$,

$$(2.6) \quad Q_y = E_y + (1 - \|y\|^2)^{1/2} [I_K - E_y] \quad \text{if } y \in K \setminus \{0\}, \quad E_0 = 0, \quad Q_0 = I_K,$$

where E_x and E_y denote linear projections of H and K onto the one-dimensional subspaces spanned by x and y , respectively.

Let H , K , M and N be complex Hilbert spaces and let $\mathfrak{U} \subset \mathcal{L}(H, K)$ and $\mathfrak{B} \subset \mathcal{L}(M, N)$ be J^* -algebras. For partial isometries $U \in \mathfrak{U}$ and $V \in \mathfrak{B}$, let \mathfrak{U}_U and \mathfrak{B}_V be

domains defined by the formulae

$$(2.7) \quad \mathfrak{U}_U = \{X \in \mathfrak{U} : 2 \operatorname{Re} U^* X - X^*(I_K - UU^*)X + I_H - U^* U > 0\} \text{ when } U^* U \neq I_H ;$$

$$(2.8) \quad \mathfrak{U}_U = \{X \in \mathfrak{U} : \operatorname{Re} U^* X - X^*(I_K - UU^*)X > 0\} \text{ when } U^* U = I_H ;$$

$$(2.9) \quad \mathfrak{B}_V = \{Y \in \mathfrak{B} : 2 \operatorname{Re} V^* Y - Y^*(I_N - VV^*)Y + I_M - V^* V > 0\} \text{ when } V^* V \neq I_M ;$$

$$(2.10) \quad \mathfrak{B}_V = \{Y \in \mathfrak{B} : \operatorname{Re} V^* Y - Y^*(I_K - VV^*)Y > 0\} \text{ when } V^* V = I_M .$$

In particular, from (2.7) when $U = 0$ and from (2.9) when $V = 0$ we have

$$(2.11) \quad \mathfrak{U}_0 = \{X \in \mathfrak{U} : \|X\| < 1\}$$

and

$$(2.12) \quad \mathfrak{B}_0 = \{Y \in \mathfrak{B} : \|Y\| < 1\},$$

respectively.

For $X \in \mathfrak{U}_U$ when $U^* U \neq I_H$, let

$$(2.13) \quad A_X(\mathfrak{U}_U) = A_U^{1/2}(I_H + X^* U)^{-1}(\operatorname{RE} U^* X)(I_H + U^* X)^{-1}A_U^{1/2},$$

$$(2.14) \quad B_X(\mathfrak{U}_U) = B_U^{1/2}(I_K + XU^*)^{-1}(\operatorname{RE} XU^*)(I_K + UX^*)^{-1}B_U^{1/2},$$

where

$$(2.15) \quad A_U = I_H + U^* U, \quad B_U = I_K + UU^*,$$

$$(2.16) \quad \operatorname{RE} U^* X = 2 \operatorname{Re} U^* X - X^*(I_K - UU^*)X + I_H - U^* U,$$

$$(2.17) \quad \operatorname{RE} XU^* = 2 \operatorname{Re} XU^* - X(I_H - U^* U)X^* + I_K - UU^*.$$

In particular, when $U = 0$, we have

$$(2.18) \quad A_X(\mathfrak{U}_0) = I_H - X^* X, \quad B_X(\mathfrak{U}_0) = I_K - XX^*.$$

For $X \in \mathfrak{U}_U$ when $U^* U = I_H$, let

$$(2.19) \quad A_X(\mathfrak{U}_U) = (I_H + X^* U)^{-1}(\operatorname{RE} U^* X)(I_H + U^* X)^{-1},$$

$$(2.20) \quad B_X(\mathfrak{U}_U) = (I_K + XU^*)^{-1}(\operatorname{RE} XU^*)(I_K + UX^*)^{-1},$$

where

$$(2.21) \quad \operatorname{RE} U^* X = \operatorname{Re} U^* X - X^*(I_K - UU^*)X,$$

$$(2.22) \quad \operatorname{RE} XU^* = \operatorname{Re} XU^* - X(I_H - U^* U)X^*.$$

For $Y \in \mathfrak{B}_V$ when $V^* V \neq I_M$, let

$$(2.23) \quad A_Y(\mathfrak{B}_V) = A_V^{1/2}(I_M + Y^* V)^{-1}(\operatorname{RE} V^* Y)(I_M + V^* Y)^{-1}A_V^{1/2},$$

$$(2.24) \quad B_Y(\mathfrak{B}_V) = B_V^{1/2}(I_N + YV^*)^{-1}(\operatorname{RE} YV^*)(I_N + VY^*)^{-1}B_V^{1/2},$$

where

$$(2.25) \quad A_V = I_M + V^* V, \quad B_V = I_N + VV^*,$$

$$(2.26) \quad \operatorname{RE} V^* Y = 2 \operatorname{Re} V^* Y - Y^*(I_N - VV^*)Y + I_M - V^* V,$$

$$(2.27) \quad \operatorname{RE} YV^* = 2 \operatorname{Re} YV^* - Y(I_M - V^* V)Y^* + I_N - VV^*.$$

In particular, when $V = 0$, we have

$$(2.28) \quad A_Y(\mathfrak{B}_0) = I_M - Y^* Y, \quad B_Y(\mathfrak{B}_0) = I_N - YY^*.$$

For $Y \in \mathfrak{B}_V$ when $V^* V = I_M$, let

$$(2.29) \quad A_Y(\mathfrak{B}_V) = (I_M + Y^* V)^{-1} (\operatorname{RE} V^* Y) (I_M + V^* Y)^{-1},$$

$$(2.30) \quad B_Y(\mathfrak{B}_V) = (I_N + YV^*)^{-1} (\operatorname{RE} YV^*) (I_N + VY^*)^{-1},$$

where

$$(2.31) \quad \operatorname{RE} V^* Y = \operatorname{Re} V^* Y - Y^* (I_N - VV^*) Y,$$

$$(2.32) \quad \operatorname{RE} YV^* = \operatorname{Re} YV^* - Y(I_M - V^* V) Y^*.$$

Domains defined by (2.1), (2.3) and (2.7)-(2.10) are called Siegel domains (see [18, 16]).

