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**Some invariants for rank three torsion-free modules  
over a Dedekind domain**

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**Algebra.** — *Some invariants for rank three torsion-free modules over a Dedekind domain.* Nota di LUCIE DE MUNTER-KUYL, presentata (\*) dal Corrisp. G. ZAPPA.

RIASSUNTO. — Viene associato un sistema completo di invarianti ad un modulo  $M$  di rango tre libero da torsione sopra un dominio di Dedekind e ad una terna di elementi indipendenti di  $M$ . I metodi usati sono simili a quelli della teoria dei gruppi abeliani.

## 1. INTRODUCTION

In [3], we have associated a complete system of invariants with the triple  $(M, x_1, x_2)$  consisting of a rank two torsion-free module  $M$  and two independent elements of  $M$ . The purpose of this paper is to extend our results to modules of rank three.

Let  $A$  be a Dedekind domain,  $K$  its field of fractions,  $\mathcal{P}$  the set of non-zero prime ideals of  $A$ ,  $A_p$  the local ring of  $A$  at the non-zero prime ideal  $p$ , and  $\pi$  a uniformizing element of  $A$ .

An (integral) superdivisor of  $A$  is defined to be a mapping  $\mu$  from  $\mathcal{P}$  to  $\bar{\mathbf{N}} = \mathbf{N} \cup \{0, \infty\}$ . Multiplication of superdivisors is defined by  $(\mu\mu')(p) = \mu(p) + \mu'(p)$ , with the convention that  $n + \infty = \infty$ ,  $\forall n \in \bar{\mathbf{N}}$ . Integral ideals of  $A$  are identified with the superdivisor corresponding to their prime decomposition and multiplicative terminology is carried over from ideals to superdivisors. In particular, we write  $\mu | \mu'$ , when  $\mu$  divides  $\mu'$ , and we denote by  $[\mu, \mu']$  the GCD of two arbitrary superdivisors  $\mu$  and  $\mu'$ .

In accordance with the group theoretical terminology, we define a torsion  $A$ -module  $T$  to be  $p$ -primary if every element of  $T$  has order a power of  $p$ , i.e. if the submodule zero is  $p$ -primary in  $T$ , in the usual sense.

For  $k \in \mathbf{N} \cup \{0\}$ , we denote by  $A(p^k)$  the  $p$ -primary  $A$ -module  $A/p^k$  and by  $A(p^\infty)$  the  $p$ -primary component of the torsion  $A$ -module  $K/A$ . A  $p$ -primary  $A$ -module  $T$  satisfying the descending chain condition on submodules is the direct sum of a finite number of submodules of the form  $A(p^k)$ ,  $k \in \mathbf{N} \cup \{\infty\}$ . The number of direct summands is independent of the decomposition of  $T$ . It is called the rank of  $T$  and is denoted by  $r(T)$ . If  $T$  is any torsion  $A$ -module whose  $p$ -primary components  $T_{(p)}$  satisfy the d.c.c., we set  $r(T) = \sup_{p \in \mathcal{P}} r(T_{(p)})$  and still call it the rank of  $T$ . When  $r(T) \leq 1$ , we thus have  $T_{(p)} \simeq A(w_p(T))$ , where  $w_p(T) \in \bar{\mathbf{N}}$ . We denote by  $w(T)$  the superdivisor defined by  $w(T)(p) = w_p(T)$ .

Unless otherwise explicitly mentioned, we further use the terminology and notations of [1], Chap. I.

(\*) Nella seduta del 15 novembre 1975.

## 2. INVARIANTS

Let  $E$  be a three-dimensional vector space over  $K$ . Let  $M$  be a rank three  $A$ -submodule of  $E$  and let  $x_1, x_2, x_3$  be independent elements of  $M$ .

For any  $x$  in  $M$ , let  $h_p^M(x) = \sup \{k \in \mathbf{N} \cup \{0\}; \pi^{-k} x \in M_p\}$  and consider the superdivisor  $h(M, x): p \mapsto h_p^M(x)$ . In particular, set  $\mu_i = h(M, x_i)$ ,  $i = 1, 2, 3$ .

Let  $N_i$  be the pure  $A$ -submodule of  $M$  generated by  $x_i$ ,  $i = 1, 2, 3$ , and set  $M/N_1 + N_2 + N_3 = M^0$ . We have proved in [2] that  $M^0$  is a torsion module and that  $M_{(p)}^0$  is of the form  $A(p^{u(p)}) \oplus A(p^{u'(p)})$ , with  $\mu(p), \mu'(p) \in \mathbf{N}$ , and requiring  $\mu'(p) \leq \mu(p)$ , we have thus determined two superdivisors  $\mu$  and  $\mu'$  which characterize the structure of  $M^0$  and were proved to satisfy:

$$(C_1) \quad \mu' \mid \mu;$$

$$(C_2) \quad \text{if there exists } i \text{ such that } \mu_i(p) = \infty, \text{ then } \mu'(p) = 0;$$

$$(C_3) \quad \text{if there exist } i \text{ and } j, i \neq j, \text{ such that } \mu_i(p) = \mu_j(p) = \infty, \text{ then } \mu(p) = \mu'(p) = 0.$$

