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**Closed extensions of R -modules in the case of a
semi-artinian ring R**

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Algebra. — *Closed extensions of R-modules in the case of a semi-artinian ring R.* Nota (*) di FRANS LOONSTRA, presentata dal Socio G. ZAPPA.

RIASSUNTO. — Si considerano le estensioni chiuse B di un R -modulo A mediante un R -modulo C nel caso in cui R sia un anello semi-artiniano, cioè un anello R con la proprietà che per ogni quoziente $(R/I \neq 0 \text{ sia } \text{soc}(R/I) \neq 0$. Tali estensioni sono caratterizzate dal fatto che A deve essere un sottomodulo semi-puro di B .

§ 1. INTRODUCTION

In connection with the definition of an essentially closed submodule we pay attention to the closed extensions of R -modules, in particular (§ 3) in the case of a semi-artinian ring R , i.e. of a ring R with the property that for every non-zero quotient R/I with respect to a left ideal I of R , $\text{soc}(R/I) \neq 0$. In § 2 we start with some important properties of R -modules over semi-artinian rings; in § 3 the closed submodules and the closed extensions of these R -modules are characterized.

The exact sequence

$$(1) \quad E : 0 \rightarrow A \xrightarrow{\mu} B \xrightarrow{\nu} C \rightarrow 0$$

of left R -modules is called a *closed extension* of A by C if $\mu(A)$ is an (essentially) closed submodule of B (notation: $\mu(A) \subseteq_{cl} B$). If E, E' are congruent extensions of A by C (in the usual sense) then E' is a closed extension if E is closed. We denote by $\text{Ext}_R^{cl}(C; A)$ the set of all congruence classes of closed extensions of A by C .

Following the usual procedure we can construct the induced extensions of (1) by means of an R -homomorphism $\gamma : C' \rightarrow C$, resp. $\alpha : A \rightarrow A'$. It can be proved that if (1) is a closed extension of A by C , then the induced extension $E' = E\gamma$ (resp. $E'' = \alpha E$) is a closed extension of A by C' (resp. of A' by C); see Loonstra ⁽¹⁾. For the closed extensions E, E' of A by C the sum

$$(2) \quad E + E' = \nabla_A (E \oplus E') \Delta_C$$

(*) Pervenuta all'Accademia il 30 luglio 1985.

(1) F. LOONSTRA, *Essential submodules and essential subdirect products*, «Symp Math», vol. 23, 1979, Rome; 85-105.

is again a closed extension of A by C , and the set $\text{Ext}_R^{\text{cl}}(C; A)$ of all congruence classes of closed extensions of A by C is an abelian group under the binary operation which assigns to the congruence class of the extensions E and E' the congruence class of the extension $E + E'$ given in (2).

The class of the splitting extension $0 \rightarrow A \rightarrow A \oplus C \rightarrow C \rightarrow 0$ is the zero element of this group, while the inverse of a closed extension E is the (closed) extension $(-1_A)E$. The exact sequences on Hom_R and Ext_R^{cl} can be amalgamated into long exact sequences (see ⁽¹⁾). We are now interested in the character of the closed extensions in the particular situation that R is a semi-artinian ring.

§ 2. SEMI-ARTINIAN MODULES AND RINGS

We start with the definition of a semi-artinian R -module ${}_R M$:

2.1. DEFINITION: *An R -module ${}_R M$ is semi-artinian if and only if for every non-zero quotient M/N , $\text{soc}(M/N) \neq 0$.*

Then we have the following equivalent properties:

- 2.2. (a) ${}_R R$ is a semi-artinian ring;
- (b) every R -module is semi-artinian;
- (c) every R -module ($\neq 0$) has a socle $\neq 0$;
- (d) every R -module ($\neq 0$) is an essential extension of its socle.

For the proofs see e.g. *Stenström*, Rings of quotients Ch. VIII, § 2.

