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On glueing curves on surfaces and zero cycles

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Matematica. — *On glueing curves on surfaces and zero cycles.* Nota (*) di HURSI ÖNSIPER, presentata dal Socio E. Vesentini.

ABSTRACT. — The structure of the group $H^2(X, K_2)$ of a surface X with prescribed singularities is investigated.

KEY WORDS: Albanese variety; Algebraic group; Neron-Severi group; Lefschetz pencil.

RIASSUNTO. — *Incollamento di curve su superfici e cicli nulli.* Si studia il gruppo $H^2(X, K_2)$ di una superficie X con singolarità assegnate.

This paper concerns the structure of $H^2(X, K_2)$ of surfaces with prescribed singularities. More precisely, for a projective smooth surface X' over \mathbb{C} and an effective divisor m on X' we consider a pushout situation

$$\begin{array}{ccc} m & \longrightarrow & X' \\ \downarrow & & \downarrow \pi \\ S & \longrightarrow & X \end{array}$$

where $m \rightarrow S$ is a finite surjective map between reduced curves. Relevant to this situation we have two algebraic groups, one is the generalized albanese variety G_{um} of X' with modulus m [5] and the other is the 1-motive $J^2(X) = H^3(X, \mathbb{C})/F^2 H^3(X, \mathbb{C}) + H^3(X, \mathbb{Z})$. Motivated by the analogy with the pushout of curves and by the results in [2] we study the relation between G_{um} , $J^2(X)$ and $H^2(X, K_2)$.

The rest of our notation is as follows: $C_m(X')$ is the idèle class group of X' with modulus m [3]; $H_c^\bullet(,)$ denotes cohomology with compact support; Ω_G^{inv} is the space of invariant differentials on the algebraic group G ; $NS() =$ Néron-Severi group. We first prove:

PROPOSITION 1. (i) *We have a surjective homomorphism $G_{um}(\mathbb{C}) \rightarrow J^2(X)$ which is an isomorphism if S is integral.*

(ii) *If $H^2(X', \mathcal{O}_{X'}) = 0$ then $J^2(X)$ is an extension of $\text{Alb}_{X'}$ by a torus of dimension $d = \text{rank}(NS(m)/(NS(S) + NS(X')))$.*

(*) Pervenuta all'Accademia il 22 settembre 1993.

PROOF. We let $U = X' - m = X - S$ and consider the diagram

$$\begin{array}{ccccccccc}
 H^2(X, \mathbb{C}) & \longrightarrow & H^2(S, \mathbb{C}) & \longrightarrow & H_c^3(U, \mathbb{C}) & \longrightarrow & H^3(X, \mathbb{C}) & \longrightarrow & 0 \\
 (*) \quad \downarrow & & \downarrow & & \parallel & & \downarrow \pi^* & & \\
 H^2(X', \mathbb{C}) & \longrightarrow & H^2(m, \mathbb{C}) & \longrightarrow & H_c^3(U, \mathbb{C}) & \longrightarrow & H^3(X', \mathbb{C}) & \longrightarrow & 0
 \end{array}$$

As the nonzero Hodge numbers h^{pq} of $H^3(X, \mathbb{C})$ satisfy $1 \leq p, q \leq 2$, we get successively $0 = F^2 H^3(X, \mathbb{C}) \cap W^0 H^3(X, \mathbb{C}) = F^2 H^3(X, \mathbb{C}) \cap W^1 H^3(X, \mathbb{C}) = F^2 H^3(X, \mathbb{C}) \cap W^2 H^3(X, \mathbb{C})$. Therefore, since $\text{kernel } (\pi^*) = W^2 H^3(X, \mathbb{C})$ we see that $F^2 H^3(X, \mathbb{C}) = F^2 H^3(X', \mathbb{C}) = H^1(X', \Omega^2)$. On the other hand the map $H_c^3(U, \mathbb{C}) \rightarrow H^3(X', \mathbb{C})$ is the dual of $0 \rightarrow H^1(X', \mathbb{C}) \rightarrow H^1(U, \mathbb{C})$ by Poincaré duality. This last sequence gives [1] $H^1(U, \mathbb{C}) \approx \Omega_{G_{um}}^{\text{inv}} \oplus H^1(X', \mathcal{O}_{X'}) = \Omega_{G_{um}}^{\text{inv}} \oplus H^1(X', \Omega^2)$.