Let  $N_{ij}$  be the pure  $A$ -submodule of  $M$  generated by  $x_i$  and  $x_j$ , where  $i, j = 1, 2, 3$  and  $i \neq j$ . Then  $N_{ij}/N_i + N_j$  is a torsion module of rank at most one (see [2]). Set  $h_k = w(N_{ij}/N_i + N_j)$ , where  $k = 1, 2, 3$  and  $\{i, j, k\} = \{1, 2, 3\}$ . Denote by  $f$  the canonical homomorphism of  $M$  onto  $M^0$  and let  $N_{ij}^0 = f(N_{ij})$ .

For  $i = 1, 2, 3$ , denote by  $M_i$  the  $A$ -submodule of  $E$  consisting of the elements  $rx_i$  for which there exist  $s, t \in K$  such that  $rx_i + sx_j + tx_k \in M$ , with  $\{i, j, k\} = \{1, 2, 3\}$ . For any subset  $\{i, j\}$  of  $\{1, 2, 3\}$ , denote by  $M_{ij}$  the  $A$ -submodule of  $E$  consisting of the elements  $rx_i + sx_j$  for which there exists  $t \in K$  such that  $rx_i + sx_j + tx_k$  belongs to  $M$ , with  $k \neq i, j$ .

Finally, if  $H$  is a submodule of  $M^0$  of rank at most 1, set  $m_i(H, p) = w_p(H \cap N_{jk}^0)$ , with  $i = 1, 2, 3$  and  $\{i, j, k\} = \{1, 2, 3\}$ , and let  $m_i(H): p \mapsto m_i(H, p)$ .

**2.1. LEMMA.** *Let  $z_i(p) = 0$ , if  $\mu_i(p) = \infty$  and  $z_i(p) = s_i(p)x_i$ , if  $\mu_i(p) < \infty$ , where  $s_i(p) \in K$  satisfies  $v_p(s_i(p)) = -\mu_i(p)$  and  $v_q(s_i(p)) \geq 0$ , for  $q \neq p$ , and where  $i = 1, 2, 3$ .*

(a) *If  $H$  is a rank 1 submodule of  $M^0$  and if  $0 < m \leq w_p(H)$ , there exist  $a_1, a_2, a_3 \in A$  such that  $v_p(a_i) = \inf(m_i(H, p), m)$  and  $h_p^M(a_1 z_1(p) + a_2 z_2(p) + a_3 z_3(p)) \geq m$ .*

(b) *If  $b_1, b_2, b_3 \in A$  are such that  $v_p(b_i) = v_p(a_i)$ , then  $h_p^M(b_1 z_1(p) + b_2 z_2(p) + b_3 z_3(p)) \geq m$  if and only if  $v_p(a_i b_j - a_j b_i) \geq m$ , for all  $\{i, j\} \subset \{1, 2, 3\}$ .*

To abbreviate our notations, we set  $z_i(p) = z_i$  and  $m_i(H, p) = m_i$ . Since  $r(H) = 1$ , there exists at most one index  $i$  such that  $m_i \neq 0$ . Suppose

$m_1 \geq m_2 = m_3 = 0$ . Then, by virtue of [2], Prop. 5, Cor. 1, we have  $\mu_2(\mathfrak{p}), \mu_3(\mathfrak{p}) < \infty$ . If  $\mu_1(\mathfrak{p}) = \infty$ , the lemma results immediately from [3], Lemma 1, applied to the submodule  $N_{23}$ . Suppose thus  $\mu_1(\mathfrak{p}) < \infty$ . If  $0 < m \leq w_{\mathfrak{p}}(H)$ , let  $\bar{z}$  be an element of  $H$  whose order ideal is  $\mathfrak{p}^m$  and let  $z = r_1 z_1 + r_2 z_2 + r_3 z_3 \in f^{-1}(\bar{z})$ . Then  $v_{\mathfrak{p}}(r_i) \geq -m$ , where equality holds for at least two values of  $i$  since otherwise the order ideal of  $\bar{z}$  would contain  $\mathfrak{p}^{m-1}$ . We now show that  $z$  can be chosen such that  $v_{\mathfrak{p}}(r_i) = \inf(m_i - m, 0)$ .

If  $m_1 \geq m$ , we have  $\bar{z} \in H \cap N_{23}^0$  and  $f^{-1}(\bar{z}) \subset N_1 + N_{23}$ . Then, for any  $z \in f^{-1}(\bar{z})$ , we have  $v_{\mathfrak{p}}(r_1) \geq 0$  and  $z$  can clearly be chosen such that  $v_{\mathfrak{p}}(r_1) = 0$ .