Our main results are summarized in the following theorems.

THEOREM 2.1. Let H and K be complex Hilbert spaces, let H_u , where $u \in H$ and $\|u\| = 1$ or $u = 0$, and K_v , where $v \in K$ and $\|v\| = 1$ or $v = 0$, be domains defined by formulae (2.1)-(2.4), respectively, and let $F: H_u \rightarrow K$ be a map holomorphic in H_u such that $F(H_u) \subset C K_v$. If $x \in H_u$ and $p \in H$, then

$$(2.33) \quad \begin{aligned} & \| (1 + \langle F(x), v \rangle) Q_{F(x)-v} [DF(x)(p)] - [F(x) - v] \langle DF(x)(p), v \rangle \| \leq \\ & \leq \frac{|1 + \langle F(x), v \rangle|^2 - \|F(x) - v\|^2}{|1 + \langle x, u \rangle|^2 - \|x - u\|^2} \cdot \| [1 + \langle x, u \rangle] Q_{x-u}(p) - (x - u) \langle p, u \rangle \| . \end{aligned}$$

Here Q_x , $x \in H$, and Q_y , $y \in K$, are defined by (2.5) and (2.6), respectively.

THEOREM 2.2. Let H and K be complex Hilbert spaces and let H_u , where $u \in H$ and $\|u\| = 1$ or $u = 0$, be domains defined by (2.1) or (2.2), respectively. If $F: H_u \rightarrow K$ is a biholomorphic map and $x \in H_u$, then

$$(2.34) \quad \begin{aligned} \operatorname{dist}[F(x), \partial F(H_u)] & \leq \frac{|1 - \langle U, u \rangle| + \|u\| \|U + u\|}{|1 - \langle U, u \rangle|^2} (1 - \|U\|^2)^{1/2} \cdot \\ & \cdot \|I_H - [1 - (1 - \|U\|^2)^{1/2}] E_{x-u}\| \|DF(x)\| \end{aligned}$$

where

$$(2.35) \quad U = (x - u)/(1 + \langle x, u \rangle).$$

REMARK 2.1. From (2.33) it follows that if $u = 0$ and $v = 0$, i.e. if $F: H_0 \rightarrow K_0$ is a holomorphic map, then

$$(2.36) \quad \|Q_{F(x)}[DF(x)(p)]\| \leq (1 - \|F(x)\|^2)/(1 - \|x\|^2) \|Q_x(p)\|, \quad x \in H_0, \quad p \in H,$$

and, in particular, $\|Q_{F(x)}[DF(x)(x)]\| \leq \|x\| (1 - \|F(x)\|^2)/(1 - \|x\|^2)$, $x \in H_0$, $\|Q_{F(0)}[DF(0)(p)]\| \leq \|p\| [1 - \|F(0)\|^2]$, $p \in H$. If $u = 0$ then (2.34) (by (2.35)) is of the form

$$(2.37) \quad \operatorname{dist}[F(x), \partial F(H_0)] \leq (1 - \|x\|^2)^{1/2} \|I_H - [1 - (1 - \|x\|^2)^{1/2}] E_x\| \|DF(x)\|.$$

Thus, in the case when $\dim_C(H) = \dim_C(K) = 1$, inequality (2.36) is equivalent to (1.4), and inequality (2.37) and the upper bound in (1.5) are identical since $E_x = Q_x = I_H$ for $x \in H$ and $E_y = Q_y = I_K$ for $y \in K$. If $\dim_C(H) > 1$, then inequality (2.34) is identical with $\operatorname{dist}[F(x), \partial F(H_u)] \leq (|1 - \langle U, u \rangle| + \|u\| \|U + u\|)/|1 - \langle U, u \rangle|^{1/2}$.

- $(1 - \|U\|^2)^{1/2} \|DF(x)\|$, $x \in H_u$, and, in particular, $\text{dist}[F(x), \partial F(H_0)] \leq (1 - \|x\|^2)^{1/2}$.
- $\|DF(x)\|$, $x \in H_0$.

THEOREM 2.3. *Let $\mathfrak{U} \subset \mathcal{L}(H, K)$ and $\mathfrak{B} \subset \mathcal{L}(M, N)$ be J^* -algebras containing partial isometries U and V , respectively. Let $F: \mathfrak{U}_U \rightarrow \mathfrak{B}$ be a map holomorphic in \mathfrak{U}_U such that $F(\mathfrak{U}_U) \subset \mathfrak{B}_V$. Let $X \in \mathfrak{U}_U$ and $P \in \mathfrak{U}$.*

(a) *If $U^* U \neq I_H$ and $V^* V \neq I_M$, then*

$$(2.38) \quad \begin{aligned} & \| [B_{F(X)}(\mathfrak{B}_V)]^{-1/2} B_V^{1/2} [I_N + F(X)V^*]^{-1} DF(X) \cdot \\ & \cdot P[I_M + V^* F(X)]^{-1} A_V^{1/2} [A_{F(X)}(\mathfrak{B}_V)]^{-1/2} \| \leq \\ & \leq \| [B_X(\mathfrak{U}_U)]^{-1/2} B_U^{1/2} (I_K + XU^*)^{-1} P(I_H + U^* X)^{-1} A_U^{1/2} [A_X(\mathfrak{U}_U)]^{-1/2} \| . \end{aligned}$$

Here \mathfrak{U}_U , \mathfrak{B}_V , $A_X(\mathfrak{U}_U)$, $B_X(\mathfrak{U}_U)$, $A_{F(X)}(\mathfrak{B}_V)$ and $B_{F(X)}(\mathfrak{B}_V)$ are defined by (2.7), (2.11), (2.9), (2.12), (2.13)-(2.18) and (2.23)-(2.28), respectively.

