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**The Euler-Poincaré Characteristic and Pontrjagin
Number of Einstein-Lorentzian Manifolds**

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Geometria. — *The Euler-Poincaré Characteristic and Pontrjagin Number of Einstein-Lorentzian Manifolds.* Nota (*) di JOSEPH ZUND, presentata dal Socio E. BOMPIANI.

RIASSUNTO. — In questa Nota, si considerano le proprietà topologiche di varietà Einstein-Lorentziane quadridimensionali che ammettono una metrica $g_{\mu\nu}$ definita dallo spazio-tempo della relatività generale. In modo particolare, si trattano le relazioni che esistono tra le caratteristiche Euler-Poincaré ed i numeri di Pontrjagin, quando le equazioni di Einstein sono assunte valide (a) per un campo gravitazionale « in vacuo » e (b) per altri campi fisici in presenza di materia od elettricità.

1. Let $\mathfrak{M}$ be a four dimensional differentiable manifold of class C^∞ which possesses a pseudo-Riemannian metric having p positive and $4-p$ negative squares when written in canonical form. Suppose $\mathfrak{M}$ admits a field of differentiable p -planes. A positive definite Riemannian metric $c_{\mu\nu}$ on $\mathfrak{M}$ induces on these p -planes a metric $a_{\mu\nu}$ and a metric $b_{\mu\nu}$ on the field of orthogonal $(4-p)$ -planes. Hence $c_{\mu\nu} = a_{\mu\nu} + b_{\mu\nu}$, and by considering the tensor $l_{\mu\nu}(\lambda) \equiv c_{\mu\nu} + \lambda b_{\mu\nu}$, where λ is a real parameter, it can be shown that $l_{\mu\nu}$ defines a non-singular pseudo-Riemannian metric on $\mathfrak{M}$ for $\lambda \neq -1$. This metric is positive definite if $\lambda > -1$, and if $\lambda < -1$ it possesses p positive squares. For our hyperbolic normal Lorentzian metric we take $l_{\mu\nu}(-2) \equiv g_{\mu\nu}$. By employing these techniques Avez, [1, 2], derived the following integral formulae for the Euler-Poincaré characteristic $\chi(\mathfrak{M})$ and the Pontrjagin number $p[\mathfrak{M}]$ of a compact orientable pseudo-Riemannian manifold:

$$(1) \quad \chi(\mathfrak{M}) = \frac{(-1)^{[p/2]}}{32\pi^2} \int_{\mathfrak{M}} \Delta_E \cdot dv$$

$$(2) \quad p[\mathfrak{M}] = \frac{(-1)^p}{32\pi^2} \int_{\mathfrak{M}} \Delta_p \cdot dv,$$

where

$$\Delta_E \equiv \varepsilon_{\alpha\beta\gamma\delta} \varepsilon_{\mu\nu\rho\sigma} R^{\alpha\beta\mu\nu} R^{\gamma\delta\rho\sigma}$$

$$\Delta_p \equiv \frac{1}{4} R_{\alpha\beta\gamma\delta} R^{\alpha\beta}{}_{\lambda\mu} \varepsilon^{\gamma\delta\lambda\mu};$$

$\varepsilon_{\mu\nu\rho\sigma}$ being the Levi-Civita dualizer and dv is the volume element (1). The Pontrjagin number is related to the Hirzebruch index $\tau(\mathfrak{M})$ by the formula

$$(3) \quad \tau(\mathfrak{M}) = \frac{1}{3} p[\mathfrak{M}].$$

(*) Pervenuta all'Accademia il 19 agosto 1970.

(1) The Euler-Poincaré characteristic for $\mathfrak{M}$ was also given independently by Chern [4]; the Pontrjagin classes have been studied by Borel, [3], and Zund, [7, 8, 9]. Our notation closely follows that employed in [9].

2. We now restrict our considerations to a Lorentzian manifold, i.e. $g_{\mu\nu}$ has one positive and three negative squares in canonical form. The expressions for Δ_E and Δ_p may be simplified by re-writing them in terms of the right, left and double duals

$$R_{\mu\nu\rho\sigma}^* \equiv \frac{1}{2} \varepsilon_{\rho\sigma\alpha\beta} R_{\mu\nu}^{\alpha\beta}$$

$${}^*R_{\mu\nu\rho\sigma} \equiv \frac{1}{2} \varepsilon_{\mu\nu\alpha\beta} R_{\rho\sigma}^{\alpha\beta}$$

$${}^*R_{\mu\nu\rho\sigma}^* = \frac{1}{4} \varepsilon_{\mu\nu\alpha\beta} \varepsilon_{\rho\sigma\gamma\delta} R^{\alpha\beta\gamma\delta}.$$

Hence (1) and (2) become

$$(4) \quad \chi(\mathfrak{M}) = - \frac{1}{8\pi^2} \int_{\mathfrak{M}} R_{\mu\nu\rho\sigma} {}^*R^{\mu\nu\rho\sigma} dv$$

$$(5) \quad p[\mathfrak{M}] = - \frac{1}{64\pi^2} \int_{\mathfrak{M}} R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} dv.$$