2.3. *The R -module ${}_R M$ is semi-artinian if and only if M belongs to the hereditary torsion class generated by the class C of all simple R -modules.*

Indeed, the torsion class \mathcal{I}_C generated by all simple modules consists of all modules M such that each non-zero quotient of M has a non-zero submodule of C , i.e. contains a simple submodule, i.e. \mathcal{I}_C consists of semi-artinian R -modules.

2.4. *If R is a semi-artinian ring without zero-divisors, then R is a division ring.*

Proof: If L is a minimal left ideal of R , then $L^2 \subseteq L$ implies that $L^2 = L$; therefore there exists an idempotent $e \in R$ such that $L = Re$. Since $e(1 - e) = 0$, e is a zero-divisor of R .

Since R is a domain, we have $e = 1$ and $L = R$, i.e. R is a division ring.

A category of R -modules is called a *locally-uniform* category (=l.u.-category) if every R -module $M \neq 0$ contains a non-zero uniform submodule. We say: R is an *l.u.-ring* if the category $R\text{-Mod}$ is an l.u.-category. Since every

simple R-module is uniform, this implies that a semi-artinian ring R is a locally uniform ring. That brings us to the result:

2.5. If R is a semi-artinian ring, $M \neq 0$ an R-module, then there exists a maximal independent set of non-zero uniform submodules $U_i (i \in I)$ of M. Choosing suitable complements $U_i^c (i \in I)$ of the U_i , we have:

- (a) $S = \sum_{i \in I} U_i = \bigoplus_i U_i \subseteq_e M$;
- (b) $\bigcap_{i \in I} U_i^c = 0$ is an irredundant intersection of maximal (essentially) closed submodules U_i^c of M; the U_i^c are moreover irreducible submodules of M;
- (c) M can be represented as a subdirect product $M \stackrel{\times}{\underset{i \in I}{\cong}} M/U_i^c$ of uniform modules M/U_i^c .

Proof: (a) If V is a submodule of M, $S \cap V = 0$, then V contains a uniform submodule $\neq 0$, contradicting the maximality of $\{U_i \mid i \in I\}$.

(b) Let $U_{i_0}^c \supseteq \bigoplus_{i \neq i_0} U_i$ be a complement of U_{i_0} ; then $\bigcap_{i \neq i_0} U_i^c \supseteq U_{i_0}$ ($\forall i_0$), and $\bigcap_{i \in I} U_i^c = 0$; so $\bigcap_{i \in I} U_i^c = 0$ is an irredundant intersection of maximal (essentially) closed submodules $U_i^c (i \in I)$ of M. From $\pi_i(U_i) \cong U_i \subseteq_e M/U_i^c$ (where π_i is the canonical projection $M \rightarrow M/U_i^c$) it follows that the M/U_i^c are uniform and that the U_i^c are irreducible.

2.6. Let R be a semi-artinian ring; then the following conditions are equivalent:

- (i) N is an essentially closed submodule of M;
- (ii) If I is a maximal left ideal of R, $m \in M$, and $Im \subseteq N$, then $\exists n \in N$, such that $i \cdot m = i \cdot n (\forall i \in I)$.

Proof: (i) \rightarrow (ii) Assume that $m \notin N$; then $(Rm + N)/N$ is a minimal submodule of M/N . If N^c is a complement of N (in M), then $(N \oplus N^c)/N \subseteq \subseteq_e M/N$, and since $(Rm + N)/N$ is minimal in M/N , we have $(Rm + N)/N \subseteq (N \oplus N^c)/N$, i.e. $Rm \subseteq N \oplus N^c$, or $m \in N \oplus N^c$, i.e. $m = n + n'$, for some $n \in N$, $n' \in N^c$. Since $Im \subseteq N$, we have $In' = 0$, or $I(m - n) = 0$, or $i \cdot m = i \cdot n (\forall i \in I)$.

(ii) \rightarrow (i) We have to prove that $N^{cc} = N$; suppose that $N^{cc} \supsetneq N$. Choose $n'' \in N^{cc}$, $n'' \notin N$.

