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### BERNARD D. COLEMAN, DAVID R. OWEN

## Thermodynamics of Elastic-Plastic Materials

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Meccanica. — Thermodynamics of Elastic-Plastic Materials (\*). Nota (\*\*) di Bernard D. Coleman e David R. Owen, presentata dal Socio Straniero C. Truesdell.

RIASSUNTO. — Si mettono in evidenza le restrizioni imposte dalle leggi della termodinamica alle equazioni costitutive che descrivono materiali elasto-plastici perfetti in deformazioni unidimensionali. Queste restrizioni sono determinate, senza l'ausilio dei concetti di entropia o energia libera, mediante formulazioni della prima e della seconda legge della termodinamica che involvono solo le seguenti quantità: temperatura, deformazione elastica, deformazione plastica, modulo di elasticità, deformazione che corrisponde al cedimento, calore latente di elasticità, calore latente di plasticità, e capacità termica.

### I. PREFACE

We have recently proposed and explored an approach to thermodynamics in which the Second Law takes the form of a cyclic inequality, and the existence of entropy as a function of state is deduced, rather than assumed (1,2). When applied to several classes of materials, e.g. elastic and viscous materials, materials with internal state variables, and materials with fading memory, our new formulation of thermodynamics yields restrictions on the constitutive relations for the stress, temperature, and heat flux agreeing with those obtained in treatments (3-6) which start with a differentiable entropy function and employ the Clausius-Duhem inequality. The new formulation has two advantages: (I) It permits one to determine the class of entropy functions compatible with given constitutive relations for stress, temperature, and heat flux. (II) In principle, it permits one to find thermodynamical restrictions on response functions which give experimentally observable quantities, without ever mentioning entropy or free energy. It is this second aspect of the new approach which we shall attempt to illustrate here through a brief discussion of the thermodynamics of a class of elastic-plastic materials.

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  - (\*\*) Pervenuta all'Accademia il 28 luglio 1976.
- (1) B. D. COLEMAN and D. R. OWEN « Arch. Rational Mech. Anal. », 54, 1–104 (1974); 59, 25–51 (1975).
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#### 2. STATEMENT OF THE PROBLEM

A unidimensional perfect elastic-plastic element is specified when five real-valued material functions are given; two of these, the modulus  $\mu$  and the (absolute) yield strain  $\alpha$  are positive functions of just the temperature, while three, the latent elastic heat  $\Lambda_e$ , the latent plastic heat  $\Lambda_p$ , and the heat capacity  $k_\theta$  are initially taken to be functions of the elastic strain, the plastic strain, and the temperature. We assume here that the temperature  $\theta$  can vary over an open interval  $]\Theta_1$ ,  $\Theta_2[$  with  $o \leq \Theta_1 < \Theta_2 \leq \infty$ , that the elastic strain  $\lambda_e$  is a real number of magnitude not exceeding the absolute yield strain  $\alpha$  ( $\theta$ ), and that the plastic strain  $\lambda_p$  is a real number. The elements  $(\lambda_e$ ,  $\lambda_p$ ,  $\theta$ ) of the set

$$(2.1) \qquad \Sigma = \{ (\lambda_e \, , \, \lambda_p \, , \, \theta) \, \Big| \, \big| \, \lambda_e \, \big| \leq \alpha \, (\theta) \, , \, \Theta_1 < \theta < \, \Theta_2 \, , \, - \infty \, < \, \lambda_p < \infty \}$$

are the *states* of the specified perfect elastic-plastic element. We assume  $\alpha$  and  $\mu$  are twice continuously differentiable on  $]\Theta_1$ ,  $\Theta_2[$  and that  $\Lambda_e$ ,  $\Lambda_p$ , and  $k_\theta$  are continuously differentiable on  $\Sigma$ .

An oriented curve  $\Gamma$  lying in  $\Sigma \subset \mathbb{R}^3$  is here called an *admissible path* if it has a piecewise continuously differentiable parameterization  $(\lambda_e(\cdot), \lambda_p(\cdot), \theta(\cdot)) : [o, t] \to \Sigma$  (with t > o) whose domain [o, t] contains a finite number of subintervals  $I_k$  (which we allow to be single points) such that for each  $\tau$  in the interior of  $\bigcup_k I_k$ 

$$\dot{\lambda}_{p}\left(\tau\right)=0\;,$$

whereas for each  $\tau$  in the interior of the complement of  $\bigcup_k I_k$ 

(2.2b) 
$$\lambda_{e}(\tau) \dot{\lambda}_{p}(\tau) > 0$$
 and  $|\lambda_{e}(\tau)| = \alpha (\theta(\tau))$ .

The points  $(\lambda_e(0), \lambda_p(0), \theta(0))$  and  $(\lambda_e(t), \lambda_p(t), \theta(t))$  are called, respectively, the *initial* and *final points* of  $\Gamma$ . If an oriented curve  $\Gamma$  obeying these conditions is a closed curve, it is referred to as a *closed admissible path*.

The set  $\Sigma$  of states is clearly arcwise connected. In addition, as the following easily proved theorem asserts,  $\Sigma$  is "admissibly connected".