By employing the identity of Ruse and Lanczos

$$R_{\mu\nu\rho\sigma} + {}^*R_{\mu\nu\rho\sigma}^* = E_{\mu\rho} g_{\nu\sigma} + E_{\nu\sigma} g_{\mu\rho} - E_{\mu\sigma} g_{\nu\rho} - E_{\nu\rho} g_{\mu\sigma},$$

where

$$E_{\mu\nu} \equiv R_{\mu\nu} - \frac{R}{4} g_{\mu\nu},$$

the integrand of (4) reduces to

$$R_{\mu\nu\rho\sigma} {}^*R^{\mu\nu\rho\sigma} = - R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} + 4 R_{\mu\nu} R^{\mu\nu} - R^2.$$

Thus, if $R_{\mu\nu} = 0$, the Euler-Poincaré characteristic reduces to

$$(6) \quad \overset{\circ}{\chi}(\mathfrak{M}) = \frac{1}{8\pi^2} \int_{\mathfrak{M}} R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} dv.$$

3. In previous papers, [7, 8, 9], we have considered the behavior of $\chi(\mathfrak{M})$, i.e. $\overset{\circ}{\chi}(\mathfrak{M})$ when Einstein's vacuum field equations are imposed on the Lorentzian manifold. We now consider the topological properties of Einstein-Lorentzian manifolds on which the Einstein equations for a physical (non-vacuum) scheme are imposed. These equations are

$$(7) \quad G_{\mu\nu} = \kappa T_{\mu\nu},$$

where

$$G_{\mu\nu} \equiv R_{\mu\nu} - \frac{R}{2} g_{\mu\nu}$$

is the Einstein tensor, κ is the cosmological constant, and $T_{\mu\nu}$ is the energy-momentum tensor corresponding to the prescribed physical scheme.

THEOREM A. *Let $\mathfrak{M}$ be a compact orientable four dimensional Einstein-Lorentzian manifold of class C^∞ on which the Einstein equations (7) are valid. Then*

$$(8) \quad \chi(\mathfrak{M}) = \overset{\circ}{\chi}(\mathfrak{M}) - \frac{\kappa^2}{8\pi^2} \int_{\mathfrak{M}} \{4 T_{\mu\nu} T^{\mu\nu} - T^2\} dv$$

where $\overset{\circ}{\chi}(\mathfrak{M})$ is defined by (6) and $T \equiv g^{\mu\nu} T_{\mu\nu}$.

The proof is immediate by using the Ruse-Lanczos identity and noting that by virtue of the definition of $G_{\mu\nu}$ and (7) we have

$$R_{\mu\nu} R^{\mu\nu} = \kappa^2 T_{\mu\nu} T^{\mu\nu}$$

and

$$R = -\kappa T.$$

If $T_{\mu\nu} = 0$, then $\chi(\mathfrak{M}) = \overset{\circ}{\chi}(\mathfrak{M})$ and $\overset{\circ}{\chi}(\mathfrak{M})$ is precisely the Euler-Poincaré characteristic of $\mathfrak{M}$. If $T_{\mu\nu} \neq 0$ then we may regard the expression for $\overset{\circ}{\chi}(\mathfrak{M})$ in equation (6) as the purely geometric part of the Euler-Poincaré characteristic whenever $R_{\mu\nu\rho\sigma}$ is non-expressible in terms of $R_{\mu\nu}$ ⁽²⁾. Henceforth we will explicitly make this assumption in our analysis.

Theorem A now yields three corollaries corresponding to the most common physical schemes considered in general relativity.

COROLLARY 1. *For the scheme of pure matter, e.g. dust,*

$$T_{\mu\nu} = \rho v_\mu v_\nu$$

where $\rho > 0$ and $v_\mu v^\mu = 1$.

If the hypotheses of Theorem A are satisfied, then

$$\chi(\mathfrak{M}) = \overset{\circ}{\chi}(\mathfrak{M}) - \frac{3\kappa^2}{8\pi^2} \int_{\mathfrak{M}} \rho^2 dv.$$

COROLLARY 2. *For the scheme of a perfect fluid,*

$$T_{\mu\nu} = (\rho + p) v_\mu v_\nu - p g_{\mu\nu}$$

where ρ and $p > 0$, and $v_\mu v^\mu = 1$. If the hypotheses of Theorem A are satisfied, then

$$\chi(\mathfrak{M}) = \overset{\circ}{\chi}(\mathfrak{M}) - \frac{3\kappa^2}{8\pi^2} \int_{\mathfrak{M}} (\rho + p)^2 dv.$$

COROLLARY 3. *For the scheme of an electromagnetic field*

$$T_{\mu\nu} = \frac{1}{4} g_{\mu\nu} (F_{\rho\sigma} F^{\rho\sigma}) - F_{\mu\tau} F^\tau_\nu$$

where $F_{\mu\nu}$ is the electromagnetic bivector. If the hypotheses of Theorem A are satisfied, then

$$\chi(\mathfrak{M}) = \overset{\circ}{\chi}(\mathfrak{M}) - \frac{\kappa^2}{2\pi^2} \int_{\mathfrak{M}} |K|^2 dv$$

