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Flocks, chains and configurations in finite geometries

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Geometrie finite. — *Flocks, chains and configurations in finite geometries.* Nota di AIDEN A. BRUEN e JOSEPH A. THAS, presentata (*) dal Socio B. SEGRE.

RIASSUNTO. — Lo studio dei sistemi di cerchi [o sezioni piane contenenti più di un punto] di un ovaloide [$O(q^2 + 1)$ -calotta] di un $S_{3,q}$ ha utili applicazioni nella teoria dei piani di traslazione. Qui sistemi siffatti vengono investigati con particolare riguardo al caso in cui i piani dei loro cerchi escono da un punto non situato sull'ovaloide, assieme alla configurazione formata dai poli di tali piani rispetto all'ovaloide.

1. INTRODUCTION

An ovoid O of the three-dimensional projective space $PG(3, q)$, $q > 2$, is a set of $q^2 + 1$ points no three of which are collinear. The circles of O are the sets $P \cap O$, where P is a plane of $PG(3, q)$, with $|O \cap P| > 1$. The circles of a non-singular ruled quadric Q of $PG(3, q)$ are, by definition, the irreducible conics on Q . In what follows O will always denote an ovoid and Q a non-singular ruled quadric.

The study of sets of circles on O and Q is important for the theory of translation planes (see also section 2 below). If the planes of the circles of such a set all meet in one point $p \notin O$ or Q , then their poles (with respect to O or Q) all lie in the polar plane P of p . Moreover these poles constitute an interesting configuration of points with respect to the circle $P \cap O$ or $P \cap Q$. This note is mainly concerned with such configurations of points.

2. FLOCKS

A *flock* of O (resp. Q) is a set F of $q - 1$ (resp. $q + 1$) mutually disjoint circles. If L is a line of $PG(3, q)$ which has no point in common with O (resp. Q), then the circles $P \cap O$ (resp. $P \cap Q$), where P is a plane containing L with $|P \cap O| > 1$ (resp. where P is a plane containing L), constitute a so-called linear flock of O (resp. Q).

That each flock of the ovoid O is linear was proved by J. A. Thas for q even [3] and by W. F. Orr in the odd case [2]. Thas [4] also proved that each flock of the non-singular ruled quadric Q of $PG(3, q)$, q even, is linear, and that for each odd q the quadric Q has a non-linear flock. (We should also mention here that using the hyperquadric of Klein, it is possible to prove that with each non-linear flock of Q there corresponds a non-desarguesian transla-

(*) Nella seduta del 13 dicembre 1975.

tion plane of order q^2). As an application of theorems about flocks we state an interesting result concerning configurations of points in the plane $PG(2, q)$.

THEOREM. (a) *Let C be an oval of $PG(2, q)$, $q > 2$, which can be embedded in an ovoid of $PG(3, q)$ (e.g. an irreducible conic). If $F = \{x_1, x_2, \dots, x_{q-1}\}$ is a set of $q - 1$ points of $PG(2, q) - C$, such that any line $x_i x_j$, $i \neq j$, is a secant of C , then the points of F all lie on one secant of C .*

(b) *Let C be an irreducible conic of $PG(2, q)$, q even. If $F = \{x_1, x_2, \dots, x_{q+1}\}$ is a set of $q + 1$ points such that any line $x_i x_j$, $i \neq j$, is an exterior line of C , then F is an exterior line of C (= non-secant of C).*

Proof. (a) Let C be embedded in an ovoid O of $PG(3, q)$. The polar planes P_1, P_2, \dots, P_{q-1} of x_1, x_2, \dots, x_{q-1} with respect to O , intersect O in $q - 1$ mutually disjoint circles. These circles constitute a flock F^* of O . As F^* is linear the planes P_i all pass through one exterior line L of O . Consequently their poles x_i all lie on one secant of O and hence on one secant of C .

(b) Let C be embedded in a non-singular ruled quadric Q of $PG(3, q)$ (q even). The polar planes P_1, P_2, \dots, P_{q+1} of x_1, x_2, \dots, x_{q+1} with respect to Q , intersect Q in $q + 1$ mutually disjoint circles. These circles constitute a flock F^* of Q . As q is even, F^* is linear, and so the planes P_i all pass through one exterior line L of Q . Consequently their poles x_i all lie on one exterior line of Q . We conclude that F is an exterior line of C .