Since $N \subseteq_e N^{cc}$, there exists $0 \neq r_0 \in R$ with $0 \neq r_0 n'' \in N$. Mapping $r \mapsto rn'' + N$, we find $R/(N : n'') \cong (Rn'' + N)/N \subseteq N^{cc}/N$. Since R is semi-artinian, every R-module is semi-artinian, i.e. N^{cc}/N contains a minimal submodule $(Rm + N)/N$, $m \notin N$. Since $L = (N : m)$ is a maximal left ideal of R, and $Lm \subseteq N$, there exists (by assumption) an element $n \in N$ such that $L(m - n) = 0$.

Then $R(m - n)$ is a minimal submodule of N^{ec} , therefore $R(m - n) \subseteq N$, since $N \subseteq_e N^{ec}$.

But $m - n \in N$ and $n \in N$ leads to a contradiction $m \in N$! Therefore $N^{ec} = N$.

We apply 2.6. in the following result.

2.7. *Let M be a semi-artinian R -module; then M is injective if every R -homomorphism of a maximal left ideal L of R into M can be extended to an R -homomorphism of R into M .*

Proof. The necessity of the condition is obvious. Conversely: let $m' \in M$, then for $(M : m') = \{r \in R \mid rm' \in M\} = L'$ we have $L'm' \subseteq M$. Let L be a maximal left ideal containing L' , then $Lm' \subseteq M$. Define $\varphi : L \rightarrow M$ by $\varphi : r \mapsto rm'$, then φ is an R -homomorphism of L into M , and by assumption φ can be extended to an R -hom. $\varnothing : R \rightarrow M$. Let $\varnothing(1) = m$, then for $r \in L$ we have $\varphi(r) = \varnothing(r)$, i.e. $rm' = rm$, $r(m' - m) = 0$ ($\forall r \in L$). By 2.6. it follows that M is an essentially closed submodule of M , i.e. M is injective, $M = \widehat{M}$.

2.8. *Let the semi-artinian ring R be a left principal ideal ring; then we have:*

(i) *N is an essentially closed submodule of M iff $N \cap pM = pN$ for each element $p \in R$ generating a maximal left ideal of R .*

(ii) *If $pM = M$ for each element $p \in R$ generating a maximal left ideal of R , then M is injective.*

Proof. (i) If Rp is an arbitrary maximal left ideal of R , then the condition: $(Rp)m \subseteq N$ ($m \in M$) implies $Rp(m - n) = 0$ for some $n \in N$ and that is equivalent to $N \cap pM = pN$. Hence (i) is a consequence of 2.6 (ii).

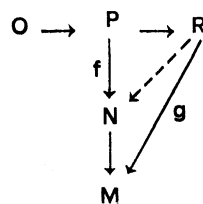
(ii) If \widehat{M} is an injective hull of M , and $M \cup p\widehat{M} \subseteq M = pM$, it follows from (i) that M is a closed submodule of the injective module \widehat{M} ; i.e. $M = \widehat{M}$.

Note. The ring Z is a semi-artinian principal ideal ring. In this case we find (again) that —exactly—the neat subgroups of an abelian group G are the essentially closed subgroups of G . If moreover $pG = G$ for every prime number $p \in Z$, then we find the well-known result, that G is a divisible group.

§ 3. SEMI-PURE SUBMODULES OF SEMI-ARTINIAN R -MODULES

3.1. DEFINITION. *Let N be a submodule of the R -module M (here R is any ring!); then N is called a semi-pure submodule of M if N satisfies the following condition:*

Let P be a maximal left ideal of R , $f : P \rightarrow N$ an R -homomorphism having an extension $g : R \rightarrow M$; then f has an extension of R into N .



