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**Surfaces with flat normal connection**

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**Geometria differenziale.** — *Surfaces with flat normal connection.*

Nota di BANG-YEN CHEN e LEOPOLD VERSTRAELEN, presentata (\*) dal Socio B. SEGRE.

RIASSUNTO. — Dopo aver dato due diverse caratterizzazioni per le superficie di una varietà riemanniana  $m$ -dimensionale che hanno una connessione normale piatta, si caratterizzano le superficie sferiche di codimensione 1 e le varietà riemanniane conformemente piatte di dimensione  $m > 3$ .

## § 1. INTRODUCTION

Let  $x : M \rightarrow R^m$  be an isometrical immersion of a surface  $M$  into an  $m$ -dimensional Riemannian manifold  $R^m$  and let  $\nabla$  and  $\nabla'$  be the covariant differentiations of  $M$  and  $R^m$  respectively. Let  $X$  and  $Y$  be two tangent vector fields on  $M$ . Then the second fundamental form  $h$  is given by

$$(1) \quad \nabla'_X Y = \nabla_X Y + h(X, Y).$$

It is well-known that  $h(X, Y)$  is a normal vector field on  $M$  and is symmetric on  $X$  and  $Y$ . Let  $\xi$  be a normal vector field on  $M$ , we write

$$(2) \quad \nabla'_X \xi = -A_\xi(X) + D_X \xi,$$

where  $-A_\xi(X)$  and  $D_X \xi$  denote the tangential and normal components of  $\nabla'_X \xi$ . Then  $D$  is the *normal connection* of  $M$  in  $R^m$  and we have

$$(3) \quad \langle A_\xi(X), Y \rangle = \langle h(X, Y), \xi \rangle,$$

where  $\langle , \rangle$  denotes the scalar product in  $R^m$ . The curvature tensor  $K^N$  associated with  $D$  is given by

$$(4) \quad K^N(X, Y) = [D_X, D_Y] - D_{[X, Y]}.$$

For a surface  $M$  in  $R^m$ , if the curvature tensor  $K^N$  vanishes identically, then  $M$  is said to have *flat normal connection*. For a surface in a conformally flat space, the flatness of normal connection is equivalent to the commutativity of the second fundamental tensors [2].

In this note, we shall first obtain two characterizations for surfaces with flat normal connection. Next, we shall apply this to obtain a characterization for spherical surfaces of codimension one. Finally, we shall prove that a Riemannian manifold  $R^m$  of dimension  $m > 3$  is conformally flat if and only if for any point  $p \in R^m$  and any plane section  $\pi \subset T_p(R^m)$ , there exists a surface  $M$  in  $R^m$  with  $T_p(M) = \pi$  such that the normal connection of  $M$  in  $R^m$  is flat and the second fundamental tensors commute, where  $T_p(M)$  (resp.  $T(M)$ ) is the tangent space of  $M$  at  $p$  (resp. the tangent bundle of  $M$ ).

(\*) Nella seduta del 15 novembre 1975.

## § 2. CHARACTERIZATIONS OF FLAT NORMAL CONNECTIONS

Let  $M$  be a surface in an  $m$ -dimensional Riemannian manifold  $R^m$ . A normal vector field  $\xi (\neq 0)$  is called a parallel (resp. umbilical) section if  $D\xi = 0$  identically (resp.  $A_\xi$  is proportional to the identity transformation). Let  $X$  be a vector tangent to  $M$ . We denote by  $X^\perp$  a vector tangent to  $M$  such that  $\langle X, X \rangle = \langle X^\perp, X^\perp \rangle$  and  $\langle X, X^\perp \rangle = 0$ .

LEMMA 1. *Let  $M$  be a surface in an  $m$ -dimensional Riemannian manifold  $R^m$ . Then the following three statements are equivalent:*

- (a)  $[A_\xi, A_\eta] = 0$  for all normal vectors  $\xi, \eta$  at  $p$ ;
- (b)  $\{h(X, X^\perp) : X \in T_p(M)\} \subset \text{line}$ ;
- (c) *there exist at least  $m - 3$  orthogonal sections umbilical at  $p$ .*

*Proof.* (a)  $\Rightarrow$  (b). If the second fundamental tensors commute, there exist an orthonormal basis  $\{e_1, e_2\}$  which diagonalize all second fundamental tensors. Hence, we have  $h(e_1, e_2) = 0$ . Let  $X = \sum X^i e_i, X^\perp = \sum Y^j e_j, i, j = 1, 2$ . Then we have  $h(X, X^\perp) = X^1 Y^1 (h(e_1, e_1) - h(e_2, e_2))$ .

(b)  $\Rightarrow$  (a) and (c). Let  $X = \sum X^i e_i, X^\perp = \sum Y^j e_j$ , where  $\{e_1, e_2\}$  is any orthonormal basis of  $T_p(M)$ . Then we have

$$h(X, X^\perp) = X^1 Y^1 (h(e_1, e_1) - h(e_2, e_2)) + (X^1 Y^2 + X^2 Y^1) h(e_1, e_2).$$

If  $\{h(X, X^\perp) : X \in T_p(M)\} \subset \text{line}$ , then  $h(e_1, e_2)$  and  $h(e_1, e_1) - h(e_2, e_2)$  are linearly dependent. If  $h(e_1, e_1) - h(e_2, e_2) = 0$  for any orthonormal basis  $e_1, e_2$ , then  $M$  is totally umbilical, i.e., every normal vector field is umbilical. Thus, the second fundamental tensors commute. If  $h(e_1, e_1) \neq h(e_2, e_2)$  for some orthonormal basis  $e_1, e_2$ , then it is clear that every normal vector perpendicular to  $h(e_1, e_1) - h(e_2, e_2)$  is umbilical. In particular, all second fundamental tensors commute.