COROLLARY. *Let O be an elliptic quadric of $PG(3, q)$, q even. If F is a set of $q + 1$ circles, any two of which have two points in common, and if furthermore the planes of these circles all meet in one point $p \notin O$, then F is a pencil of circles (i.e. the $q + 1$ circles all meet in two fixed points).*

Proof. The poles (with respect to O) of the $q + 1$ planes containing the elements of F , all lie in the polar plane P of p . The line joining any two of these poles is an exterior line of the irreducible conic $P \cap O$. Since q is even, the set F^* of these poles is an exterior line of PO by our previous theorem. Consequently the planes of the $q + 1$ circles of F all contain one fixed secant of O . We conclude that F is a pencil of circles of the quadric O .

3. CHAINS OF CIRCLES AND THE CORRESPONDING CONFIGURATIONS IN THE PLANE

In [1] A.A. Bruen studies maximal families F of circles on an elliptic quadric O of $PG(3, q)$, q odd, having the following two properties:

- (a) Any two circles of F have two distinct points in common;
- (b) No three circles of F have a point in common.

It is easy to see that F contains at most $(q+3)/2$ circles, i.e. $|F| \leq (q+3)/2$. If $|F| = (q+3)/2$ each point on a circle of the set F is contained in exactly two circles of F . Such a set of $(q+3)/2$ circles having properties (a) and (b) above is called a *chain of circles*. In [1] Bruen constructs a chain in the cases $q = 3, 5, 7$ and shows that with certain chains of circles there correspond new translation planes of order q^2 . For further details we refer to [1].

Let O be an elliptic quadric of $PG(3, q)$, q odd, and let $F = \{C_1, C_2, \dots, C_{(q+3)/2}\}$ be a chain of O . Suppose further that the planes P_i of C_i , $i = 1, 2, \dots, (q+3)/2$, all meet in one point p ($p \notin O$). Then the poles $x_1, x_2, \dots, x_{(q+3)/2}$ of the planes $P_1, P_2, \dots, P_{(q+3)/2}$ all lie in the polar plane P of p . Moreover the set $F^* = \{x_1, x_2, \dots, x_{(q+3)/2}\}$ has the following properties:

- (i) any line $x_i x_j$, $i \neq j$, is an exterior line of the irreducible conic $C = P \cap O$;
- (ii) F^* is an $(q+3)/2$ -arc of the plane P (i.e. no three points of F^* are collinear).

Conversely, we consider in $PG(2, q)$, q odd, a set F^* of $(q+3)/2$ points for which (i) and (ii) are satisfied, where C is an arbitrary irreducible conic. We embed C in an elliptic quadric O of $PG(3, q)$, and we consider the polar planes of the elements of F^* . These $(q+3)/2$ planes intersect O in $(q+3)/2$ circles, which constitute a chain of O (moreover the planes of the $(q+3)/2$ circles of the chain all meet in one point). Consequently it is of interest to construct in $PG(2, q)$, q odd, sets F^* for which (i) and (ii) are satisfied. Here we shall only consider the cases $q = 3$ and 5 . The general case is being investigated by the authors and will be treated elsewhere.

THEOREM. *Let C be an irreducible conic of the projective plane $PG(2, q)$, $q = 3$ or 5 . Then there exists a $(q+3)/2$ -arc $F^* = \{x_1, x_2, \dots, x_{(q+3)/2}\}$ in $PG(2, q)$, such that any line $x_i x_j$, $i \neq j$, is an exterior line of C . Moreover any two such sets F^* are equivalent with respect to the group of collineations which leave C invariant.*

Proof. Let $q = 3$. Then $C = \{y_1, y_2, y_3, y_4\}$ is a set of four points, no three of which are collinear. If x_1, x_2, x_3 are the diagonal points of the complete quadrangle C , then it is easy to check that $\{x_1, x_2, x_3\}$ is the unique set F^* with the desired properties.

Now we suppose that $q = 5$. Suppose that $F^* = \{x_1, x_2, x_3, x_4\}$ is a 4-arc of $PG(2, 5)$, such that any line $x_i x_j$, $i \neq j$, is an exterior line of the conic $C = \{y_1, y_2, \dots, y_6\}$. We shall prove that the diagonal points z_1, z_2, z_3 of the quadrangle F^* are exterior points of C , and that z_1, z_2, z_3 is a self-polar triangle with respect to C .

