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ATTI ACCADEMIA NAZIONALE DEI LINCEI  
CLASSE SCIENZE FISICHE MATEMATICHE NATURALI  
**RENDICONTI**

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NICOLAE TÎTA

**Operators of  $A^- \Phi$  type**

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**Analisi funzionale.** — *Operators of A — Φ type.* Nota (\*) di NICOLAE TITA, presentata dal Socio G. SANSONE.

RIASSUNTO. — L'Autore generalizza una classe di operatori e dà una risposta negativa ad un problema posto da un altro autore.

1. Let E, F be normed spaces and  $T: E \rightarrow F$  a linear and bounded operator ( $T \in L(E, F)$ ). In the paper [3] the class of  $l_{A-p}$  operators is introduced in the following way

$$T \in l_{A-p} \quad \text{if} \quad \sum_i \left( \sum_j |\alpha_{ij}| \alpha_j(T) \right)^p < \infty, \quad 0 < p < \infty,$$

where  $A = \|\alpha_{ij}\|$  is an infinite matrix satisfying some properties and  $\alpha_j(T)$  are the approximations numbers ( $\alpha_j(T) = \inf_k \|T - K\|$ ,  $\dim K \leq j$ ).

In this paper, using the norm functions of R. Schatten [4], [6], the class of  $l_{A-\Phi}$  operators is introduced. Also, replacing the numbers  $\alpha_j(T)$  by the approximation numbers  $\delta_j(T)$  and  $d_j(T)$  [2], the classes  $\tilde{l}_{A-\Phi}$  and  $\bar{l}_{A-\Phi}$  are introduced.

2. Let  $\Phi$  be a norm function of R. Schatten [4]

$$(\Phi: \hat{\ell} \rightarrow \mathbb{R}_+); \quad \Phi(x+y) \leq \Phi(x) + \Phi(y), \quad x, y \in \hat{\ell};$$

$$\Phi(\lambda x) = |\lambda| \cdot \Phi(x), \quad \lambda \in \mathbb{R}, x \in \hat{\ell}; \quad \Phi(1, 0, 0, \dots) = 1;$$

$$\Phi(x_1, x_2, \dots, x_n, 0, 0, \dots) = \Phi(|x_{i_1}|, |x_{i_2}|, \dots, |x_{i_n}|, 0, 0, \dots),$$

where  $\hat{\ell}$  is the space of all sequences  $x = \{x_1, x_2, \dots, x_n, 0, 0, \dots\}$ ,  $x_i \in \mathbb{R}$ ,  $n < \infty$  and  $i_1, i_2, \dots, i_n$  is a permutation of  $1, 2, \dots, n$ .

The approximation numbers  $\delta_i(T)$  and  $d_i(T)$  are defined in the following way

$$\delta_i(T) = \inf_{\mathcal{L}_n} \sup_{\|x\| \leq 1} \inf_{y \in \mathcal{L}_n} \|Tx - y\| \quad \mathcal{L}_n \subset F, \dim \mathcal{L}_n \leq i, \quad x \in E.$$

$$d_i(T) = \inf_{E_i} \{\|T|_{E_i}\| \}, \quad \text{codim } E_i \leq i \quad (T|_{E_i} \text{ is the restriction of } T \text{ to } E_i).$$

**DEFINITION 2.1.** The operator  $T \in l_{A-\Phi}(E, F)$  if

$$\Phi \left( \left\{ \sum_j \alpha_{ij} \alpha_j(T) \right\}_i \right) < \infty \quad (i).$$

(\*) Pervenuta all'Accademia il 12 settembre. 1978.

(1)  $\alpha_{ij} \geq 0$ ;  $\alpha_{i0} \geq \alpha_{i1} \geq \alpha_{i2} \geq \dots$ . For  $\alpha_{ij} = \delta_{ij}$  we have the  $l_\Phi$  class.

$\Phi(x) = \sup_n \Phi(x_1, \dots, x_n, 0, 0, \dots)$  if  $x \notin \hat{\ell}$ .

If  $\Phi(x) = \Phi_p(x) = \left( \sum_j |x_j|^p \right)^{1/p}$ ,  $p \geq 1$  we get the class  $l_{A-p}$  [3]. Replacing the elements  $\alpha_j(T)$  by  $\delta_j(T)$  and  $d_j(T)$  we get the classes  $\tilde{l}_{A-\Phi}$  and  $\bar{l}_{A-\Phi}$ .

**PROPOSITION 2.1.**  $l_{A-\Phi}(E, F)$  is a linear quasinormed space with the quasinorm  $\|T\|_{A-\Phi} = \Phi \left( \left\{ \sum_j a_{ij} \alpha_j(T) \right\}_i \right)$ .

*Proof.* Consider the sequence

$$\{\alpha_0(T_1 + T_2), \alpha_1(T_1 + T_2), \alpha_2(T_1 + T_2), \dots\}.$$

Since

$$\alpha_{2i+1}(T_1 + T_2) \leq \alpha_{2i}(T_1 + T_2) \leq \alpha_i(T_1) + \alpha_i(T_2), \quad \forall i \in \mathbb{N},$$

we have

$$\begin{aligned} \sum_j a_{ij} \alpha_j(T_1 + T_2) &\leq 2 \sum_j a_{ij} \alpha_{2j}(T_1 + T_2) \leq \\ &\leq 2 \sum_j a_{ij} (\alpha_j(T_1) + \alpha_j(T_2)). \end{aligned}$$