(c)  $\Rightarrow$  (a). This is trivial.

From Lemma A and Theorem 4 of [2], we have immediately the following

THEOREM 1. *Let  $M$  be a surface in an  $m$ -dimensional conformally flat space  $R^m (m > 3)$ . Then the normal connection of  $M$  in  $R^m$  is flat if and only if one of the following three conditions holds:*

- (a)  $\dim \{h(X, X^\perp) : X \in T_p(M)\} \leq 1$  for all  $p \in M$ ;
- (b) *there exist at least  $m - 3$  orthogonal umbilical sections;*
- (c) *second fundamental tensors commute.*

REMARK 1. For results in this direction, see also [3, 6].

§ 3. CHARACTERIZATION OF "SPHERICAL" SURFACES  
AND CONFORMALLY FLAT SPACES

Following [1], by a space form  $R^m(k)$  of curvature  $k$ , we mean a complete simply-connected Riemannian manifold of constant sectional curvature  $k$ . By an  $n$ -sphere of  $R^m(k)$  we mean a hypersphere of an  $(n + 1)$ -dimensional totally geodesic submanifold of  $R^m(k)$ .

If  $M$  is a surface in a 3-sphere  $S^3$  of a space form  $R^m(k)$ , then the normal connection of  $M$  in  $R^m(k)$  is flat,  $\{h(X, X^\perp) : X \in T(M)\}$  is parallel to the normal vector of  $M$  in  $S^3$  and gives a parallel section in  $R^m(k)$ . Conversely, we have the following

**THEOREM 2.** *Let  $M$  be a surface in an  $m$ -dimensional space form  $R^m(k)$ . If the normal connection of  $M$  in  $R^m(k)$  is flat and  $\{h(X, X^\perp) : X \in T(M)\}$  gives a parallel (normal) section in  $R^m(k)$ , then  $M$  lies in a 3-sphere of  $R^m(k)$ .*

*Proof.* Since the normal connection of  $M$  in  $R^m(k)$  is flat, there exists locally an orthonormal basis  $\{e_1, e_2\}$  of  $T(M)$  such that  $h(e_1, e_2) = 0$ . Let  $\xi_1, \dots, \xi_{m-2}$  be orthonormal normal vector fields such that  $\xi_1$  is parallel to  $\{h(X, X^\perp) : X \in T(M)\}$ . Then by the assumption,  $D\xi_1 = 0$ , and  $\xi_2, \dots, \xi_{m-2}$  are umbilical sections. If  $A_2 = \dots = A_{m-2} = 0, A_\alpha = A_{\xi_\alpha}$ , then  $M$  is contained in a 3-dimensional totally geodesic submanifold of  $R^m(k)$  [4]. Hence  $M$  lies a great 3-sphere of  $R^m(k)$ . If  $A_2, \dots, A_{m-2}$  are not all zero, then we may choose  $\xi_2, \dots, \xi_{m-2}$  in such a way that  $A_2 = \lambda I, A_3 = \dots = A_{m-2} = 0$ . Thus, by the following equation of Codazzi:

$$(\nabla_Y A_\xi)(X) + A_{D_X \xi}(Y) = (\nabla_X A_\xi)(Y) + A_{D_Y \xi}(X),$$

and the equation  $D\xi_1 = 0$ , we find

$$(5) \quad (Y\lambda)X = (X\lambda)Y,$$

$$(6) \quad A_{D_X \xi_\alpha}(Y) = A_{D_Y \xi_\alpha}(X), \quad \alpha = 3, \dots, m - 2.$$

From (5) and (6) we see that  $\lambda$  is constant and  $\xi_2$  is parallel. Thus  $M$  lies in a small 3-sphere of  $R^m(k)$  [1].

If  $R^m$  is a conformally flat space, then it is clear that for any point  $p \in R^m$  and any plane section  $\pi \subset T_p(R^m)$  there exists a surface  $M$  in  $R^m$  through  $p$ , tangent to  $\pi$ , with flat normal connection and commutative second fundamental tensors. In the following, we shall prove that the converse of this is also true.

**THEOREM 3.** *An  $m$ -dimensional ( $m > 3$ ) Riemannian manifold  $R^m$  is conformally flat if and only if for every point  $p \in M$  and any plane section  $\pi \subset T_p(R^m)$  there exists a surface in  $R^m$  through  $p$ , tangent to  $\pi$ , with flat normal connection and commutative second fundamental tensors.*

*Proof.* We need only to prove the converse. Let  $p$  be any point in  $R^m$  and  $X$  and  $Y$  be any two orthonormal vectors in  $T_p(R^m)$ . Let  $\pi$  be the plane section in  $T_p(R^m)$  containing  $X$  and  $Y$ . Then, by the hypothesis, there exists a surface through  $p$ , tangent to  $M$ , with flat normal connection and commutative second fundamental tensors. Let  $\xi$  and  $\eta$  be any two orthonormal normal vector field of  $M$  in  $R^m$ . Then we have

$$\langle K^N(X, Y)\xi, \eta \rangle = \langle [A_\xi, A_\eta](X), Y \rangle = 0.$$

Substituting this into the equation of Ricci ([1], p. 47), we find  $\langle \tilde{K}(X, Y)\xi, \eta \rangle = 0$  where  $\tilde{K}$  is the curvature tensor of  $R^m$ . Since this is true for all points  $p \in M$  and all orthonormal vectors  $X, Y, \xi, \eta$  in  $T_p(R^m)$ ,  $R^m$  must be conformally flat ([5], p. 307).

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