Now let  $m_1 < m$ . Let  $z = r_1 z_1 + r_2 z_2 + r_3 z_3 \in f^{-1}(\bar{z})$  and write  $v_{\mathfrak{p}}(r_1) = h - m$ . Therefore  $\mathfrak{p}^{m-h} \bar{z} \in H \cap N_{23}^0$  and hence  $h \leq m_1$ . If we had  $h < m_1$ , there would exist  $\bar{y} \in H \cap N_{23}^0$  of order  $\mathfrak{p}^{h+1}$  and  $a \in A$  such that  $\bar{y} = a\bar{z}$  and  $v_{\mathfrak{p}}(a) = m - h - 1$ . On the other hand, we would have  $az \in N_1 + N_{23}$ , which implies  $v_{\mathfrak{p}}(ar_1) \geq 0$  and  $v_{\mathfrak{p}}(a) \geq m - h$ . Thus  $h = m_1$ .

Now, if  $c \in A$  is such that  $v_{\mathfrak{p}}(c) = m$  and  $v_{\mathfrak{q}}(c) \geq \sup(0, -v_{\mathfrak{q}}(r_1), -v_{\mathfrak{q}}(r_2), -v_{\mathfrak{q}}(r_3))$ , for  $\mathfrak{q} \neq \mathfrak{p}$ , then the  $a_i = cr_i$ ,  $i = 1, 2, 3$ , satisfy part (a) of the lemma.

To prove (b), suppose first that  $v_{\mathfrak{p}}(a_i b_j - a_j b_i) \geq m$ , for all  $\{i, j\} \subset \{1, 2, 3\}$ . Then, from [3], Lemmas 1 and 2, applied to the module  $M_{23}$ , we know that if  $r \in K$  is such that  $v_{\mathfrak{p}}(r) = -m$  and  $v_{\mathfrak{q}}(r) \geq 0$ , for  $\mathfrak{q} \neq \mathfrak{p}$ , there exist  $s \in K$  and  $d_2, d_3 \in A$  such that  $r(b_2 z_2 + b_3 z_3) = s(a_2 z_2 + a_3 z_3) + d_2 z_2 + d_3 z_3$ , with  $v_{\mathfrak{p}}(s) \geq -m$  and  $v_{\mathfrak{q}}(s) \geq 0$ , if  $\mathfrak{q} \neq \mathfrak{p}$ . Thus  $rb_2 = sa_2 + d_2$  and  $rb_3 = sa_3 + d_3$ . Set  $d_1 = rb_1 - sa_1$ . Then  $s(a_3 b_1 - a_1 b_3) = d_3 b_3 - d_1 b_1$  and thus  $d_1 \in A$ . Therefore,  $r(b_1 z_1 + b_2 z_2 + b_3 z_3) = s(a_1 z_1 + a_2 z_2 + a_3 z_3) + d_1 z_1 + d_2 z_2 + d_3 z_3$  belongs to  $M$ .

Conversely, let  $h_{\mathfrak{p}}^M(b_1 z_1 + b_2 z_2 + b_3 z_3) \geq m$ . There exist  $d_1, d_2, d_3 \in A$  and  $r, s \in K$  such that  $v_{\mathfrak{p}}(r), v_{\mathfrak{p}}(s) \geq -m$ ,  $v_{\mathfrak{q}}(r), v_{\mathfrak{q}}(s) \geq 0$ , if  $\mathfrak{q} \neq \mathfrak{p}$ , and  $r(b_1 z_1 + b_2 z_2 + b_3 z_3) = s(a_1 z_1 + a_2 z_2 + a_3 z_3) + d_1 z_1 + d_2 z_2 + d_3 z_3$ . This, together with  $m_2 = m_3 = 0$ , implies  $v_{\mathfrak{p}}(a_2 b_3 - a_3 b_2) \geq m$  (see [3]). We thus have  $v_{\mathfrak{p}}(a_3 b_1 - a_1 b_3) = h_{\mathfrak{p}}^M((a_3 b_1 - a_1 b_3) z_1) = h_{\mathfrak{p}}^M((b_1 z_1 + b_2 z_2 + b_3 z_3) a_3 - (a_1 z_1 + a_2 z_2 + a_3 z_3) b_3 + (a_2 b_3 - a_3 b_2) z_2) \geq m$ . Similarly, we would obtain  $v_{\mathfrak{p}}(a_2 b_1 - a_1 b_2) \geq m$ .

**2.2. COROLLARY.** Let  $M^0 = H \oplus H'$ , with  $w(H) = \mu$  and  $w(H') = \mu'$ . For all  $0 < m \leq \mu(\mathfrak{p})$  and  $0 < m' \leq \mu'(\mathfrak{p})$ , choose  $a_i = a_i(\mathfrak{p}, m)$  and  $a'_i = a'_i(\mathfrak{p}, m')$  in  $A$ , corresponding respectively to  $H$  and  $H'$ , and satisfying Lemma 2.1. Then  $v_{\mathfrak{p}}(a_i a'_j - a_j a'_i) = 0$ , for all  $\{i, j\} \subset \{1, 2, 3\}$ .

