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**Lie group structures and reproducing kernels on the
unit ball of \mathbb{C}^n**

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Geometria. — *Lie group structures and reproducing kernels on the unit ball of \mathbb{C}^n .* Nota di UMBERTO SAMPIERI (*), presentata (**) dal Corrisp. E. VESENTINI.

RIASSUNTO. — Si introducono due strutture di gruppo di Lie su un dominio di Siegel omogeneo di \mathbb{C}^n . Per la palla unitaria si definisce una famiglia ad un parametro di strutture intermedie; ad ognuna di esse viene associato naturalmente un nucleo riproducente ottenendo un'interpolazione tra il nucleo di Bergman ed il nucleo di Szego.

INTRODUCTION

It is well known that, denoting respectively by K_1 and K_0 the Bergman and the Szego kernels of a symmetric domain D , there exists a real number $0 < \alpha_0 < 1$ such that $K_0 = (K_1)^{\alpha_0}$.

Consequently it is natural to ask for which real number α there exists a Hilbert space of holomorphic functions on D , whose reproducing kernel is given by $(K_1)^\alpha$. A complete answer to this question has been given by Vergne and Rossi ([3]), showing the link between representations of semi-simple Lie groups and the theory of reproducing kernels.

In this brief note we announce some results on Lie group structures on homogeneous Siegel domains, focusing our attention on a simple but not trivial example: the unbounded realization of the unit ball in \mathbb{C}^n .

The introduction of these Lie group structures improves the understanding of function theory on such domains and is summarized in section 2.

In section 1 we fix notations and recall a few facts about Siegel domains (see [2] for proofs and details) while section 3 is completely devoted to the discussion of the example. Proofs and general results will appear in a forthcoming paper ([5]).

1. AFFINELY HOMOGENEOUS SIEGEL DOMAINS

Let V be an homogeneous sharp cone in \mathbb{R}^n . We shall denote by $\text{Aut}(V)$ the group of all linear transformations of \mathbb{R}^n preserving V . Let $Q : \mathbb{C}^m \times \mathbb{C}^m \rightarrow \mathbb{C}$ be a V -hermitian-homogeneous form that is an hermitian form such that:

- i) $Q(u, u)$ belongs to V for each u in $\mathbb{C}^m, u \neq 0$;
- ii) for each A belonging to $\text{Aut}(V)$ there exists a B in $GL(m, \mathbb{C})$ such that $AQ(u, u) = Q(Bu, Bu)$ for each u in \mathbb{C}^m .

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With these data we can construct the affinely homogeneous domain in \mathbf{C}^{n+m} :

$$(1.1) \quad D(V, Q) = \{w = (z, u) \in \mathbf{C}^{n+m} : c(w) = \text{Im}(z) - Q(u, u) \in V\}.$$

The Silov boundary of $D(V, Q)$ is given by:

$$(1.2) \quad S(Q) = \{w \in \mathbf{C}^{n+m} : c(w) = 0\}.$$

The group $N(Q)$ of affine invertible transformations of \mathbf{C}^{n+m}

$$(1.3) \quad N(Q) = \{g = g(x_0, u_0), x_0 \in \mathbf{R}^n, u_0 \in \mathbf{C}^m\}$$

where

$$g(x_0, u_0)(z, u) = (z + x_0 + i(2Q(u, u_0) + Q(u_0, u_0)), u + u_0)$$

preserves $D(V, Q)$ and acts simply transitively on $S(Q)$. It is well known that $N(Q)$ is a two-step nilpotent Lie group.

2. TWO LIE GROUP STRUCTURES ON A HOMOGENEOUS SIEGEL DOMAIN

In [4] Vinberg has shown that there exists a triangular subgroup $G(V)$ of $\text{Aut}(V)$ acting in a simply transitive way on V . Therefore if we select a point e in V for each $x \in V$ there exists a unique $A(x)$ in $G(V)$ such that $A(x)e = x$. The mapping $x \rightarrow A(x)$ turns out to be a C^∞ diffeomorphism of V onto $G(V)$. Consequently we can define a product on V by setting:

$$(2.1) \quad x \cdot y = A(x)y \quad \text{for each } x, y \text{ belonging to } V.$$

Clearly e is the unit element of the Lie group (V, \cdot) .