3.2. An essentially closed submodule N of any R -module M is semi-pure.

Proof. Let P be a maximal left ideal of R , $f : P \rightarrow N$ an R -homomorphism having an extension $g : R \rightarrow \bar{M}$. Then $g(r) = rm$ ($\forall r \in R$) for some $m \in M$. Let \bar{N} be an injective hull of N in \bar{M} , then $N = \bar{N} \cap M$, and there exists a homomorphism $f' : R \rightarrow \bar{N}$ extending f ; i.e. we have $f'(r) = rm_1$ ($\forall r \in R$) for some $m_1 \in \bar{N}$. If $m = m_1$, then $m \in M \cap \bar{N} = N$ —and in that case—the proof is given. If $m_1 \neq m$, then $pm = pm_1$ ($\forall p \in P$), i.e. $\text{Ann}_R(m - m_1) = P$, i.e. $m - m_1 \in \text{soc}(\bar{M})$, and since $\text{soc}(\bar{M})$ is the intersection of all essential submodules of \bar{M} , and $M \subseteq_e \bar{M}$, we have $m - m_1 \in M$, i.e. $m_1 \in M$. Then $m_1 \in M \cap \bar{N} = N$.

3.3. Let R be a semi-artinian ring and M an R -module; then the following properties of a submodule N of M are equivalent:

- (i) N is an essentially closed submodule of M .
- (ii) N is a semi-pure submodule of M .

Proof. (i) \rightarrow (ii) has been proved in 3.2. (ii) \rightarrow (i) Let N be a semi-pure submodule of M , and N' an essential extension of N in M . If $N' \neq N$, then $\text{soc}(N'/N) \neq 0$, and there exists a maximal left ideal P of R , and $n' \in N'$, $n' \notin N$, such that $Pn' \subseteq N$. Let $f : P \rightarrow N$ be defined by $f(p) = pn'$ ($\forall p \in P$), then f has an extension $f' : R \rightarrow M$ defined by $f'(r) = rn'$. Then (just as in 3.2) we can find $n \in N$ such that $f(p) = pn$ ($\forall p \in P$) and $\text{Ann}_R(n - n') = P$, i.e. $n - n' \in \text{soc}(N')$, therefore $n - n' \in \text{soc}(N) = \text{soc}(N')$. That implies that $n' \in N$, i.e. $N' = N$ and so N is essentially closed.

Comparing the results of 2.6 and 3.3 we find:

COROLLARY 3.4. Let R be a semi-artinian ring; then the following properties of an (essentially) closed submodule N of the R -module M are equivalent:

- (i) N is a semi-pure submodule of M ;
- (ii) Let I be a maximal left ideal of R , $m \in M$ and $Im \subseteq N$, then there exists an element $n \in N$, such that $im = in$ ($\forall i \in I$).

Let us now pay attention to the case that R is a semi-artinian ring, i.e. that for every non-zero quotient R/I we have $\text{soc}(R/I) \neq 0$. We have to characterize the closed extensions E of A by C . We remember that A is closed in B if and only if A is a semi-pure submodule of B ; i.e. that if P is a maximal left ideal of R , $b \in B$ and $Pb \subseteq A$, then there is an element $a \in A$ such that $pa = pb$ ($\forall p \in P$). The last condition can be stated as follows: if $Pb \subseteq A$ for some $b \in B$, then $pb = a_0$ implies that there is an $a \in A$ such that

$$pa = pb = a_0;$$

or: the equation $px = a_0$ ($p \in P$) is solvable in A whenever it is solvable in B . This is equivalent to the requirement

$$(3) \quad pA = A \cap pB \ (\forall p \in P, P \text{ being a max. left ideal of } R).$$

3.5. *The closed extensions B of A by C are therefore characterized by the fact that A must be a semi-pure subgroup of B and this condition can be given by (3).*

Note that Z is a semi-artinian ring; in that case the closed subgroups A of an abelian group are the *neat* subgroups, and the closed extensions E of A by C are the neat extensions of A by C ; see Schoeman ⁽²⁾.

(2) M.J. SCHOEMAN, *The group of neat extensions*, Doct. thesis, 1970, Delft.