This results from the fact that  $H \cap H' = 0$ .

If  $0 < m \leq \mu(\mathfrak{p})$  (resp.  $0 < m' \leq \mu'(\mathfrak{p})$ ), set  $y(\mathfrak{p}, m) = t(\mathfrak{p}, m)(a_1(\mathfrak{p}, m)z_1(\mathfrak{p}) + a_2(\mathfrak{p}, m)z_2(\mathfrak{p}) + a_3(\mathfrak{p}, m)z_3(\mathfrak{p}))$  (resp.  $y'(\mathfrak{p}, m) = t(\mathfrak{p}, m)(a'_1(\mathfrak{p}, m)z_1(\mathfrak{p}) + a'_2(\mathfrak{p}, m)z_2(\mathfrak{p}) + a'_3(\mathfrak{p}, m)z_3(\mathfrak{p}))$ ), with  $v_{\mathfrak{p}}(t(\mathfrak{p}, m)) = -m$  and  $v_{\mathfrak{q}}(t(\mathfrak{p}, m)) \geq 0$ , for  $\mathfrak{q} \neq \mathfrak{p}$ . Thus  $y(\mathfrak{p}, m) \in f^{-1}(H)$  (resp.  $y'(\mathfrak{p}, m) \in f^{-1}(H')$ ).

If  $\mu_i(p) = \infty$ , then for each  $n \in \mathbf{N}$ , let  $t_i(p, n) \in K$  be such that  $v_p(t_i(p, n)) = -n$  and  $v_q(t_i(p, n)) \geq 0$  for  $q \neq p$ . Set  $y_i(p, n) = t_i(p, n) x_i$ ,  $i = 1, 2, 3$ .

2.3. LEMMA. Let  $\mu_1, \mu_2, \mu_3, \mu$  and  $\mu'$  be the superdivisors associated with  $(M, x_1, x_2, x_3)$ . Let  $G$  be the set consisting of the  $x_i$ 's, the  $z_i(p)$ 's for all  $p$  such that  $\mu_i(p) < \infty$ , the  $y_i(p, n)$ 's for all  $p$  such that  $\mu_i(p) = \infty$  and all  $n \in \mathbf{N}$ , the  $y(p, m)$ 's for all  $p$  such that  $\mu(p) \neq 0$  and all  $m \in \mathbf{N}$  such that  $m \leq \mu(p)$  and, finally, the  $y'(p, m)$ 's for all  $p$  such that  $\mu'(p) \neq 0$  and all  $m \in \mathbf{N}$  such that  $m \leq \mu'(p)$ . Then  $G$  is a generating system of  $M$ .

Indeed, let  $N$  be the submodule of  $M$  generated by  $G$ . Then  $f(N) = f(M) = M^0$ , since the images of the  $y(p, m)$ 's and the  $y'(p, m)$ 's in  $M^0$  generate  $M^0$ . Therefore,  $M \subset N + \ker f$ . But, the  $x_i$ 's,  $z_i(p)$ 's and  $y_i(p, n)$ 's generate  $\ker f$  and thus we have  $\ker f \subset N$  and  $M = N$ .

2.4. LEMMA. Let  $H$  be a rank 1 submodule of  $M^0$  such that  $w(H) = \mu$ . Then  $h_i = [\mu, \mu' m_i(H)]$ .

We must prove that  $w_p(N_{jk}^0) = \inf(\mu(p), \mu'(p) + m_i(H, p))$ , where  $m_i(H, p) = w_p(H \cap N_{jk}^0)$ . This is obvious when  $\mu'(p) = 0$  or when  $\mu'(p) = \mu(p)$ . Suppose thus  $0 < \mu'(p) < \mu(p) \leq \infty$ , and suppose as before that  $m_1 \geq m_2 = m_3 = 0$ , where  $m_i$  stands for  $m_i(H, p)$ . We have immediately  $h_2(p) = h_3(p) = \mu'(p)$ , and it remains to show that  $h_1(p) = \inf(\mu(p), \mu'(p) + m_1)$ . This equality is obvious if  $m_1 = \mu(p)$ . Let then  $m_1 < \mu(p)$  and let  $m \in \mathbf{N}$  such that  $\sup(m_1, \mu'(p)) < m \leq \mu(p)$ . The submodule  $H$  is a direct summand of  $M^0$ ; consider  $H'$  such that  $M^0 = H \oplus H'$  and let  $y(p, m)$  and  $y'(p, \mu'(p))$  be defined as in Lemma 2.3. To simplify the notations, set  $y(p, m) = y(m) = t(m)(a_1(m)z_1 + a_2(m)z_2 + a_3(m)z_3)$  and  $y'(p, \mu'(p)) = y' = t'(a'_1z_1 + a'_2z_2 + a'_3z_3)$ . By Corollary 2.2.,  $m_1 = v_p(a_1(m)) > 0$  implies  $v_p(a'_1) = 0$ , i.e. at least one of the ideals  $a_1(m)A$  and  $a'_1A$  is comaximal with  $p^{u(p)}$ . Then, there exist  $b, c, d \in A$  such that  $ba_1(m) + ca'_1 + d = 0$ , with  $v_p(b) = v_p(a'_1)$ ,  $v_p(c) = m_1$  and  $v_p(d) = \mu'(p) + m_1$ . Therefore,  $b(a_1(m)z_1 + a_2(m)z_2 + a_3(m)z_3) + c(a'_1z_1 + a'_2z_2 + a'_3z_3) + dz_1 = (ba_2(m) + ca'_2)z_2 + (ba_3(m) + ca'_3)z_3 = u(m) \in N_{23}$ , with  $h_p^M(u(m)) \geq \inf(m, \mu'(p) + m_1)$  and thus  $h_1(p) \geq \inf(\mu(p), \mu'(p) + m_1)$ . The lemma is proved if  $\mu'(p) + m_1 \geq \mu(p)$ . It remains to consider the case where  $\mu'(p) + m_1 < \mu(p)$  and to show that  $h_1(p) \leq \mu'(p) + m_1$ .