Let us consider the two subsets of $D(V, Q)$:

$$(2.2) \quad \tilde{V} = (ix, 0), \quad x \in V$$

$$(2.3) \quad S(Q, e) = \{w \in D(V, Q) : c(w) = e\}$$

\tilde{V} is a group, homomorphic to (V, \cdot) , with the product:

$$(2.4) \quad (ix, 0) X_0(iy, 0) = (i(x \cdot y), 0).$$

We define a group structure on $S(Q, e)$, homomorphic to $N(Q)$, setting:

$$(2.5) \quad (z, u) X_0(z', u') = (z + z' + i(2Q(u', u) - e), u + u').$$

Since $S(Q, e) \cap \tilde{V} = \{(ie, 0)\}$ and the mapping $P : D(V, Q) \rightarrow \tilde{V} \times S(Q, e)$ defined by $P(w) = P(z, u) = (ic(w), 0), (\operatorname{Re}(z) + ie + iQ(u, u), u)$ is a diffeomorphism, we can introduce a direct product structure on $D(V, Q)$. A straightforward calculation shows that the product of two generic elements is given by:

$$(2.6) \quad \begin{aligned} w X_0 w' &= (z, u) X_0 (z', u') = \\ &= (z + z' + i(c(w) \cdot c(w')) - ic(w) - ic(w') + 2iQ(u', u), u + u'). \end{aligned}$$

The mapping $c : (D(V, Q), X_0) \rightarrow (V, \cdot)$ is a surjective homomorphism. In order to define another Lie group structure on $D(V, Q)$ such that left translations are holomorphic automorphisms, we have to look for a semidirect product of V and $S(Q, e)$.

That is obtained by means of the following lemma (15):

LEMMA. *There exists a group homomorphism $s : G(V) \rightarrow Gl(m, \mathbf{C})$ such that:*

$$(2.7) \quad AQ(u, u) = Q(s(A)u, s(A)u) \quad \text{for each } A \text{ in } G(V), \text{ for each } u \text{ in } \mathbf{C}^m.$$

In fact, given s , we can define the requested product by setting:

$$(2.8) \quad \begin{aligned} w X_1 w' &= (z, u) X_1 (z', u') = \\ &= (A(c(w))z' + z - ic(w) + 2iQ(s(A(c(w)))u', u), s(A(c(w)))u' + u). \end{aligned}$$

Clearly (\tilde{V}, X_0) and $(S(Q, e), X_0)$ are subgroups of $(D(V, Q), X_1)$ and c is still a surjective homomorphism.

It is important to observe that, denoting by K_1 the Bergman Kernel of $D(V, Q)$, normalized by the condition $K_1((ie, 0), (ie, 0)) = 1$:

- i) $\omega_1 : (D(V, Q), X_1) \rightarrow \mathbf{R}^+$, $\omega_1(w) = K_1(w, w)^{-1}$ is a surjective homomorphism of Lie groups;
- ii) $d\mu_1(w) = K_1(w, w) dm(w)$ is a left invariant Haar measure on $(D(V, Q), X_1)$;
- iii) $\rightarrow \partial \bar{\partial} \ln \omega_1$ defines a positive definite hermitian left invariant complete metric on $(D(V, Q), X_1)$;
- iv) the reproducing formula for square summable holomorphic functions on $D(V, Q)$ can be expressed as a convolution on $(D(V, Q), X_1)$, namely:

$$(2.9) \quad F(w') = \int L(w^{-1} X_1 w') F(w) d\mu_1(w) \quad , \quad L(w) = K_1(w, (ie, 0)).$$

The following question then arises: are there «in between» Lie group structures on $D(V, Q)$ and how are they related to Hilbert spaces of holomorphic functions on $D(V, Q)$? We shall clarify and answer this question for the special case of the unit ball in the next section.

3. THE UNIT BALL IN \mathbf{C}^{n+1}

We shall denote points w in \mathbf{C}^{n+1} by $w = (z, u)$, z in \mathbf{C} , $u = (u_1, \dots, u_n)$ in \mathbf{C}^n and consider the Siegel domain:

$$(3.1) \quad D_{n+1} = \{w \text{ in } \mathbf{C}^{n+1} : c(w) = \text{Im}(z) = \langle u, u \rangle \in \mathbf{R}^+\}$$

where \langle, \rangle is the ordinary hermitian product in \mathbf{C}^n . It is well known that D_{n+1} is biholomorphically equivalent to the unit ball in \mathbf{C}^{n+1} .