(b) *If $U^* U \neq I_H$ and $V^* V = I_M$, then*

$$(2.39) \quad \begin{aligned} & \| [B_{F(X)}(\mathfrak{B}_V)]^{-1/2} [I_N + F(X)V^*]^{-1} DF(X) \cdot \\ & \cdot P[I_M + V^* F(X)]^{-1} [A_{F(X)}(\mathfrak{B}_V)]^{-1/2} \| \leq \\ & \leq \| [B_X(\mathfrak{U}_U)]^{-1/2} B_U^{1/2} (I_K + XU^*)^{-1} P(I_H + U^* X)^{-1} A_U^{1/2} [A_X(\mathfrak{U}_U)]^{-1/2} \| . \end{aligned}$$

Here \mathfrak{U}_U , \mathfrak{B}_V , $A_X(\mathfrak{U}_U)$, $B_X(\mathfrak{U}_U)$, $A_{F(X)}(\mathfrak{B}_V)$ and $B_{F(X)}(\mathfrak{B}_V)$ are defined by (2.7), (2.11), (2.10), (2.13)-(2.18) and (2.29)-(2.32), respectively.

(c) *If $U^* U = I_H$ and $V^* V \neq I_M$, then*

$$(2.40) \quad \begin{aligned} & \| [B_{F(X)}(\mathfrak{B}_V)]^{-1/2} B_V^{1/2} [I_N + F(X)V^*]^{-1} DF(X) \cdot \\ & \cdot P[I_M + V^* F(X)]^{-1} A_V^{1/2} [A_{F(X)}(\mathfrak{B}_V)]^{-1/2} \| \leq \\ & \leq \| [B_X(\mathfrak{U}_U)]^{-1/2} (I_K + XU^*)^{-1} P(I_H + U^* X)^{-1} [A_X(\mathfrak{U}_U)]^{-1/2} \| . \end{aligned}$$

Here \mathfrak{U}_U , \mathfrak{B}_V , $A_X(\mathfrak{U}_U)$, $B_X(\mathfrak{U}_U)$, $A_{F(X)}(\mathfrak{B}_V)$ and $B_{F(X)}(\mathfrak{B}_V)$ are defined by (2.8), (2.9), (2.12) and (2.19)-(2.28), respectively.

(d) *If $U^* U = I_H$ and $V^* V = I_K$, then*

$$(2.41) \quad \begin{aligned} & \| [B_{F(X)}(\mathfrak{B}_V)]^{-1/2} [I_N + F(X)V^*]^{-1} DF(X) P[I_M + V^* F(X)]^{-1} \cdot \\ & \cdot [A_{F(X)}(\mathfrak{B}_V)]^{-1/2} \| \leq \| [B_X(\mathfrak{U}_U)]^{-1/2} (I_K + XU^*)^{-1} P(I_H + U^* X)^{-1} [A_X(\mathfrak{U}_U)]^{-1/2} \| . \end{aligned}$$

Here \mathfrak{U}_U , \mathfrak{B}_V , $A_X(\mathfrak{U}_U)$, $B_X(\mathfrak{U}_U)$, $A_{F(X)}(\mathfrak{B}_V)$ and $B_{F(X)}(\mathfrak{B}_V)$ are defined by (2.8), (2.10), (2.19)-(2.22) and (2.29)-(2.32), respectively.

REMARK 2.2. If $U = 0$ and $V = 0$, then, by (2.18) and (2.28), inequality (2.38) may be rewritten in the form

$$(2.42) \quad \begin{aligned} & \| [I_N - F(X)F(X)^*]^{-1/2} DF(X) (P) [I_M - F(X)^*F(X)]^{-1/2} \| \leq \\ & \leq \| (I_K - XX^*)^{-1/2} P(I_H - X^*X)^{-1/2} \|, \quad X \in \mathfrak{U}_0, \quad P \in \mathfrak{U}. \end{aligned}$$

Thus, if $\mathfrak{U} = \mathfrak{B} = \mathcal{L}(C, C) = C$, then from (2.42) we immediately obtain (1.4).

THEOREM 2.4. Let $\mathfrak{U} \subset \mathcal{L}(H, K)$ be a J^* -algebra containing a partial isometry U .

