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**A curve over a finite field, the number of whose  
points is not increased by a quadratic extension of  
the field, and sub-Hermitian forms**

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**Geometria.** — *A curve over a finite field, the number of whose points is not increased by a quadratic extension of the field, and sub-Hermitian forms.* Nota di JAMES W. P. HIRSCHFELD, presentata (\*) dal Socio B. SEGRE.

RIASSUNTO. — Mediante semplici considerazioni geometriche si dimostra che la curva esprimibile nel piano uguagliando a zero la somma delle potenze  $(q+1)^{\text{me}}$  delle coordinate contiene lo stesso numero di punti sui campi di Galois di ordinate  $q^2$  e  $q^4$ , numero dato precisamente da  $q^3 + 1$ . Il risultato viene poi esteso (da B. Segre) a forme sub-hermitiane arbitrarie.

Hermitian forms over finite fields have been the subject of recent papers by Segre [3] and Bose and Chakravarti [1]. For a suitable coordinate system, a Hermitian form in  $\text{PG}(r, p^{2k})$ —the projective geometry of  $r$  dimensions over the Galois field  $\text{GF}(p^{2k})$ —with index of singularity  $t$  can be written as

$$(1) \quad x_0 \bar{x}_0 + \cdots + x_{r-t} \bar{x}_{r-t} = 0$$

where  $\tau: x \rightarrow \bar{x} = x^{p^k}$  is the only involutory automorphism of  $\text{GF}(p^{2k})$ ; the form is non-singular if, and only if,  $t = 0$ . In particular, the non-singular Hermitian curve  $H$  in the plane  $\text{PG}(2, p^{2k})$  has equation

$$(2) \quad x_0^{p^k+1} + x_1^{p^k+1} + x_2^{p^k+1} = 0.$$

The curve  $H$  contains  $p^{3k} + 1$  points, [3] p. 44. Every line of the plane is either tangent to  $H$  with  $(p^k + 1)$ -point contact or meets  $H$  in exactly  $p^k + 1$  distinct points of the plane. Furthermore, Weil [4] has shown that the total number  $N$  of points on any algebraic curve satisfies the inequalities

$$\begin{aligned} L = q + 1 - (n-1)(n-2)\sqrt{q} &\leq q + 1 - 2g\sqrt{q} \leq N \leq \\ &\leq q + 1 + 2g\sqrt{q} \leq q + 1 + (n-1)(n-2)\sqrt{q} = U \end{aligned}$$

where  $n$  is the order and  $g$  the genus of the curve. For  $q = p^{2k}$  and  $n = p^k + 1$ ,  $U = p^{3k} + 1$ ; so, as Segre ([3] p. 44) observed, the Hermitian curve  $H$  attains the upper limit  $U$  of Weil's estimate.

Segre [2] has also considered the number of points on primals in  $\text{PG}(r, q)$  with equations of the form

$$(3) \quad a_0 x_0^n + \cdots + a_r x_r^n = 0$$

where  $n$  divides  $q - 1$ . In particular, the number of points on  $x_0^3 + x_1^3 + x_2^3 = 0$  over  $\text{GF}(q)$ , where  $q = p^h$ ,  $h$  is even and  $p \equiv -1 \pmod{3}$ , is shown ([2] p. 242) to be  $q + 1 - 2(-1)^{h/2}\sqrt{q}$ ; therefore, both over  $\text{GF}(4)$ , the Her-

(\*) Nella seduta dell'11 marzo 1967.

mitian case, and over  $\text{GF}(16)$ , the curve has 9 points. This phenomenon is repeated for the curve  $x_0^4 + x_1^4 + x_2^4 = 0$  over  $\text{GF}(q)$ , where  $q = q^h$ ,  $h$  is even and  $p \equiv 3 \pmod{4}$ , which is shown ([2] p. 247) to have  $q + 1 - 6(-1)^{h/2}\sqrt{q}$  points; thus, over  $\text{GF}(9)$ , the Hermitian case, and over  $\text{GF}(81)$ , the curve has 28 points.

The object of this Note is to show that the curve  $H'$  with equation (2) in the plane  $\text{PG}(2, p^{4k})$  has exactly  $p^{3k} + 1$  points, as  $H$  does, i.e. all the points of  $H'$  lie in a subplane  $\text{PG}(2, p^{2k})$ .

Firstly, it seems reasonable to call the curve  $H'$  in the plane  $\text{PG}(2, p^{4k})$  *sub-Hermitian*. It may be remarked that, if the proposed result is true, the number of points on  $H'$  attains the lower limit  $L$  of Weil's estimate, i.e. for  $q = p^{4k}$  and  $n = p^k + 1$ ,  $L = p^{3k} + 1$ .