An exterior point of C is on two exterior lines of C , and an interior point of C is on three exterior lines of C . Since $x_1 x_2, x_1 x_3, x_1 x_4$ are exterior

lines, the point x_1 is an interior point. In fact, each of x_1, x_2, x_3, x_4 are interior points. Consequently there are exactly 12 secants of C which have a point in common with F^* . Since C has exactly 15 secants there are at most three secants of C which have a point in common with $\{z_1, z_2, z_3\}$. Since $z_i, i = 1, 2, 3$, is on at least two secants, it follows immediately that z_1z_2, z_2z_3, z_3z_1 are secants and that these are the only secants having a point in common with $\{z_1, z_2, z_3\}$. Hence z_1, z_2, z_3 are exterior points. Let $z_i z_j \cap C = \{u_k, u'_k\}$, where $\{i, j, k\} = \{1, 2, 3\}$. Evidently $C = \{u_1, u'_1, u_2, u'_2, u_3, u'_3\}$. Since $z_i z_j$ and $z_i z_k, \{i, j, k\} = \{1, 2, 3\}$, are the secants through z_i , the lines $z_i u_i, z_i u'_i$ are the tangents through z_i . So $u_i u'_i = z_j z_k$ is the polar line of z_i . We conclude that $z_1 z_2 z_3$ is a self-polar triangle with respect to C . Now we shall prove that F^* is uniquely defined by each of its diagonal points.

Consider the diagonal point z_i of F^* . It is an exterior point. Then z_j, z_k are the exterior points of the polar line of z_i with respect to C . The points x_1, x_2, x_3, x_4 are the intersections of the two exterior lines on z_i with the two exterior lines on z_j . So F^* is uniquely defined by z_i . Since the group G of collineations which leave C invariant is transitive on the set of exterior points, we conclude that any two such sets F^* are equivalent with respect to G .

Finally we prove that F^* exists. Let $GF(5) = \{0, 1, 2, 3, 4\}$ and let C be the irreducible conic with equation $x^2 + y^2 + z^2 = 0$. Consider the exterior point $(0, 0, 1)$. The exterior points on the polar line $z = 0$ of $(0, 0, 1)$ are the points $(0, 1, 0)$ and $(1, 0, 0)$. The exterior lines containing $(0, 0, 1)$ (resp. $(1, 0, 0)$, resp. $(0, 1, 0)$) are $y = x$ and $y = -x$ (resp. $z = y$ and $z = -y$, resp. $x = z$ and $x = -z$). These six exterior lines are exactly the six sides of the complete quadrangle with vertices $(1, 1, 1), (1, 1, -1), (1, -1, -1), (1, -1, 1)$. Consequently $F^* = \{(1, 1, 1), (1, 1, -1), (1, -1, -1), (1, -1, 1)\}$ has the desired properties.

COROLLARY 1. *Each elliptic quadric O of $PG(3, q), q = 3$ or 5 , possesses a chain with the property that the planes of the $(q+3)/2$ circles of the chain all meet in one point.*

COROLLARY 2. *Each non-singular ruled quadric Q of $PG(3, q), q = 3$ or 5 , possesses a set of $(q+3)/2$ mutually disjoint circles (i.e. a partial flock of size $(q+3)/2$) no three of which are contained in a linear flock and such that the planes of these circles all meet in one point.*

Proof. Let C be a circle of Q and let $F^* = \{x_1, x_2, \dots, x_{(q+3)/2}\}$ be a set of points which has the properties (i) and (ii) with respect to the conic C , in the plane corresponding to C . If $P_1, P_2, \dots, P_{(q+3)/2}$ are the polar planes of $x_1, x_2, \dots, x_{(q+3)/2}$ with respect to Q , then (i) the planes P_i all meet in the pole of the plane containing C (ii) the circles $P_i \cap Q$ constitute a partial flock of order $(q+3)/2$ (iii) no three circles $P_i \cap Q$ are contained in a linear flock (this follows from the fact that F^* is a $(q+3)/2$ -arc).

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