(2) A simple example of such an $R_{\mu\nu\rho\sigma}$ occurs when $C_{\mu\nu\rho\sigma} = 0$ and $R = 0$.

where

$$K \equiv \frac{1}{2} F_{\mu\nu} F^{\mu\nu} + \frac{1}{2} i F_{\mu\nu} \tilde{F}^{\mu\nu}.$$

In Corollary 3, two distinct cases arise according to whether $K = 0$ or $K \neq 0$. If $K = 0$ the electromagnetic field is said to be singular, and we have a radiation field. Hence in this case $\chi(\mathfrak{M}) = \overset{\circ}{\chi}(\mathfrak{M})$. If $K \neq 0$ the field is non-singular and the electromagnetic field is not a radiation field.

Each of the above corollaries follows directly by evaluating $4 T_{\mu\nu} T^{\mu\nu} - T^2$ for the indicated physical scheme. This computation is immediate in Corollaries 1 and 2, however in Corollary 3 it is useful to recall the involutory property of $T_{\mu\nu}$, i.e.

$$T_{\mu}{}^{\sigma} T_{\sigma\nu} = \frac{1}{4} |K|^2 g_{\mu\nu},$$

and the fact that $T \equiv g^{\mu\nu} T_{\mu\nu} = 0$ for arbitrary K .

The examples which we have considered imply that

$$(9) \quad \overset{\circ}{\chi}(\mathfrak{M}) \geq \chi(\mathfrak{M})$$

with the equality occurring only for a singular electromagnetic field. Thus intuitively if we regard the Euler-Poincaré characteristic as a rough measure of the number of "holes" in $\mathfrak{M}$, it appears that the effect of a physical scheme would be to close up some of the "holes" in $\mathfrak{M}$. This interpretation may be made more precise by recalling Hopf's famous theorem, [6], that the Euler-Poincaré characteristic is the sum of the indices of singularity of a vector field of class C^∞ having only isolated singularities on $\mathfrak{M}$. If we denote the indices of singularity of a vacuum and non-vacuum Einstein-Lorentzian manifold $\mathfrak{M}$ by i_a and j_b respectively, then

$$\overset{\circ}{\chi}(\mathfrak{M}) = \sum_a i_a, \quad \chi(\mathfrak{M}) = \sum_b j_b$$

and (9) becomes

$$(10) \quad \sum_a i_a \geq \sum_b j_b.$$

Suppose $\overset{\circ}{\chi}(\mathfrak{M}) = 0$. Then (9) requires that $\chi(\mathfrak{M}) \neq 0$ (unless the physical scheme is a singular electromagnetic field) and the vector field of class C^∞ on $\mathfrak{M}$ has singularities which are created by the physical scheme.

Suppose $\overset{\circ}{\chi}(\mathfrak{M}) \neq 0$. Then by the appropriate choice of a physical scheme, or by choosing particular values of the parameters in a given physical scheme, we can make

$$(11) \quad \overset{\circ}{\chi}(\mathfrak{M}) = \frac{\kappa^2}{8\pi^2} \int_{\mathfrak{M}} \{4 T_{\mu\nu} T^{\mu\nu} - T^2\} dv.$$

Thus in this case $\chi(\mathfrak{M}) = 0$. On the other hand if we do not make the choice indicated in (11), then $\chi(\mathfrak{M}) = 0$, and the value of $\sum_b j_b$ will increase or decrease according to the sign of $\sum_a i_a$.

4. We now consider the effect of a physical scheme on the Pontrjagin number, and we denote by $\mathring{p}[\mathfrak{M}]$ the value of (6) subject to the condition $R_{\mu\nu} = 0$.

THEOREM B. *Let $\mathfrak{M}$ be a compact orientable four dimensional Einstein-Lorentzian manifold of class C^∞ on which the Einstein equations (7) are valid. Then regardless of the physical scheme*

$$(12) \quad p[\mathfrak{M}] = \mathring{p}[\mathfrak{M}]$$

and hence

$$(13) \quad \tau[\mathfrak{M}] = \mathring{\tau}[\mathfrak{M}].$$

The proof follows by noting that the integrand of (5), namely $R_{\mu\nu\sigma\tau} R^{*\mu\nu\sigma\tau}$, is independent of $R_{\mu\nu}$ by virtue of our assumption on the structure of $R_{\mu\nu\sigma\tau}$. A direct verification of this fact can also be made by employing the spinor formalism of [9] to evaluate the integrand of (5). Equation (13) is just a restatement of (12) using the Hirzebruch-Thom formula (3). Hence the signature of the quadratic form generated by the cohomology cup product in $H^2(\mathfrak{M}; \mathbf{R})$ is unaffected by the introduction of a physical scheme in $\mathfrak{M}$.

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