Hence

$$\begin{aligned} \|T_1 + T_2\|_{A-\Phi} &= \Phi \left( \left\{ \sum_j a_{ij} \alpha_j(T_1 + T_2) \right\}_i \right) \leq \\ &\leq 2 \Phi \left( \left\{ \sum_j a_{ij} (\alpha_j(T_1) + \alpha_j(T_2)) \right\}_i \right) \leq 2 \Phi \left( \left( \sum_i a_{ij} \alpha_j(T_1) \right)_i \right) + \\ &+ \Phi \left( \left( \sum_j a_{ij} \alpha_j(T_2) \right)_i \right) = 2 (\|T_1\|_{A-\Phi} + \|T_2\|_{A-\Phi}). \end{aligned}$$

If  $\lambda \in \mathbb{R}$  we have

$$\|\lambda T\|_{A-\Phi} = \Phi \left( \left\{ \sum_j a_{ij} \alpha_j(\lambda T) \right\}_i \right) = |\lambda| \cdot \Phi \left( \left\{ \sum_j a_{ij} \alpha_j(T) \right\}_i \right) = |\lambda| \cdot \|T\|_{A-\Phi}.$$

Hence  $l_{A-\Phi}(E, F)$  is a linear space and  $\|T\|_{A-\Phi}$  is a quasinorm.

This proposition is also true for the classes  $\tilde{l}_{A-\Phi}$  and  $\bar{l}_{A-\Phi}$  since the properties of the elements  $\delta_j(T)$  and  $d_j(T)$  are similar to the properties of the elements  $\alpha_j(T)$  [2].

**REMARK 2.1.** In the proof of Proposition 2.1 the condition, from [3],  $|a_{i,2j}| + |a_{i,2j+1}| < M \cdot |a_{ij}|$  is not necessary. Hence the answer to the problem raised in [3] is negative.

Using the methods of [1] and [3] one proves:

**PROPOSITION 2.2.** If  $T_1 \in L(E, F)$  and  $T_2 \in l_{A-\Phi}(F, G)$  then  $T_2 T_1 \in l_{A-\Phi}(E, G)$  and  $\|T_2 T_1\|_{A-\Phi} \leq \|T_1\| \cdot \|T_2\|_{A-\Phi}$ .

PROPOSITION 2.3. If F is a Banach space then  $l_{A-\Phi}(E, F)$  is complete.

REMARK 2.2. If, in the definition of  $l_{A-\Phi}$  class, the function  $\psi_1(x) = \sum |x_i|$  is replaced by a function  $\psi \neq \psi_1$  we get the class  $l_{A-\Phi, \psi}$ .

$$(T \in l_{A-\Phi, \psi} \text{ if } \Phi(\{\psi(\alpha_{ij}\alpha_j(T))\}) < \infty).$$

DEFINITION 2.2. Let  $\Phi$  be a norm function. The conjugate function  $\Phi^*$  is

$$\Phi_\alpha^*(x) = \sup_{y \in \hat{k}} \frac{\psi(x \cdot y)}{\Phi(y)}, \quad \hat{k} = \{(x_i) \in \hat{c} \mid x_i \geq 0\}; \quad x \cdot y = \{x_1 y_1, x_2 y_2, \dots\}$$

$$(\Phi^*(x) = \sup_{y \in \hat{k}} \frac{\sum x_i y_i}{\Phi(y)} \text{ ([4], [6])}.$$

PROPOSITION 2.3. Let  $\Phi, \psi^{(2)}$  be norm functions, then if  $T_1 \in l_{A-\Phi, \psi}(E, E)$  and  $T_2 \in l_{\psi^*}(E, E)$ ,  $T_1 T_2 \in l_{A-\Phi}(E, E)$ .

*Proof.*

$$\begin{aligned} \Phi(\{\sum \alpha_{ij} \alpha_j(T_1 \cdot T_2)\}) &\leq 2 \Phi\left(\left\{\sum_i \alpha_{ij} \cdot \alpha_j(T_1) \cdot \alpha_j(T_2)\right\}_i\right) \leq \\ &\leq 2 \Phi(\{\psi(\alpha_{ij} \alpha_j(T_1)) \cdot \psi^*(\alpha_j(T_2))\}) = \\ &= 2 \psi^*(\alpha_j(T_2)) \cdot \Phi(\{\psi(\alpha_{ij} \alpha_j(T_1))\}) < \infty. \end{aligned}$$

3. Between the classes  $l_{A-\Phi}, \tilde{l}_{A-\Phi}$  and  $\bar{l}_{A-\Phi}$  exist the following relations  $l_{A-\Phi} \subset \tilde{l}_{A-\Phi}$  and  $l_{A-\Phi} \subset \bar{l}_{A-\Phi}$ , since  $\delta_j(T) \leq \alpha_j(T)$  and  $d_j(T) \leq \alpha_j(T)$  [2]. Also, if  $T \in l_{A-\Phi}$  then  $T' \in l_{A-\Phi}$  since  $\alpha_j(T) = \alpha_j(T')$ , where  $T'$  is the conjugate operator of T.

REMARK 3.1. Using the expressions of the elements  $\alpha_j, \delta_j, d_j$  for the tensor product operator  $T_1 \otimes T_2$  [5] we have that the classes  $l_{A-\Phi}, \tilde{l}_{A-\Phi}$  and  $\bar{l}_{A-\Phi}$  are stable with respect to the tensor product.

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(2)  $\psi \neq \psi_1, \psi_\infty; \psi_\infty(x) = \sup_i |x_i|, x = \{x_1, x_2, \dots, x_n, 0, 0, \dots\}.$