Suppose, on the contrary, that there exists  $bz_2 + cz_3 \in N_{23}$  such that  $v_p(b) = v_p(c) = 0$  and  $h_p^M(bz_2 + cz_3) = \mu'(p) + m_1 + 1$ . Then, by Lemma 2.3, we can find  $k \in K$ , such that  $v_p(k) = -\mu'(p) - m_1 - 1$  and  $v_q(k) \geq 0$  for  $q \neq p$ , and  $d, d', n_1, n_2, n_3 \in A$  satisfying  $k(bz_2 + cz_3) = dy(m) + d'y' + n_1z_1 + n_2z_2 + n_3z_3$ , where  $m = \mu'(p) + m_1 + 1$ . This means  $dt(m)a_1(m) + d't'a'_1 + n_1 = 0$ ,  $dt(m)a_2(m) + d't'a'_2 + n_2 = kb$  and  $dt(m)a_3(m) + d't'a'_3 + n_3 = kc$ . But, the first equality implies  $v_p(d) > 0$ , while each of the last two implies  $v_p(d) = 0$ !

2.5. COROLLARY. If  $h_i(p) < \mu(p)$  for all  $i = 1, 2, 3$ , then for all  $\{j, k\} \subset \{1, 2, 3\}$ ,  $w_p(H \cap N_{jk}^0)$  is independent of the choice of  $H$ , provided  $w(H) = \mu$ .

2.6. DEFINITION. We shall use the term *adele*, in a restricted sense, to designate the elements of the product ring  $\mathcal{A} = \prod_{p \in \mathcal{P}} \bar{A}_p$ , where  $\bar{A}_p$  is the completion of  $A$  with respect to the discrete valuation  $v_p$ . We shall identify the element  $a$  of  $A$  with the adele  $(a(p))$  defined by letting  $a(p) = a$  for all  $p \in \mathcal{P}$ . For any  $p \in \mathcal{P}$  and  $\eta \in \mathcal{A}$ , we set  $v_p(\eta) = v_p(\eta(p))$  and  $v(\eta) : p \mapsto v_p(\eta)$ .

Let  $\mu$  be a superdivisor and let  $(\eta_1, \eta_2, \eta_3), (\eta'_1, \eta'_2, \eta'_3) \in \mathcal{A}^3$  such that for all  $p \in \mathcal{P}$ ,

$$\inf_{\substack{i,j \in \{1,2,3\} \\ i \neq j}} v_p(\eta_i \eta_j) = \inf_{\substack{i,j \in \{1,2,3\} \\ i \neq j}} v_p(\eta'_i \eta'_j) = 0.$$

We shall say that  $(\eta_1, \eta_2, \eta_3)$  and  $(\eta'_1, \eta'_2, \eta'_3)$  are  $\mu$ -equivalent (in symbol  $(\eta_1, \eta_2, \eta_3) \underset{\mu}{\sim} (\eta'_1, \eta'_2, \eta'_3)$ ) if

$$(1) \quad v(\eta_i) = v(\eta'_i), \quad i = 1, 2, 3 \quad \text{and}$$

$$(2) \quad \mu \mid v(\eta_i \eta'_j - \eta_j \eta'_i), \quad i, j = 1, 2, 3, i \neq j.$$

2.7. LEMMA. (a) Let  $z_i(p)$  be defined as in Lemma 2.1. and let  $H$  be a submodule of  $M^0$  of rank at most 1. Then, there exists  $(\eta_1, \eta_2, \eta_3) \in \mathcal{A}^3$  such that

$$(i) \quad v(\eta_i) = m_i(H), \quad \text{for } i = 1, 2, 3;$$

(ii) if  $w_p(H) \neq 0$ , if  $m \in \mathbf{N}$  is such that  $m \leq w_p(H)$  and if  $a_1, a_2, a_3 \in A$  satisfy  $v_p(a_i) = \inf(m_i(H, p), m)$ , then  $h_p^M(a_1 z_1(p) + a_2 z_2(p) + a_3 z_3(p)) \geq m$  if and only if  $v_p(a_i \eta_j - a_j \eta_i) \geq m$ , for all  $i, j = 1, 2, 3, i \neq j$ .