Therefore, we get $\Omega_{G_{um}}^{\text{inv}*} \rightarrow H^3(X, \mathbb{C})/F^2 H^3(X, \mathbb{C}) \rightarrow 0$ and taking quotients by $H_c^3(U, \mathbb{C})$ and $H^3(X, \mathbb{C})$ respectively using $H_c^3(U, \mathbb{C}) \rightarrow H^3(X, \mathbb{C}) \rightarrow 0$ and that $H_c^3(U, \mathbb{C}) \approx H_1(U, \mathbb{C})$ we obtain the first half of statement (i).

For the second part of this statement we observe that when S is integral $H^2(S, \mathbb{C}) \approx \mathbb{C}$ and as X is projective $H^2(X, \mathbb{C}) \rightarrow H^2(S, \mathbb{C})$ is not the zero map. Therefore $H_c^3(U, \mathbb{C}) \approx H^3(X, \mathbb{C})$ and the result follows.

For statement (ii) we consider the exact sequence

$$0 \rightarrow \frac{H^2(m, \mathbb{C})}{H^2(S, \mathbb{C}) + H^2(X', \mathbb{C})} \rightarrow \frac{H_c^3(U, \mathbb{C})}{H^2(S, \mathbb{C}) + H^1(X', \mathcal{O}_{X'})} \rightarrow \frac{H^3(X', \mathbb{C})}{F^2 H^3(X', \mathbb{C})} \rightarrow 0.$$

The first row of (*) shows that

$$\frac{H_c^3(U, \mathbb{C})}{H^2(S, \mathbb{C}) + H^1(X', \mathcal{O}_{X'})} \approx \frac{H^3(X, \mathbb{C})}{F^2 H^3(X, \mathbb{C})}$$

and when $H^2(X', \mathcal{O}_{X'}) = 0$ the exponential sequence yields $\dim H^2(X', \mathbb{C}) = \text{rank}(NS(X'))$. As $\dim H^2(m, \mathbb{C})$ (resp. $\dim H^2(S, \mathbb{C}) = \text{rank}(NS(m))$, (resp. $\text{rank}(NS(S))$), this completes the proof. \square

As to the relation of G_{um} and $J^2(X)$ to $H^2(X, K_2)$ we first recall that $G_{um}(\mathbb{C}) \approx H^0(X', \Omega_{X'}(m))_{d=0}^* / H_1(U, \mathbb{C})$ and fixing some $x_0 \in U$ the generalized albanese map $\alpha_{um}: U \rightarrow G_{um}(\mathbb{C})$ is given by

$$\alpha_{um}(x) = \left(\int_{\gamma} w_1, \dots, \int_{\gamma} w_n \right)$$

modulo periods, for any path γ joining x_0 to x , where w_1, \dots, w_n is a basis for $H^0(X', \Omega_{X'}(m))_{d=0} =$ the differentials of the third kind with residues on m only [5,

Corrigendum]. Extending by linearity we obtain a homomorphism

$$\alpha: \bigoplus_{x \in U} Z \rightarrow G_{um}(C).$$

Now we can prove

PROPOSITION 2. α induces a surjective homomorphism $\tilde{\alpha}: H^2(X, K_2)_0 \rightarrow J^2(X)$ where $H^2(X, K_2)_0$ is the group of zero cycles of degree zero.

PROOF. Since $\alpha_{um}(U)$ generates G_{um} , α is clearly surjective. Therefore, by the explicit description of $H^2(X, K_2)$ given in [4] it suffices to show that if $Y \subset X$ is a curve, no component of which is in S and if $f \in K(Y)$ is unit at each $p \in Y \cap S$, then $\alpha(\text{div}(f)) \in \text{Kernel}(G_{um}(C) \rightarrow J^2(X))$. To see this we must check that for $\text{div}(f) = \sum n_x x$ and for each w_i we have

$$\sum n_x \int w_i \in \text{Image}(H^2(S, C) \rightarrow H_c^3(U, C)).$$

This however is a consequence of the following standard calculation for which we may clearly assume that Y is integral.