To prove the result, take non-homogeneous coordinates  $(X, Y) = (x_1/x_0, x_2/x_0)$  in the plane and a point  $(A, B)$  on  $H'$ . Thus,  $H'$  has equation

$$(4) \quad X^{p^k+1} + Y^{p^k+1} + 1 = 0$$

and the tangent at  $(A, B)$  has equation

$$(5) \quad A^{p^k}X + B^{p^k}Y + 1 = 0;$$

this tangent meets  $H'$  where

$$X^{p^k+1} - A^{p^{2k}}X^{p^k} - A^{p^k}X + A^{p^k(p^k+1)} = 0,$$

$$\text{i.e.} \quad (X - A)^{p^k}(X - A^{p^{2k}}) = 0.$$

Substituting in (5), we obtain that the tangent meets the curve  $p^k$  times at  $(A, B)$  and once at  $(A^{p^{2k}}, B^{p^{2k}})$ . If it is not true that both  $A^{p^{2k}} = A$  and  $B^{p^{2k}} = B$ , then the two points are different. In this case, the tangent to  $H'$  at  $(A^{p^{2k}}, B^{p^{2k}})$  meets the curve  $p^k$  times at the point of contact and once at  $(A^{p^{4k}}, B^{p^{4k}})$ , which, the field being  $\text{GF}(p^{4k})$ , is our original point  $(A, B)$ . Thus, the tangent to  $H'$  at  $(A, B)$  is the same as the tangent at  $(A^{p^{2k}}, B^{p^{2k}})$  and meets  $H'$  at least  $2p^k$  times, giving a contradiction. Therefore, both  $A^{p^{2k}} = A$  and  $B^{p^{2k}} = B$ , the tangent at  $(A, B)$  has  $(p^k + 1)$ -point contact with  $H'$ , every point of  $H'$  lies in a subplane  $\text{PG}(2, p^{2k})$  and the number of points on (2) is the same in  $\text{PG}(2, p^{4k})$  as in  $\text{PG}(2, p^{2k})$ , viz.  $p^{3k} + 1$ .

The sub-Hermitian curve  $H'$  differs from the Hermitian curve  $H$  in that the  $\text{PG}(2, p^{2k})$  containing  $H$  has  $p^{3k} + 1$  lines which are 1-secant to  $H$  and  $p^{4k} - p^{3k} + p^{2k}$  lines which are  $(p^k + 1)$ -secant to  $H$ , whereas  $\text{PG}(2, p^{4k})$  has these lines as well as a further  $p^{7k} - p^{5k} + p^{4k} - p^{2k}$  lines which are 1-secant to  $H'$  and  $p^{8k} - p^{7k} + p^{5k} - p^{4k}$  lines which do not meet  $H'$  at all.

Professor Segre has pointed out to me the following extension (including also an alternative proof) of the results above.

Let  $H = H_{r,q}^t$  (\*) be any Hermitian form of  $S_{r,q}$ , having the speciality index  $t$  ( $\geq 0$ ). If  $P$  denotes an arbitrary point of the quadratic extension  $S_{r,q^2}$  of  $S_{r,q}$ , but not of  $S_{r,q}$ , then the conjugate  $P'$  of  $P$  in that extension is again a point of  $S_{r,q^2}$ , but not of  $S_{r,q}$ . The points  $P, P'$  are distinct and their join is a line of  $S_{r,q}$ ,  $l$  say; hence (B. Segre [3], § 29), either (i)  $l$  lies on  $H$ , or (ii)  $l$  meets  $H$  in  $\sqrt{q} + 1$  distinct points of  $S_{r,q}$ , or (iii)  $l$  meets  $H$  in a single point of  $S_{r,q}$  to be counted  $\sqrt{q} + 1$  times.

It follows that, if the above point  $P$  (and so also its conjugate point  $P'$ ) lies on the quadratic extension  $H^*$  of  $H$ , then (since  $H$  and  $H^*$  have the same order  $\sqrt{q} + 1$ ) (i) necessarily must occur. Therefore,

*The points of the sub-Hermitian form  $H^*$  are precisely those of the corresponding Hermitian form  $H$  and the points  $P$  of the lines  $l$  of  $H$ , if any, which are defined over the ground field  $GF(q^2)$  of  $H^*$ , but not over  $GF(q)$ .*

The numbers of points of either type are immediately obtainable from Segre [3], §§ 30–33, after having remarked that each of these lines  $l$  contains  $(q^2 + 1) - (q + 1) = q(q - 1)$  points  $P$ , while each  $P$  lies on just one  $l$ . In particular, if  $t = 0, r = 2$ , no such line  $l$  (hence no such point  $P$ ) exists, and so the only points of  $H^*$  are now those of  $H$ .

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(\*) We use the notation of [3].