(b) A triple  $(\eta_1^0, \eta_2^0, \eta_3^0)$  satisfies (i) and (ii) if and only if  $(\eta_1^0, \eta_2^0, \eta_3^0) \underset{w(H)}{\sim} (\eta_1, \eta_2, \eta_3)$ .

Clearly, we can suppose immediately that  $r(H) = 1$ . Consider a fixed  $p$  such that  $w_p(H) \neq 0$ . It suffices to show the existence of  $\eta_1(p), \eta_2(p), \eta_3(p) \in \bar{A}_p$  satisfying conditions (i) and (ii).

As before, suppose  $m_2 = m_3 = 0$ . The existence of  $\eta_1, \eta_2, \eta_3$  is obvious when  $w_p(H) < \infty$  and results from [3], Lemma 3, when  $w_p(H) = m_1 = \infty$ . Now suppose  $w_p(H) = \infty$  and  $m_1 < \infty$ . Consider the sequences  $(a_1(m)), (a_2(m))$  and  $(a_3(m))$  of elements of  $A$ , with  $m \geq m_1$ , as defined in Lemma 2.1. We thus have  $v_p(a_1(m)) = m_1$  and  $v_p(a_2(m)) = v_p(a_3(m)) = 0$ . The ideals  $a_3(m)A$  and  $p^m$  being comaximal, there exist  $c_m \in A - p$  and  $d_m \in p^m$  satisfying  $c_m a_3(m) + d_m = 1$ . Let  $b_i(m) = c_m a_i(m)$ . Then  $h_p^M(c_m(a_1(m)z_1 + a_2(m)z_2 + a_3(m)z_3) + d_m z_3) = h_p^M(b_1(m)z_1 + b_2(m)z_2 + z_3) \geq m$ . Similarly, we have  $h_p^M(b_1(m+1)z_1 + b_2(m+1)z_2 + z_3) \geq m+1$ , and applying again Lemma 2.1., we obtain  $v_p(b_1(m+1) - b_1(m)) \geq m$  and  $v_p(b_2(m+1) - b_2(m)) \geq m$ . The sequences  $(b_1(m))$  and  $(b_2(m))$  are thus converging

in  $\bar{A}_p$ , say to  $\eta_1$  and  $\eta_2$ . Now, take  $\eta_3 = 1$ . It is readily checked that  $\eta_1, \eta_2, \eta_3$  satisfy (i) and (ii).

Part (b) is the result of an easy calculation which we omit.

2.7. COROLLARY. Let  $M^0 = H \oplus H'$ , with  $w(H) = \mu$  and  $w(H') = \mu'$ . Let  $(\eta_1, \eta_2, \eta_3), (\eta'_1, \eta'_2, \eta'_3) \in \mathcal{A}^3$  correspond respectively to  $H$  and  $H'$ . Then, if  $\mu'(p) \neq 0$ , we have  $v_p(\eta_i \eta'_i - \eta_j \eta'_j) = 0$  for all  $i, j = 1, 2, 3, i \neq j$ .

This results immediately from the previous lemma and Cor. 2.2.

2.9. DEFINITION. Let  $Q$  and  $Q'$  be respectively a  $\mu$ -class and a  $\mu'$ -class of elements of  $\mathcal{A}^3$ . We shall say that  $Q$  and  $Q'$  are compatible if, whenever we have  $\mu'(p) \neq 0$ , then  $v_p(\eta_i \eta'_i - \eta_j \eta'_j) = 0$  for all  $(\eta_1, \eta_2, \eta_3) \in Q, (\eta'_1, \eta'_2, \eta'_3) \in Q'$  and  $\{i, j\} \subset \{1, 2, 3\}$ .

For each decomposition  $H \oplus H'$  of  $M^0$ , Corollary 2.8. ensures the existence of a pair  $(Q, Q')$  consisting of a  $\mu$ -class and a  $\mu'$ -class which are compatible. We shall now investigate the relations between two pairs  $(Q, Q')$  and  $(\bar{Q}, \bar{Q}')$  associated with distinct decompositions  $H \oplus H'$  and  $\bar{H} \oplus \bar{H}'$  of  $M^0$ .