Theorem 1. For each pair of states  $(\lambda_e^{(1)}, \lambda_p^{(1)}, \theta^{(1)}), (\lambda_e^{(2)}, \lambda_p^{(2)}, \theta^{(2)}),$  there is an admissible path with initial point  $(\lambda_e^{(1)}, \lambda_p^{(1)}, \theta^{(1)})$  and final point  $(\lambda_e^{(2)}, \lambda_p^{(2)}, \theta^{(2)})$ .

If one notes that for a perfect elastic-plastic element the rate of working is  $\mu\lambda_e (\dot{\lambda}_e + \dot{\lambda}_p)$ , and the rate of addition of heat is  $\Lambda_e \dot{\lambda}_e + \Lambda_p \dot{\lambda}_p + k_\theta \dot{\theta}$ , then it becomes clear that the laws of thermodynamics require that the following

two assertions be true (7):

1) For each closed admissible path  $\Gamma$ ,

(2.3) 
$$\oint_{\Gamma} \left( \left[ \Lambda_{e} \left( \lambda_{e}, \lambda_{p}, \theta \right) + \mu \left( \theta \right) \lambda_{e} \right] d\lambda_{e} + \left[ \Lambda_{p} \left( \lambda_{e}, \lambda_{p}, \theta \right) + \mu \left( \theta \right) \lambda_{e} \right] d\lambda_{p} + k_{\theta} \left( \lambda_{e}, \lambda_{p}, \theta \right) d\theta \right) = o.$$

2) For each closed admissible path  $\Gamma$ ,

(2.4) 
$$\oint_{\Gamma} \left( \frac{\Lambda_{e}(\lambda_{e}, \lambda_{p}, \theta)}{\theta} d\lambda_{e} + \frac{\Lambda_{p}(\lambda_{e}, \lambda_{p}, \theta)}{\theta} d\lambda_{p} + \frac{k_{\theta}(\lambda_{e}, \lambda_{p}, \theta)}{\theta} d\theta \right) \leq o.$$

It is convenient to introduce the material functions  $k_e$  and  $k_p$  defined by

(2.5) 
$$k_e(\lambda_e, \lambda_p, \theta) = \Lambda_e(\lambda_e, \lambda_p, \theta) + \mu(\theta) \lambda_e,$$

and

$$(2.6) k_{p}(\lambda_{e}, \lambda_{p}, \theta) = \Lambda_{p}(\lambda_{e}, \lambda_{p}, \theta) + \mu(\theta) \lambda_{e}.$$

In terms of  $k_e$ ,  $k_p$ , and  $k_\theta$  (each of which maps  $\Sigma$  into R), equation (2.3) can be written:

(2.7) 
$$\oint_{\Gamma} \left( k_e \left( \lambda_e, \lambda_p, \theta \right) d\lambda_e + k_p \left( \lambda_e, \lambda_p, \theta \right) d\lambda_p + k_\theta \left( \lambda_e, \lambda_p, \theta \right) d\theta \right) = 0.$$

Our problem is that of finding the restrictions which I) and 2) place on  $\alpha$ ,  $\mu$ ,  $k_{\theta}$ ,  $\Lambda_{e}$ , and  $\Lambda_{p}$ , or,  $\alpha$ ,  $\mu$ ,  $k_{\theta}$ ,  $k_{e}$  and  $k_{p}$ .

#### 3. PRINCIPAL RESULTS

Theorem 2. In order that the assertions 1) and 2) both hold, it is necessary and sufficient that the functions  $\alpha$ ,  $\mu$ ,  $k_{\theta}$ ,  $k_{e}$ , and  $k_{p}$  obey items (i), (ii), and (iii) below.

(i) For each state  $(\lambda_e, \lambda_p, \theta)$ ,

$$(3.1) k_{\theta}(\lambda_e, \lambda_p, \theta) + \frac{1}{2}\lambda_e^2 \theta \mu''(\theta) = k_{\theta}(\alpha(\theta), \lambda_p, \theta) + \frac{1}{2}\alpha(\theta)^2 \theta \mu''(\theta),$$

and

$$(3.2) \quad k_e(\lambda_e, \lambda_p, \theta) = [\mu(\theta) - \theta\mu'(\theta)] \lambda_e, \quad \text{i.e. } \Lambda_e(\lambda_e, \lambda_p, \theta) = -\theta\lambda_e \mu'(\theta).$$

(7) In a paper to appear in the Archive for Rational Mechanics and Analysis, we shall show how the present theory fits into the general framework introduced in reference I for thermodynamical systems, and we shall give the proof of Theorem 2.