(a) Let $F: \mathfrak{U}_U \rightarrow \mathfrak{U}$ be a map holomorphic in \mathfrak{U}_U . Suppose the following holds: (i) F is expansive, i.e. $\mathfrak{U}_U \subset F(\mathfrak{U}_U)$; (ii) F is injective on \mathfrak{U}_U ; (iii) F^{-1} is holomorphic in \mathfrak{U}_U ; and (iv) $F(Z) = Z$ for some $Z \in \mathfrak{U}_U$. If $U^* U \neq I_H$ and $P \in \mathfrak{U}$, then

$$(2.43) \quad \|P\| \leq \| [B_Z(\mathfrak{U}_U)]^{-1/2} B_U^{1/2} (I_K + ZU^*)^{-1} DF(Z) (I_K + ZU^*) B_U^{-1/2} [B_Z(\mathfrak{U}_U)]^{1/2} P \|$$

where $B_Z(\mathfrak{U}_U)$ are defined by (2.14) and (2.18). If $U^* U = I_H$ and $P \in \mathfrak{U}$, then

$$(2.44) \quad \|P\| \leq \| [B_Z(\mathfrak{U}_U)]^{-1/2} (I_K + ZU^*)^{-1} DF(Z) (I_K + ZU^*) [B_Z(\mathfrak{U}_U)]^{1/2} P \|$$

where $B_Z(\mathfrak{U}_U)$ are defined by (2.20).

(b) Let $F: \mathfrak{U}_0 \rightarrow \mathfrak{U}$ be a map holomorphic in \mathfrak{U}_0 . Suppose the following holds: (i) F is expansive, i.e. $\mathfrak{U}_0 \subset F(\mathfrak{U}_0)$; (ii) F is injective on \mathfrak{U}_0 ; (iii) F^{-1} is holomorphic in \mathfrak{U}_0 ; and (iv) $F(Z) = Z$ for some $Z \in \mathfrak{U}_0$. Then

$$(2.45) \quad \|Z\| \leq \| (I_K - ZZ^*)^{-1/2} DF(Z)(Z)(I_H - Z^* Z)^{1/2} \| .$$

In (2.45) the equality holds for biholomorphic maps $F_W: \mathfrak{U}_0 \rightarrow \mathfrak{U}_0$, $W \in \mathfrak{U}_0$, of the forms

$$(2.46) \quad F_W(X) = B_W^{-1/2} (W - X)(I_H - W^* X)^{-1} A_W^{1/2}$$

and for their fixed points

$$(2.47) \quad Z = W(I_H + A_W^{1/2})^{-1} ,$$

where $A_W = I_H - W^* W$, $B_W = I_K - WW^*$.

(c) Let $F: H_0 \rightarrow H$ be a map holomorphic in H_0 . Suppose the following holds: (i) F is expansive, i.e. $H_0 \subset F(H_0)$; (ii) F is injective on H_0 ; (iii) F^{-1} is holomorphic in H_0 and (iv) $F(z) = z$ for some $z \in H_0$. Then

$$(2.48) \quad \|z\| \leq \| (I_H - \|z\|^2 E_z)^{-1/2} DF(z)(z) \| (1 - \|z\|^2)^{1/2} .$$

In (2.48) the equality holds for biholomorphic maps $F_w: H_0 \rightarrow H_0$, $w \in H_0$, of the forms $F(x) = (1 - \langle x, w \rangle)^{-1} Q_w(w - x)$ and for their fixed points $z = w[1 + (1 - \|w\|^2)^{1/2}]^{-1}$.

REMARK 2.3. A consequence of the above result is that inequality (2.1) in [32, Theorem 2.3] may be replaced by (2.45).

3. PROOF OF THEOREM 2.1

In the sequel, fixing $x \in H_u$, let $F: H_u \rightarrow K$ be a map holomorphic in H_u such that $F(H_u) \subset K_V$ where H_u and K_v are arbitrary and fixed sets defined by (2.1)-(2.4), respectively; and let

$$(3.1) \quad W = F(x) .$$

We denote

$$(3.2) \quad \begin{cases} U = f_u^{-1}(x) = (x - u)/(1 + \langle x, u \rangle) , \\ V = f_v^{-1}(W) = (W - v)/(1 + \langle W, v \rangle) , \end{cases}$$

where f_u and f_v are biholomorphic maps of H_0 onto H_u and K_0 onto K_v (cf. [16, Theorem 12] and [30, Theorem 5]) defined by the formulae

$$(3.3) \quad \begin{cases} f_u(x) = (x + u)/(1 - \langle x, u \rangle), & x \in H_0, \\ f_v(y) = (y + v)/(1 - \langle y, v \rangle), & y \in K_0, \end{cases}$$

respectively.

Let now $T_U : H_0 \rightarrow H_0$ and $T_V : K_0 \rightarrow K_0$ be Möbius biholomorphic maps of the forms [16, Theorem 2]

$$\begin{cases} T_U(s) = Q_U(U - s)/(1 - \langle s, U \rangle), & s \in H_0, \\ T_V(s) = Q_V(V - s)/(1 - \langle s, V \rangle), & s \in K_0, \end{cases}$$

respectively.

Consider a map $g : H_0 \rightarrow K$ holomorphic in H_0 , such that $g(H_0) \subset K_0$, of the form

$$g(s) = (T_V \circ f_V^{-1} \circ F \circ f_u \circ T_U^{-1})(s), \quad s \in H_0.$$

Since $T_U^{-1}(0) = U$ and $T_V(V) = 0$, we get $g(0) = 0$. Thus (see L. A. Harris [14])

$$(3.4) \quad \|Dg(0)(p)\| \leq \|p\|, \quad p \in H.$$

For $p \in H$, by the inverse map theorem (see [26]) and by the chain rule, we have

$$Dg(0)(p) = DT_V(V) \{ Df_V^{-1}(W) \{ DF(x) \{ [Df_u^{-1}(x)]^{-1} \{ [DT_U(U)]^{-1}(p) \} \} \} \}.$$

