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ARTHUR E. DANESE

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On a Characterization of Ultraspherical Polynomials

ARTHUR E. DANESE (Buffalo, N. Y., U. S. A)

Summary. - *It is shown that the only polynomials $\{p_n(x)\}$ with a generating function of the form*

$$e^{xz} \varphi(z\sqrt{1-x^2}) = \sum p_n(x) z^n/n!, \quad \varphi \text{ even}$$

are essentially the ultraspherical polynomials.

A well-known generating function for the normalized ultraspherical polynomials $F_n(x) = P_n^{(\lambda)}(x)/P_n^{(\lambda)}(1)$ is

$$\sum_{n=0}^{\infty} F_n(x) z^n/n! = 2^{\lambda-\frac{1}{2}} \Gamma\left(\lambda + \frac{1}{2}\right) e^{xz} \left[(1-x^2)^{\frac{1}{2}} z\right]^{\frac{1}{2}-\lambda} J_{\lambda-\frac{1}{2}}\left[(1-x^2)^{\frac{1}{2}} z\right], \quad \lambda > -\frac{1}{2},$$

where J_α is the BESSEL function of the first kind of order α . [3; for definitions see 5]. This generating function is of particular interest since its zeros are real; this fact enabled SZEGÖ to establish TURÁN'S inequality for ultraspherical polynomials [6].

We consider the generating function for the polynomials $\{p_n(x)\}$:

$$(1) \quad e^{xz} \varphi(z\sqrt{1-x^2}) = \sum_{n=0}^{\infty} p_n(x) z^n/n!.$$

where $\varphi(u)$ is even and expandible in a TAYLOR series about the origin φ in even and $\varphi(0) \neq 0$. Differentiating both sides of (1) first with respect to x and then with respect to z yields

$$(2) \quad e^{xz} \varphi(z\sqrt{1-x^2}) - \frac{x e^{xz} \varphi'(z\sqrt{1-x^2})}{1-x^2} = \sum_{n=1}^{\infty} p'_n(x) z^{n-1}/n!$$

$$(3) \quad x e^{xz} \varphi(z\sqrt{1-x^2}) + e^{xz} \sqrt{1-x^2} \varphi'(z\sqrt{1-x^2}) = \sum_{n=0}^{\infty} p_{n+1}(x) z^n/n!$$

Eliminating φ' in (2) and (3), we obtain

$$e^{xz} \varphi(z\sqrt{1-x^2}) - (1-x^2) \sum_{n=1}^{\infty} p'_n(x) z^{n-1}/n! = \sum_{n=0}^{\infty} x p_{n+1}(x) z^n/n!$$

and with (1)

$$\sum_{n=0}^{\infty} p_n(x) z^n/n! - (1-x^2) \sum_{n=1}^{\infty} p'_n(x) z^{n-1}/n! = \sum_{n=0}^{\infty} x p_{n+1}(x) z^n/n!$$

Equating coefficients of like powers of z yields

$$(4) \quad (1 - x^2)p'_{n+1}(x) = (n+1)[p_n(x) - xp_{n+1}(x)], \quad n = 0, 1, 2, \dots$$

The normalized ultraspherical polynomials $F_n(x)$ satisfy [5]

$$(5) \quad (1 - x^2)F'_{n+1}(x) = (n+1)[F_n(x) - xF_{n+1}(x)].$$

We now show that if $\{p_n(x)\}$ is any sequence of polynomials satisfying (4), then $p_n(x) = C_n F_n(x)$, $n = 0, 1, 2, \dots$ where C_n is a constant. We proceed by induction. Assume that $p_k(x) = C_k F_k(x)$, $k = 0, 1, 2, \dots, n$. Then we obtain from (4)

$$(1 - x^2)p'_{n+1}(x) = (n+1)[C_n F_n(x) - xp_{n+1}(x)]$$

which together with (5) yields

$$(1 - x^2)[p'_{n+1}(x) - C_n F'_{n+1}(x)] = -(n+1)[p_{n+1}(x) - C_n F_{n+1}(x)].$$

Letting $u_{n+1}(x) = p_{n+1}(x) - C_n F_{n+1}(x)$, we obtain the first order differential equation

$$(6) \quad (1 - x^2)u'_{n+1}(x) = -(n+1)xu_{n+1}(x).$$

The general solution of (6) is $u_{n+1}(x) = C'_{n+1}(1 - x^2)^{\frac{n+1}{2}}$. Hence $p_{n+1}(x) = C_{n+1}F_{n+1}(x) + C'_{n+1}(1 - x^2)^{\frac{n+1}{2}}$. Now $F_n(-x) = (-1)^n F_n(x)$ and since φ is even it follows from (1) that $p_n(-x) = (-1)^n p_n(x)$. Hence, necessarily $C'_{n+1} = 0$.

We have proved the

THEOREM: If $\{p_n(x)\}$ is a sequence of polynomials with generating function

$$e^{xz\varphi(z\sqrt{1-x^2})} = \sum_{n=0}^{\infty} p_n(x)z^n/n!,$$

$\varphi(u)$ even and expandible in TAYLOR series about $u = 0$, $\varphi(u) \neq 0$, then $p_n(x) = C_n F_n(x)$, $n = 0, 1, 2, \dots$, C_n a constant, where $F_n(x)$ is the normalized ultraspherical polynomial of degree n and order λ .

It would be interesting to characterize the polynomials with generating function $e^{f(xz)\varphi(z\sqrt{1-x^2})}$, where $f(u)$ is expandible in a TAYLOR series about the origin.

It is known that

$$\sum_{n=0}^{\infty} G_n(x)z^n/n! = \Gamma(\alpha + 1)e^{\alpha z}e^{-\alpha/2}J_{\alpha}[2(xz)^{\frac{1}{2}}],$$

$$\alpha > -1, G_n(x) = L_n^{(\alpha)}(x)/L_n^{(\alpha)}(0),$$

$L_n^{(\alpha)}(x)$ the LAGUERRE polynomial of degree n and order α [3]. It is also known that if $\{p_n(x)\}$ is a sequence of orthogonal polynomials such that $\sum p_n(x) \xi^n/n! = e^{\varphi(x\xi)}$, then the $p_n(x)$ are essentially the LAGUERRE polynomials [4,2]. Furthermore, if $\{f_n(x)\}$ is a set of orthogonal polynomials such that $\sum f_n(x) t^n/n! = \Phi(2xt + t^2)$, then $f_n(x)$ is essentially either the ultraspherical or HERMITE polynomial [1].

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