(ii) For each pair  $(\lambda_p, \theta)$  in  $\mathbb{R} \times ]\Theta_1, \Theta_2[$ ,

(3.3) 
$$k_{p} \left(-\alpha \left(\theta\right), \lambda_{p}, \theta\right) = k_{p} \left(\alpha \left(\theta\right), \lambda_{p}, \theta\right)$$

and

(3.4) 
$$\frac{\partial}{\partial \theta} k_p (\alpha (\theta), \lambda_p, \theta) = \frac{\partial}{\partial \lambda_p} k_\theta (\alpha (\theta), \lambda_p, \theta).^{(g)}$$

(iii) For each polygon  $\Omega$  lying in  $\mathbb{R} \times ]\Theta_1$ ,  $\Theta_2$ [,

(3.5) 
$$\left| \iint_{\Omega} \theta^{-2} k_{p} (\alpha (\theta), \lambda_{p}, \theta) d\lambda_{p} d\theta \right| \leq \int_{\partial \Omega} \theta^{-1} \mu (\theta) \alpha (\theta) | d\lambda_{p} |,$$

where  $\partial \Omega$  is the closed polygonal curve bounding  $\Omega$ .

Item (i) of Theorem 2 concerns the elastic behavior of the perfect elastic-plastic material and is easily derived from 1) and 2) by arguments employing closed curves  $\Gamma$  in  $\Sigma$  which are "elastic paths" in the sense that (2.2a) holds for all  $\tau$  in [0,t], i.e.  $\bigcup_k I_k = [0,t]$ . The conclusions (ii) and (iii), on the other hand, appear to be new; their derivation from 1) and 2) employs closed admissible paths  $\Gamma$  on which "yielding occurs" in the sense that the interior of the set  $([0,t]-\bigcup_k I_k)$  is not empty, and hence there is an open interval on which (2.2b) holds.

In view of (2.6), equaton (3.4) tells us that the latent plastic heat  $\Lambda_p$  is related as follows to the heat capacity  $k_\theta$  and the "yield stress"  $\mu$  ( $\theta$ )  $\alpha$  ( $\theta$ ):

$$(3.6) \qquad \frac{\partial}{\partial \theta} \; \Lambda_{p} \left( \alpha \left( \theta \right) \, , \, \lambda_{p} \, , \, \theta \right) = \frac{\partial}{\partial \lambda_{p}} \, k_{\theta} \left( \alpha \left( \theta \right) \, , \, \lambda_{p} \, , \, \theta \right) - \frac{\mathrm{d}}{\mathrm{d} \theta} \left[ \mu \left( \theta \right) \alpha \left( \theta \right) \right].$$

By choosing for  $\Omega$  in (3.5) appropriate rectangles of narrow width, one can easily show that (3.5) yields.

$$(3.7) \qquad \left| \int_{\boldsymbol{\theta}^{(1)}}^{\boldsymbol{\theta}^{(2)}} \boldsymbol{\theta}^{-2} \, k_{p} \left( \boldsymbol{\alpha} \left( \boldsymbol{\theta} \right), \lambda_{p}, \, \boldsymbol{\theta} \right) \, \mathrm{d}\boldsymbol{\theta} \right| \leq \frac{\mu \left( \boldsymbol{\theta}^{(1)} \right) \boldsymbol{\alpha} \left( \boldsymbol{\theta}^{(1)} \right)}{\boldsymbol{\theta}^{(1)}} + \frac{\mu \left( \boldsymbol{\theta}^{(2)} \right) \boldsymbol{\alpha} \left( \boldsymbol{\theta}^{(2)} \right)}{\boldsymbol{\theta}^{(2)}}$$

for each value of the plastic strain  $\lambda_p$  and each pair of temperatures  $\theta^{(1)}, \theta^{(2)}$  in  $]\Theta_1$ ,  $\Theta_2[$ .

<sup>(8)</sup> The derivatives appearing on the left in (3.4) and (3.6) are computed with only the second variable of  $k_p$  and  $\Lambda_p$  held fixed, e.g.,  $\frac{\partial}{\partial \theta} k_p (\alpha(\theta), \lambda_p, \theta) = \frac{\mathrm{d}}{\mathrm{d}\theta} f_{\lambda p} (\theta)$ , where, for each value of  $\lambda_p$ ,  $f_{\lambda_p}(\theta) = k_p (\alpha(\theta), \lambda_p, \theta)$ .

In the special case in which there is a temperature inteval  $]\theta^{(1)}$ ,  $\theta^{(2)}$ [ on which the yield stress  $\mu(\theta) \alpha(\theta)$  is bounded above by a number  $\hat{S}$ , and, furthermore,  $k_p$  is given by a function  $\hat{k}$  of  $\lambda_p$  alone, the relation (3.7) yields

(3.8) 
$$\frac{|\hat{k}(\lambda_p)|}{\hat{S}} \le \frac{\theta^{(2)} + \theta^{(1)}}{\theta^{(2)} - \theta^{(1)}}$$

for each value of  $\lambda_p$ . (9)

<sup>(9)</sup> From the experiments of W. S. FARREN and G. I. TAYLOR, « Proc. Roy. Soc. (London)», A 107, 422–451 (1925), one may infer that for several metals the ratio  $k_p \langle \alpha(\theta), \lambda_p, \theta \rangle / \alpha(\theta) \mu(\theta)$  is approximately 1/10 in ranges of  $\theta$  and  $\lambda_p$  in which the theory of perfect elastic-plastic behavior is applicable.