Writing

$$S = \bigcup_{i=1}^k S_i, \quad m = \bigcup_{i=1} \bigcup_{j=1} D_{ij}$$

as union of irreducible components where $\pi(D_{ij}) = S_i$ for $i = 1, \dots, k$ we see that $H^2(S, C) \rightarrow H_c^3(U, C)$ is the composite map $H^2(S, C) \rightarrow H^2(m, C) \rightarrow H_c^3(U, C)$ where the second arrows is the dual of

$$\begin{aligned} \text{res}: H^0(X', \Omega_{X'}(m))_{d=0} &\longrightarrow H^2(m, C) \approx \bigoplus_i \bigoplus_j H^2(D_{ij}, C) \\ w &\longrightarrow (\dots, \text{res}_{D_{ij}}(w), \dots) \end{aligned}$$

and the first arrow is simply the diagonal map $H^2(S_i, C) \rightarrow \bigoplus_j H^2(D_{ij}, C)$ for each $i = 1, \dots, k$. Therefore, $\text{Image}(H^2(S, C) \rightarrow H_c^3(U, C))$ is spanned by $\theta_i \in H^0(X', \Omega_{X'}(m))_{d=0}^*$ where

$$\theta_i(w_l) = \sum_{j=1}^{r_i} \text{res}_{D_{ij}}(w_l).$$

On the other hand letting $Y' \rightarrow \pi^{-1}(Y)$ be the normalization and $\varphi: Y' \rightarrow X$ be the composite map, we see that $\varphi^*(w_i)$ has poles only at $p \in \varphi^{-1}(Y \cap S)$ and these are simple poles.

Given $f \in k(Y)$, we consider $\psi: Y' \rightarrow P^1$ defined by $C(f) \subset k(Y)$. We choose a chain c in P^1 missing $\psi(\varphi^{-1}(Y \cap S))$ with $\partial c = 0 - \infty$, so that $\partial \psi^{-1}(c) = \psi^{-1}(\partial c) = \text{div}(f)$. Since $\varphi^*(w_i)$ has simple poles and since for each

$$q \in P^1, \quad \text{res}_q(\text{Tr}(\varphi^*(w_i))) = \sum_{\psi(p)=q} \text{res}_p(\varphi^*(w_i)),$$

the equality

$$\int_{\psi^{-1}(c)} \varphi^*(w) = \int_c \text{Tr}(\varphi^*(w))$$

completes the proof. \square

Finally, via the following lemma we show that for any m and X' as above we can always realize $G_{um}(C)$ as a homomorphic image of $H^2(X, K_2)_0$ for a suitable pushout X .

LEMMA 3. *Given any divisor m (not necessarily reduced) on X' , we can find a Lefschetz pencil $X'' \xrightarrow{\alpha} P^1$ such that*

- 1) m is flat over P^1 and G_{um} for $X'' = G_{um}$ for X' ,
- 2) the pushout

$$\begin{array}{ccc} m & \xrightarrow{i} & X'' \\ \alpha \downarrow & & \downarrow \\ P^1 & \longrightarrow & X \end{array}$$

exists,

- 3) for reduced m , we have a canonical surjective homomorphism

$$H^2(X, K_2)_0 \rightarrow G_{um}(C).$$

PROOF. 1) Since $\dim(m) = 1$, we have a dense open set V in the Grassmannian $G_r(n-2, P^n)$ of the ambient projective space P^n such that for $L \in V$, $L \cap m = \phi$. We identify V with an open subset of $G_r(1, P^{n'})$ where $P^{n'}$ is the dual projective space, via the isomorphism

$$\begin{aligned} G_r(1, P^{n'}) &\longrightarrow G_r(n-2, P^n), \\ p = \{H_t\}_{t \in P^1} &\longmapsto \Delta_p = H_0 \cdot H_\infty. \end{aligned}$$

Then taking $p \in V \cap V_L$ (V_L is the open subset consisting of Lefschetz pencils) we get a pencil $X'' \xrightarrow{\alpha} P^1$, $f: X'' \rightarrow X'$ by blowing up $(\Delta_p \cap X') \subset X' - m$. Clearly $m \subset X''$ is flat over P^1 .

That G_{um} for $X'' = G_{um}$ for X' is an immediate consequence of the fact that $C_m(X'') = C_m(X')$ [3, Chapter II, Lemma 5].

2) We check that the hypothesis of [2, Proposition 4.3] is satisfied. To see this, for $t \in P^1$ we take $t' = 0$ or ∞ , $t' \neq t$. As X'' is a blow-up of X' along $\Delta \cap X' \subset X' - m$, for $H_{t'}$ the hyperplane through t' we have $f^{-1}(X' - H_{t'}) \simeq X' - H_{t'}$, which is affine and clearly $i^{-1}(f^{-1}(X' - H_{t'})) = \alpha^{-1}(P^1 - t')$.

- 3) This follows from Proposition 1 (i) and Proposition 2. \square

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