Thus, by (3.4), if we replace p with $DT_U(U) \{ Df_u^{-1}(x)(p) \}$, we obtain

$$(3.5) \quad \|DT_V(V) \{ Df_V^{-1}(W) \{ DF(x)(p) \} \}\| \leq \|DT_U(U) \{ Df_u^{-1}(x)(p) \}\|, \quad p \in H.$$

But

$$DT_U(s)(p) = -(Q_U[p(1 - \langle s, U \rangle) + (s - U)\langle p, U \rangle])/(1 - \langle s, U \rangle)^2, \quad s \in H_0, \quad p \in H,$$

$$DT_V(s)(p) = -(Q_V[p(1 - \langle s, V \rangle) + (s - V)\langle p, V \rangle])/(1 - \langle s, V \rangle)^2, \quad s \in K_0, \quad p \in K,$$

and, in particular,

$$(3.6) \quad \begin{cases} DT_U(U)(p) = -Q_U(p)/(1 - \|U\|^2), & p \in H, \\ DT_V(V)(p) = -Q_V(p)/(1 - \|V\|^2), & p \in K. \end{cases}$$

Moreover, we obtain,

$$(3.7) \quad Df_u^{-1}(x)(p) = (p(1 + \langle x, u \rangle) - (x - u)\langle p, u \rangle)/(1 + \langle x, u \rangle)^2, \quad p \in H,$$

$$(3.8) \quad Df_v^{-1}(W)(p) = (p(1 + \langle W, v \rangle) - (W - v)\langle p, v \rangle)/(1 + \langle W, v \rangle)^2, \quad p \in K,$$

Consequently, using (3.6)-(3.8), from (3.5) we get, for $p \in H$,

$$(3.9) \quad \|[1 + \langle W, v \rangle] Q_V[DF(x)(p)] - \langle DF(x)(p), v \rangle Q_V(W - v)\| \leq \\ \leq \frac{|1 + \langle W, v \rangle|^2 - \|W - v\|^2}{|1 + \langle x, u \rangle|^2 - \|x - u\|^2} \cdot \|[1 + \langle x, u \rangle] Q_U(p) - \langle p, u \rangle Q_U(x - u)\|.$$

Finally, let us observe that

$$(3.10) \quad Q_{\mathbf{U}}(p) = Q_{x-u}(p) \quad \text{for } p \in H \quad \text{and} \quad Q_{\mathbf{V}}(p) = Q_{w-v}(p) \quad \text{for } p \in K.$$

By (3.1), (3.2) and (3.10), inequality (2.33) is a simple consequence of (3.9).

4. PROOF OF THEOREM 2.2

Fixing $x \in H_u$, let $F: H_u \rightarrow K$ be a map biholomorphic in H_u where H_u is an arbitrary and fixed set defined by (2.1) or (2.2) and let \mathbf{U} be defined by (3.2).

Let $g_{\mathbf{U}}: H_0 \rightarrow K$ be a biholomorphic map defined by the formula

$$(4.1) \quad g_{\mathbf{U}}(s) = (F \circ f_u \circ T_{\mathbf{U}})(s) - (F \circ f_u)(\mathbf{U}), \quad s \in H_0,$$

where $T_{\mathbf{U}}$ is a Möbius biholomorphic map of H_0 onto H_0 defined by the formula

$$T_{\mathbf{U}}(s) = Q_{\mathbf{U}}(\mathbf{U} + s)/(1 + \langle s, \mathbf{U} \rangle), \quad s \in H_0,$$

and f_u is defined by (3.3). Since

$$\begin{aligned} Dg_{\mathbf{U}}(0)(p) &= DF[(f_u \circ T_{\mathbf{U}})(0)]\{Df_u[T_{\mathbf{U}}(0)]\{DT_{\mathbf{U}}(0)(p)\}\} = \\ &= DF(x)\{Df_u(\mathbf{U})\{DT_{\mathbf{U}}(0)(p)\}\}, \quad p \in H, \end{aligned}$$

therefore, by using the inverse map theorem, the map $b_{\mathbf{U}}: H_0 \rightarrow H$ defined by the formula

$$(4.2) \quad b_{\mathbf{U}}(s) = [DT_{\mathbf{U}}(0)]^{-1}\{[Df_u(\mathbf{U})]^{-1}\{[DF(x)]^{-1}[g_{\mathbf{U}}(s)]\}\}, \quad s \in H_0,$$

is biholomorphic and satisfies the conditions

$$(4.3) \quad b_{\mathbf{U}}(0) = 0 \quad \text{and} \quad Db_{\mathbf{U}}(0) = I_H.$$

Now, let us observe that

$$Df_u(\mathbf{U})(p) = \frac{(1 - \langle \mathbf{U}, u \rangle)p + (\mathbf{U} + u)\langle p, u \rangle}{(1 - \langle \mathbf{U}, u \rangle)^2}, \quad p \in H,$$

and

$$DT_{\mathbf{U}}(s)(p) = -\frac{Q_{\mathbf{U}}[p(1 + \langle s, \mathbf{U} \rangle) - (s + \mathbf{U})\langle p, \mathbf{U} \rangle]}{(1 + \langle s, \mathbf{U} \rangle)^2}, \quad s \in H_0, \quad p \in H.$$

Thus $\|Df_u(\mathbf{U})(p)\| \leq A_{x,u} \cdot \|p\|$ where

$$(4.4) \quad A_{x,u} = \frac{|1 - \langle \mathbf{U}, u \rangle| + \|u\|\|\mathbf{U} + u\|}{|1 - \langle \mathbf{U}, u \rangle|^2}, \quad p \in H.$$