2.10. LEMMA. Let  $H \oplus H'$  and  $\bar{H} \oplus \bar{H}'$  be two decompositions of  $M^0$ , with  $w(H) = w(\bar{H}) = \mu$  and  $w(H') = w(\bar{H}') = \mu'$ . Let  $Q$  and  $\bar{Q}$  (resp.  $Q'$  and  $\bar{Q}'$ ) be the corresponding  $\mu$ -classes (resp.  $\mu'$ -classes). Then, for every  $(\eta_1, \eta_2, \eta_3) \in Q$  and  $(\eta'_1, \eta'_2, \eta'_3) \in Q'$ , there exists a matrix  $\begin{pmatrix} \alpha & \alpha' \\ \beta & \beta' \end{pmatrix}$  with coefficients in  $\mathcal{A}$  and such that

$$(1) \quad (\alpha \eta_1 + \alpha' \eta'_1, \alpha \eta_2 + \alpha' \eta'_2, \alpha \eta_3 + \alpha' \eta'_3) \in \bar{Q}.$$

$$(2) \quad (\beta \eta_1 + \beta' \eta'_1, \beta \eta_2 + \beta' \eta'_2, \beta \eta_3 + \beta' \eta'_3) \in \bar{Q}',$$

$$(3) \quad v(\alpha \beta' - \alpha' \beta) = 1,$$

$$(4) \quad \mu \mid \mu'(v(\alpha')).$$

Let  $p$  be a fixed non-zero prime ideal.

Case I:  $\mu'(p) \leq \mu(p) < \infty$ . Let  $\eta_i(p) = a_i + \xi_i$ , with  $v_p(\xi_i) \geq \mu(p)$ , and let  $\eta'_i(p) = a'_i + \xi'_i$ , with  $v_p(\xi'_i) \geq \mu'(p)$ , where  $a_i, a'_i \in A$ . Set  $y(p, \mu(p)) = y = t(a_1 z_1 + a_2 z_2 + a_3 z_3)$  and  $y'(p, \mu'(p)) = y' = t'(a'_1 z_1 + a'_2 z_2 + a'_3 z_3)$ . Choose  $(\bar{a}_1, \bar{a}_2, \bar{a}_3) \in \bar{Q}$  and  $(\bar{a}'_1, \bar{a}'_2, \bar{a}'_3) \in \bar{Q}'$  with  $\bar{a}_i, \bar{a}'_i \in A$ . Set  $\bar{y}(p, \mu(p)) = \bar{y} = t(\bar{a}_1 z_1 + \bar{a}_2 z_2 + \bar{a}_3 z_3)$  and  $\bar{y}'(p, \mu'(p)) = \bar{y}' = t'(\bar{a}'_1 z_1 + \bar{a}'_2 z_2 + \bar{a}'_3 z_3)$ .

There exist  $c, c', r_1, r_2, r_3 \in A$  such that  $\bar{y} = cy + c'y' + r_1 z_1 + r_2 z_2 + r_3 z_3$  and similarly, there exist  $d, d', s_1, s_2, s_3 \in A$  such that  $\bar{y}' = d't^{-1}y + d'y' + s_1 z_1 + s_2 z_2 + s_3 z_3$ . Thus  $\bar{a}_i = ca_i + c't't^{-1}a'_i + t^{-1}r_i$  and  $\bar{a}'_i = da'_i + d't^{-1}s_i$ . Let  $\alpha(p) = c$ ,  $\alpha'(p) = c't't^{-1}$ ,  $\beta(p) = d$  and  $\beta'(p) = d'$ . Then  $v_p(\alpha'(p)) \geq \mu(p) - \mu'(p)$  and  $v_p((\alpha(p)a_i + \alpha'(p)a'_i)\bar{a}_j - (\alpha(p)a_j + \alpha'(p)a'_j)\bar{a}_i) \geq \mu(p)$  and  $v_p((\beta(p)a_i + \beta'(p)a'_i)\bar{a}_j - (\beta(p)a_j + \beta'(p)a'_j)\bar{a}_i) \geq \mu'(p)$ . These relations imply  $v_p((\alpha(p)\eta_i(p) + \alpha'(p)\eta'_i(p))\bar{a}_j - (\alpha(p)\eta_j(p) + \alpha'(p)\eta'_j(p))\bar{a}_i) \geq \mu(p)$  and  $v_p((\beta(p)\eta_i(p) + \beta'(p)\eta'_i(p))\bar{a}_j - (\beta(p)\eta_j(p) + \beta'(p)\eta'_j(p))\bar{a}_i) \geq \mu'(p)$ , which proves (1) and (2) at  $p$ . In addition, the

relations giving  $\bar{y}$  and  $\bar{y}'$  in terms of  $y$  and  $y'$  must be invertible and therefore  $v_p(cd' - c' dt' t^{-1}) = v_p(\alpha(p)\beta'(p) - \alpha'(p)(\beta(p))) = 0$ .

*Case 2:*  $\mu'(p) < \infty$  and  $\mu(p) = \infty$ . Then  $H_{(p)}$  is the largest divisible submodule of  $M_{(p)}^0$  and therefore  $\bar{H}_{(p)} = H_{(p)}$ . We can thus choose  $\alpha(p) = 1$  and  $\alpha'(p) = 0$ . The existence of  $\beta(p)$  and  $\beta'(p)$  is proved as before.