Selecting $(i, 0)$ as unit element, the normalized Bergman and Szego kernels for D_{n+1} are uniquely characterized by the following identities:

$$(3.2) \quad K_1(w, w) = c(w)^{-n-2}$$

$$(3.3) \quad K_0(w, w) = c(w)^{-n-1} = K_1(w, w)^{a_0}, \quad a_0 = (n+1)/(n+2).$$

According to general results summarized in section 2 we can define two Lie group structures on D_{n+1} , namely:

$$(3.4) \quad w X_0 w' = (z + z' - ic(w) - ic(w') + ic(w)c(w') + 2i\langle u', u \rangle, u + u')$$

$$(3.5) \quad w X_1 w' = (c(w)z' - ic(w) + z + 2i\sqrt{c(w)}\langle u', u \rangle, \sqrt{c(w)}u' + u)$$

$S(i)$ is a subgroup for both the structures, homomorphic to the Heisenberg group.

Let us consider the one parameter family of diffeomorphisms $C_h : D_{n+1} \rightarrow D_{n+1}$, h in \mathbf{R}^+ defined by:

$$(3.6) \quad C_h(w) = (ic(w)^h + i\langle u, u \rangle + \text{Re}(z), u).$$

The following identities are easy to check:

$$(3.7) \quad \left\{ \begin{array}{ll} C_h C_k = C_{hk} & \text{for each } h, k \text{ in } \mathbf{R}^+ \\ C_1 = \text{id} & \\ C_{h|S(i)} = \text{id}_{|S(i)} & \text{for each } h \text{ in } \mathbf{R}^+ \\ \lim_{h \rightarrow 0} C_h(w) & \text{belongs to } S(i) \text{ for each } w \text{ in } D_{n+1}. \end{array} \right.$$

We shall say that $\{C_h\}_{h \in \mathbf{R}^+}$ is a semigroup of deformations of D_{n+1} to $S(i)$. We define a family of products X_h on D_{n+1} by setting:

$$(3.8) \quad w X_h w' = C_{1/h} (C_h(w) X_1 C_h(w')) .$$

A straightforward calculation shows that:

$$(3.9) \quad \begin{aligned} w X_h w' &= \\ &= (c(w)^h z' - i c(w) + z + 2i \sqrt{c(w)^h} \langle u', u \rangle + i c(w') (c(w) - \\ &\quad - c(w)^h), \sqrt{c(w)^h} u' + u) . \end{aligned}$$

Therefore we have:

$$(3.10) \quad \lim_{h \rightarrow 0} w X_h w' = w X_0 w'$$

and this accounts for the expression « in between » structures previously used.

A left invariant Haar measure on (D_{n+1}, X_h) is given by:

$$(3.11) \quad d\mu_h(w) = K_0(w, w)^{h-1} d\mu_1(w)$$

and the mappings $\omega_h : (D_{n+1}, X_h) \rightarrow \mathbf{R}^+$

$$(3.12) \quad \omega_h(w) = K_1(C_h(w), C_h(W))^{-1}$$

are surjective Lie groups homomorphisms.

We can introduce the Bergman weighted spaces:

$$(3.13) \quad F_h(D_{n+1}) = \{F \text{ holomorphic functions on } D_{n+1} \text{ such that:}$$

$$(3.14) \quad \|F\|_h = \left(\int |F(w)|^2 \omega_h(w) d\mu_h(w) \right)^{1/2} < \infty \} .$$

The following theorem holds: (| 5 |)

THEOREM

- i) $F_h(D_{n+1}) \neq 0$ for each h in \mathbf{R}^+ ;
- ii) $F_1(D_{n+1})$ is the ordinary Bergman space;
- iii) If we denote by K_h the normalized reproducing kernels of $F_h(D_{n+1})$ we have:
 - a) $K_h = (K_0)^{1+h/n+1}$

- b) $K_h(\cdot, w)$ belongs to the Hardy space $H^2(D_{n+1})$ for each w in D_{n+1} , for each h in \mathbf{R}^+
- c) $\lim_{h \rightarrow 0} K_h(\cdot, w) = K_0(\cdot, w)$ for each w in D_{n+1} , where the limit is taken with respect to the topology of $H^2(D_{n+1})$.

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