Further, for $p \in H$, $DT_{\mathbf{U}}(0)(p) = -Q_{\mathbf{U}}[p - \mathbf{U}\langle p, \mathbf{U} \rangle] = -B_{x,u}(p)$ where

$$(4.5) \quad B_{x,u} = (1 - \|\mathbf{U}\|^2)^{1/2}\{I_H - [1 - (1 - \|\mathbf{U}\|^2)^{1/2}]E_{x-u}\}.$$

By (4.2),

$$\begin{aligned} \|g_{\mathbf{U}}(s)\| &\leq \|DF(x)\| \|Df_u(\mathbf{U})\{DT_{\mathbf{U}}(0)[b_{\mathbf{U}}(s)]\}\| \leq \\ &\leq \|DF(x)\| A_{x,u} \cdot \|B_{x,u}\| \|b_{\mathbf{U}}(s)\|, \quad s \in H_0, \end{aligned}$$

and, from (4.1) and (4.3) we get

$$\text{dist}[(F \circ f_u)(s), \partial(F \circ f_u)(H_0)] \leq \|Df_u(x)\| A_{x,u} \cdot \|B_{x,u}\| \cdot \text{dist}[0, \partial b_{\mathbf{U}}(H_0)], \quad s \in H_0.$$

Thus, for $s = U$, we obtain

$$(4.6) \quad \text{dist}[F(x), \partial F(H_u)] \leq \|DF(x)\| A_{x,u} \cdot \|B_{x,u}\| \cdot \lambda$$

where $\lambda = \text{dist}[0, \partial b_U(H_0)]$.

Now, let us observe that

$$(4.7) \quad \lambda \leq 1.$$

Indeed, by (4.3) and [17, 21], we have $\lambda > 0$. Moreover, $\lambda < \infty$; otherwise, by the Liouville theorem for holomorphic maps in complex Banach spaces, the map b_v^{-1} is constant, a contradiction. Let $\omega(s) = b_v^{-1}(\lambda s)$. Since $\omega: H_0 \rightarrow H_0$ is holomorphic, $\omega(0) = 0$ and $D\omega(0)(s) = I_H$, therefore, by the Schwarz lemma [14] and the chain rule, $\|\lambda s\| = \|D\omega(0)(s)\| \leq \|s\|$, $s \in H$, which implies (4.7).

Inequality (2.34) is a simple consequence of (4.4)-(4.7).

5. PROOF OF THEOREM 2.3

In the sequel, fixing $X \in \mathfrak{U}_U$, let F be a holomorphic map of \mathfrak{U}_U into \mathfrak{V} such that $F(\mathfrak{U}_U) \subset \mathfrak{B}_V$ where \mathfrak{U}_U and \mathfrak{B}_V are arbitrary and fixed sets defined by (2.7)-(2.12) and let

$$(5.1) \quad W = F(X).$$

Let us observe that, when $U^* U \neq I_H$,

$$(5.2) \quad UA_U^{-1/2} = B_U^{-1/2} U = 2^{-1/2} U, \quad A_U^{-1} = I_H - 2^{-1} U^* U, \quad B_U^{-1} = I_K - 2^{-1} U U^*,$$

and, when $V^* V \neq I_M$,

$$(5.3) \quad VA_V^{-1/2} = B_V^{-1/2} V = 2^{-1/2} V, \quad A_V^{-1} = I_M - 2^{-1} V^* V, \quad B_V^{-1} = I_N - 2^{-1} V V^*.$$

If we denote

$$(5.4) \quad U = f_U^{-1}(X) = B_U^{-1/2}(X - U)(I_H + U^* X)^{-1} A_U^{1/2} \quad \text{when } U^* U \neq I_H,$$

$$(5.5) \quad U = f_U^{-1}(X) = (X - U)(I_H + U^* X)^{-1} \quad \text{when } U^* U = I_H,$$

and

$$V = f_V^{-1}(W) = B_V^{-1/2}(W - V)(I_M + V^* W)^{-1} A_V^{1/2} \quad \text{when } V^* V \neq I_M,$$

$$V = f_V^{-1}(W) = (W - V)(I_M + V^* W)^{-1} \quad \text{when } V^* V = I_M,$$

where f_U and f_V are biholomorphic maps of \mathfrak{U}_0 onto \mathfrak{U}_U and \mathfrak{B}_0 onto \mathfrak{B}_V (cf. [18, Proposition 2] and [30, Theorem 5]) defined by the formulae

$$f_U(X) = B_U^{-1/2}(X + U)(I_H - U^* X)^{-1} A_U^{1/2}, \quad X \in \mathfrak{U}_0, \quad \text{when } U^* U \neq I_H,$$

$$f_U(X) = (X + U)(I_H - U^* X)^{-1}, \quad X \in \mathfrak{U}_0, \quad \text{when } U^* U = I_H,$$

and

$$f_V(Y) = B_V^{-1/2}(Y + V)(I_M - V^* Y)^{-1} A_V^{1/2}, \quad Y \in \mathfrak{B}_0, \quad \text{when } V^* V \neq I_M,$$

$$f_V(Y) = (Y + V)(I_M - V^* Y)^{-1}, \quad Y \in \mathfrak{B}_0, \quad \text{when } V^* V = I_M,$$

respectively, then, by (5.2) and (5.3), we obtain

$$(5.6) \quad A_U = I_H - U^* U = A_X(\mathfrak{U}_U) \quad \text{and} \quad A_V = I_M - V^* V = A_W(\mathfrak{B}_V).$$