*Case 3:*  $\mu'(p) = \mu(p) = \infty$ . Let  $(a_i(m))$  and  $(a'_i(m))$  be sequences of elements of  $A$  defined as in Lemma 2.1. and converging respectively to  $\eta_i(p)$  and  $\eta'_i(p)$ . On the other hand, let  $(\bar{\eta}_1, \bar{\eta}_2, \bar{\eta}_3) \in \bar{Q}$  and  $(\bar{\eta}'_1, \bar{\eta}'_2, \bar{\eta}'_3) \in \bar{Q}'$ . Let  $(\bar{a}_i(m))$  and  $(\bar{a}'_i(m))$  be sequences of elements of  $A$  converging respectively to  $\bar{\eta}_i(p)$  and  $\bar{\eta}'_i(p)$ . Proceeding as in Case 1, we can find for every  $m \in \mathbf{N}$  elements  $c_m, c'_m, d_m, d'_m$  of  $A$  such that  $\inf(v_p(c_m), v_p(c'_m)) = \inf(v_p(d_m), v_p(d'_m)) = 0$ ,  $v_p((c_m a_i(m) + c'_m a'_i(m)) \bar{a}_j(m) - (c_m a_j(m) + c'_m a'_j(m)) \bar{a}_i(m)) \geq m$  and  $v_p((d_m a_i(m) + d'_m a'_i(m)) \bar{a}_j(m) - (d_m a_j(m) + d'_m a'_j(m)) \bar{a}_i(m)) \geq m$ . Moreover, taking into account Cor. 2.2., it is easy to prove that  $\inf(v_p(c_m), v_p(d_m)) = \inf(v_p(c'_m), v_p(d'_m)) = 0$ . Then, assuming for example that  $v_p(c_m) = v_p(d'_m) = 0$ , we still have  $v_p(c_{m+k}) = v_p(d'_{m+k}) = 0$  for every  $k \in \mathbf{N}$ , and it is readily checked that  $c_m$  and  $d'_m$  can be taken equal to 1 for all  $m \in \mathbf{N}$ . We now have  $v_p((a_i(m) + c'_m a'_i(m)) \bar{a}_j(m) - (a_j(m) + c'_m a'_j(m)) \bar{a}_i(m)) \geq m$  and clearly also  $v_p((a_i(m) + c'_{m+1} a'_i(m)) \bar{a}_j(m) - (a_j(m) + c'_{m+1} a'_j(m)) \bar{a}_i(m)) \geq m$ . These relations imply  $v_p(c'_{m+1} - c'_m) \geq m$ , i.e. the sequence  $(c'_m)$  has a limit  $\alpha'(p)$  in  $\bar{A}_p$ . Similarly, the sequence  $(d_m)$  converges to an element  $\beta(p)$  of  $\bar{A}_p$  and, choosing  $\alpha(p) = \beta'(p) = 1$ , we obtain

$$(\alpha(p) \eta_i(p) + \alpha'(p) \eta'_i(p)) \bar{\eta}_j(p) = (\alpha(p) \eta_j(p) + \alpha'(p) \eta'_j(p)) \bar{\eta}_i(p),$$

$$(\beta(p) \eta_i(p) + \beta'(p) \eta'_i(p)) \bar{\eta}_j(p) = (\beta(p) \eta_j(p) + \beta'(p) \eta'_j(p)) \bar{\eta}_i(p).$$

2.11. DEFINITION. We shall say that the pairs  $(Q, Q')$  and  $(\bar{Q}, \bar{Q}')$  are equivalent if, for every  $(\eta_1, \eta_2, \eta_3) \in Q$  and  $(\eta'_1, \eta'_2, \eta'_3) \in Q'$ , there exists a matrix  $\begin{pmatrix} \alpha & \alpha' \\ \beta & \beta' \end{pmatrix}$  with coefficients in  $\mathcal{A}$  and satisfying conditions (1), (2), (3) and (4) of Lemma 2.10. It is trivial to check that this defines an equivalence relation.

With  $(M, x_1, x_2, x_3)$  are thus associated the superdivisors  $\mu_1, \mu_2, \mu_3, \mu$  and  $\mu'$ , and a class  $\chi$  of pairs  $(Q, Q')$ . We shall write  $\text{inv}(M, x_1, x_2, x_3) = (\mu_1, \mu_2, \mu_3, \mu, \mu', \chi)$ .

In view of Lemmas 2.3. and 2.7., the following theorem requires no further proof:

2.12. THEOREM. Let  $x_1, x_2, x_3$  be independent elements of  $E$ . Let  $\mu_1, \mu_2, \mu_3, \mu$  and  $\mu'$  be superdivisors satisfying conditions  $(C_1)$ ,  $(C_2)$  and  $(C_3)$  and let  $\chi$  be a class of pairs  $(Q, Q')$ . There exists one and only one rank three  $A$ -submodule  $M$  of  $E$ , containing  $x_1, x_2, x_3$  and such that  $\text{inv}(M, x_1, x_2, x_3) = (\mu_1, \mu_2, \mu_3, \mu, \mu', \chi)$ .

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