Moreover, since

$$(X - U)(I_H + U^* X)^{-1} = B_U(I_K + XU^*)^{-1}(X - U)A_U^{-1} \quad \text{when } U^* U \neq I_H ,$$

$$(X - U)(I_H + U^* X)^{-1} = (I_K + XU^*)^{-1}(X - U) \quad \text{when } U^* U = I_H ,$$

and

$$(W - V)(I_M + V^* W)^{-1} = B_V(I_N + WV^*)^{-1}(W - V)A_V^{-1} \quad \text{when } V^* V \neq I_M ,$$

$$(W - V)(I_M + V^* W)^{-1} = (I_N + WV^*)^{-1}(W - V) \quad \text{when } V^* V = I_M ,$$

we also get

$$(5.7) \quad B_U = I_K - UU^* = B_X(\mathfrak{U}_U) \quad \text{and} \quad B_V = I_N - VV^* = B_W(\mathfrak{B}_V) .$$

Hence, in particular, after taking account of $B_U > 0$ (since $U \in \mathfrak{U}_0$) and $B_V > 0$ (since $V \in \mathfrak{B}_0$), we obtain, by (5.6), (5.7) and (2.13)-(2.32),

$$\operatorname{RE} XU^* > 0 \quad \text{and} \quad \operatorname{RE} WV^* > 0 .$$

Let now $T_U : \mathfrak{U}_0 \rightarrow \mathfrak{U}_0$ and $T_V : \mathfrak{B}_0 \rightarrow \mathfrak{B}_0$ be Möbius biholomorphic maps of the forms [16, Theorem 2]

$$T_U(S) = B_U^{-1/2}(U - S)(I_H - U^* S)^{-1}A_U^{1/2} , \quad S \in \mathfrak{U}_0 ,$$

where

$$A_U = I_H - U^* U \quad \text{and} \quad B_U = I_K - UU^* ,$$

and

$$T_V(S) = B_V^{-1/2}(V - S)(I_M - V^* S)^{-1}A_V^{1/2} , \quad S \in \mathfrak{B}_0 ,$$

where

$$A_V = I_M - V^* V \quad \text{and} \quad B_V = I_N - VV^* ,$$

respectively.

Consider the map $g \in \mathfrak{U}_0 \rightarrow \mathfrak{B}$ holomorphic in \mathfrak{U}_0 , such that $g(\mathfrak{U}_0) \subset \mathfrak{B}_0$, of the form

$$g(S) = (T_V \circ f_V^{-1} \circ F \circ f_U \circ T_U^{-1})(S) , \quad S \in \mathfrak{U}_0 .$$

Since $T_U^{-1}(0) = U$ by (5.2) and $T_V(V) = 0$, we get $g(0) = 0$. Thus (see [14])

$$(5.8) \quad \|Dg(0)(P)\| \leq \|P\| , \quad P \in \mathfrak{U} .$$

For $S \in \mathfrak{U}_0$ and $P \in \mathfrak{U}$, by the chain rule, we get

$$Dg(S)(P) = DT_V[(f_V^{-1} \circ F \circ f_U \circ T_U^{-1})(S)] \left\{ Df_V^{-1}[(F \circ f_U \circ T_U^{-1})(S)] \left\{ DF[(f_U \circ T_U^{-1})(S)] \cdot \right. \right. \\ \left. \left. \cdot \{ Df_U[T_U^{-1}(S)] \{ DT_U^{-1}(S)(P) \} \} \right\} \right\}$$

and, in particular,

$$(5.9) \quad Dg(0)(P) = DT_V(V) \left\{ Df_V^{-1}(W) \left\{ DF(X) \{ Df_U(U) \{ DT_U^{-1}(0)(P) \} \} \right\} \right\} = \\ = DT_V(V) \left\{ Df_V^{-1}(W) \left\{ DF(X) \{ [Df_U^{-1}(X)]^{-1} \{ [DT_U(U)]^{-1}(P) \} \} \right\} \right\} .$$

Let us observe that if $P \in \mathfrak{U}$, then also $R \in \mathfrak{U}$ where

$$(5.10) \quad R = DT_U(U) \{ Df_U^{-1}(X)(P) \} .$$

From (5.8), where we replace P by R , using (5.9), we get

$$(5.11) \quad \|DT_{\mathbf{V}}(\mathbf{V})\{Df_V^{-1}(\mathbf{W})\{DF(X)(P)\}\}\| \leq \|R\|$$

where R is of form (5.10) and $P \in \mathfrak{U}$.

We have

$$(5.12) \quad \begin{cases} DT_{\mathbf{U}}(S)(P) = -B_U^{1/2}(I_K - SU^*)^{-1}P(I_H - U^* S)^{-1}A_U^{1/2}, & S \in \mathfrak{U}_0, P \in \mathfrak{U}, \\ DT_{\mathbf{V}}(S)(P) = -B_V^{1/2}(I_N - SV^*)^{-1}P(I_M - V^* S)^{-1}A_V^{1/2}, & S \in \mathfrak{V}_0, P \in \mathfrak{V}, \end{cases}$$

and, in particular,

$$(5.13) \quad DT_{\mathbf{U}}(\mathbf{U})(P) = -B_U^{-1/2}PA_U^{-1/2}, \quad P \in \mathfrak{U},$$

$$(5.14) \quad DT_{\mathbf{V}}(\mathbf{V})(P) = -B_V^{-1/2}PA_V^{-1/2}, \quad P \in \mathfrak{V}.$$

Moreover, for $S \in \mathfrak{U}_0$, $P \in \mathfrak{U}$,

$$(5.15) \quad Df_U^{-1}(S)(P) = B_U^{1/2}(I_K + SU^*)^{-1}P(I_H + U^* S)^{-1}A_U^{1/2} \quad \text{when } U^* U \neq I_H,$$

$$(5.16) \quad Df_U^{-1}(S)(P) = (I_K + SU^*)^{-1}P(I_H + U^* S)^{-1} \quad \text{when } U^* U = I_H,$$

and, for $S \in \mathfrak{V}_0$, $P \in \mathfrak{V}$,

$$(5.17) \quad Df_V^{-1}(S)(P) = B_V^{1/2}(I_N + SV^*)^{-1}P(I_M + V^* S)^{-1}A_V^{1/2} \quad \text{when } V^* V \neq I_M,$$

$$(5.18) \quad Df_V^{-1}(S)(P) = (I_N + SV^*)^{-1}P(I_M + V^* S)^{-1} \quad \text{when } V^* V = I_M.$$

Thus, by (5.11), using (5.10) and (5.12)-(5.18), for $P \in \mathfrak{U}$, we get

$$(5.19) \quad \|B_V^{-1/2}B_V^{1/2}(I_N + WV^*)^{-1}DF(X)(P)(I_M + V^* W)^{-1}A_V^{1/2}A_V^{-1/2}\| \leq \|B_U^{-1/2}B_U^{1/2}(I_K + XU^*)^{-1}P(I_H + U^* X)^{-1}A_U^{1/2}A_U^{-1/2}\|$$

when $U^* U \neq I_H$ and $V^* V \neq I_M$;

$$(5.20) \quad \|B_V^{-1/2}(I_N + WV^*)^{-1}DF(X)(P)(I_M + V^* W)^{-1}A_V^{-1/2}\| \leq \|B_U^{-1/2}B_U^{1/2}(I_K + XU^*)^{-1}P(I_H + U^* X)^{-1}A_U^{1/2}A_U^{-1/2}\|$$

when $U^* U \neq I_H$ and $V^* V = I_M$;

$$(5.21) \quad \|B_V^{-1/2}B_V^{1/2}(I_N + WV^*)^{-1}DF(X)(P)(I_M + V^* W)^{-1}A_V^{1/2}A_V^{-1/2}\| \leq \|B_U^{-1/2}(I_K + XU^*)^{-1}P(I_H + U^* X)^{-1}A_U^{-1/2}\|$$

when $U^* U = I_H$ and $V^* V \neq I_M$;

$$(5.22) \quad \|B_V^{-1/2}(I_N + WV^*)^{-1}DF(X)(P)(I_M + V^* W)^{-1}A_V^{-1/2}\| \leq \|B_U^{-1/2}(I_K + XU^*)^{-1}P(I_H + U^* X)^{-1}A_U^{-1/2}\|$$

when $U^* U = I_H$ and $V^* V = I_M$. Consequently, for $P \in \mathfrak{U}$, in virtue of (5.6) and (5.7), by using notations (5.1) and (2.7)-(2.32), inequalities (5.19)-(5.22) imply assertions (2.38)-(2.41), respectively.

6. PROOF OF THEOREM 2.4

(a) Using (2.38) for the map F^{-1} and the point $X = Z$ and, next, replacing P by $DF(Z)(I_K + ZU^*)B_U^{-1/2}[B_Z(\mathfrak{U}_U)]^{1/2}P[A_Z(\mathfrak{U}_U)]^{1/2}A_U^{-1/2}(I_H + U^* Z)$, we immediate-

ly obtain (2.43). Inequality (2.44) is a consequence of (2.41) by an analogous argument.

(b) Let $A_Z = I_H - Z^*Z$ and $B_Z = I_K - ZZ^*$. Using inequality (2.43) for $U = 0$ and $P = Z$, we get $\|Z\| \leq \|B_Z^{-1/2}DF(Z)B_Z^{1/2}Z\|$ which is identical with (2.45) since $B_Z^{1/2}Z = ZA_Z^{1/2}$.

Estimate (2.45) is precise for F_W defined by (2.46) and their fixed points (2.47) determined in [31, Theorem 2.1 (c)]. Indeed, we have $DF_W(Z)(Z) = -B_W^{1/2}(I_K - ZW^*)^{-1}Z(I_H - W^*Z)^{-1}A_W^{1/2}$, and (2.45) is then of the form

$$(6.1) \quad \|Z\| \leq \|B_Z^{-1/2}B_W^{1/2}(I_K - ZW^*)^{-1}Z(I_H - W^*Z)^{-1}A_W^{1/2}A_Z^{1/2}\|.$$

Now, let us observe that, by (2.47),

$$(6.2) \quad (I_H - W^*Z)^{-1} = (I_H + A_W^{1/2})(A_W + A_W^{1/2})^{-1} = A_W^{-1/2}.$$

Moreover, since $A_W^{1/2}W^* = W^*B_W^{1/2}$, we get $W(I_H + A_W^{1/2})^{-1}W^* = WW^*(I_K + B_W^{1/2})^{-1}$, and thus,

$$(6.3) \quad (I_K - ZW^*)^{-1} = (I_K + B_W^{1/2})(B_W + B_W^{1/2})^{-1} = B_W^{-1/2}.$$

Consequently, inequality (6.1) (by (6.2) and (6.3)) is, in fact, the equality

$$\|Z\| \leq \|B_Z^{-1/2}ZA_Z^{1/2}\| = \|ZA_Z^{-1/2}A_Z^{1/2}\| = \|Z\|.$$

(c) Identifying H with the J^* -algebra $\mathcal{L}(C, H)$, from (b) we get (c).

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