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Smbat Abian

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A proof and extension of Brouwer's fixed point theorem for the closed 2-cell.

Nota di SMBAT ABIAN (a Philadelphia, U.S.A.) (*) (1)

Summary. - The main result in this paper is Corollary 3. according to which if a continuous map f of a closed 2-cell E into Euclidean plane $R^2 \supset E$ maps the boundary of E into E then f leaves at least one point fixed.

A proof is given here (²) of the following extension of BROU-WER'S fixed point theorem for a closed circular disc. No use is made of the formal techniques of Topology. The results in this paper will later be extended and generalized in various ways.

THEOREM. – Let Z be a closed circular disc with circumference C in a Euclidean plane \mathbb{R}^{z} in which a positive sense for measurement of angles has been assigned, and f a continuous map of Z into \mathbb{R}^{z} which leaves no point of C fixed. If there exists a point z inside C and a constant angle α such that for no point $c \in C$ is α an angle from the vector \overline{c} , $\overline{f(c)}$ to the vector \overline{z} , \overline{c} then f leaves at least one point fixed.

It is clear that BROUWER'S theorem for the closed 2-cell, which is equivalent to the assertion that a continuous map of Z into itself has a fixed point, is an easy consequence of the theorem. In fact, it is enough to take z = 0 and let z be any point inside C. Also, we note that in the above theorem, f does not necessarily map. Z into Z as it is required in the classical case.

Before proving the theorem, we state the following special cases.

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COROLLARY 1. – Let Z be a closed circular disc in a Euclidean plane \mathbb{R}^2 , with center O and circumference C, and f a continuous map of Z into \mathbb{R}^2 which leaves no point of C fixed and such that

i) for no point $c\in C$ is the direction from c to f(c) the same as the direction from O to $c\,;$

or

ii) for no point $c \in C$ is the direction from c to f(c) the same as the direction from c to O.

Then f leaves at least one point fixed.

The corollary is obtained from the theorem by taking z at O and taking $\alpha = 0$ in Case *i*) and $\alpha = \pi$ radians in Case *ii*).

An immediate consequence of Corollary 1 with hypothesis i) is

COROLLARY 2. – If a continuous map f of the closed circular disc Z into $R^{\circ} \supset Z$ maps the circumference C of Z into Z, then f leaves at least one point fixed.

By virtue of the SCHOENFLIES theorem, modified to apply to a JORDAN curve and its exterior, Corollary 2 implies the following result, which has weaker hypotheses than the classical BROUWER fixed point theorem.

COROLLARY 3. – If a continuous map f of a closed 2-cell E into $\mathbb{R}^2 \supset \mathbb{E}$ maps the boundary of E into E, then f leaves at least one point fixed.

PROOF OF THE THEOREM. – In what follows, a given fixed directed axis X as initial direction is assumed for measurement of angles in \mathbb{R}^2 . Also, an angle and its radian measure will be denoted by the same symbol. The parameters t, s are real and range over the closed interval [0,1]. A continuous vector shall mean a continuous vector function of t in \mathbb{R}^2 . Continuous vectors are denoted here by U(t), V(t), $\Phi(t, s)$, etc.

Let U(t) be a continuous vector with length $|U(t)| \neq 0$. If $\widehat{U(t)}$ denotes an angle from the X direction to the direction of U(t), such that $0 \leq \widehat{U(0)} < 2\pi$ and $\widehat{U(t)}$ is continuous, it is clear that $\widehat{U(t)}$ is thus uniquely determined, single-valued and continuous. The notation $\widehat{U(t)}$ will henceforth be used only when $|U(t)| \neq 0$, U(t) is continuous, and with the stated conventions on continuty of U(t) and value of $\widehat{U(0)}$.

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LEMMA. – Let α be a real constant and U(t), V(t) two continuous vectors, with $|U(t)| \neq 0$, $|V(t)| \neq 0$, such that

(1)
$$\widehat{U(1)} = \widehat{U(0)} + 2m\pi, \quad \widehat{V(1)} = \widehat{V(0)} + 2n\pi, \quad (m, n \text{ integers})$$

and, for every integer k and every $t \in [0,1]$,

(2)
$$\widehat{V(t)} - \widehat{U(t)} \neq \alpha + 2k\pi.$$

Then

(3)
$$\widehat{U(1)} - \widehat{U(0)} = \widehat{V(1)} - \widehat{V(0)}.$$

Proof. – By (1)

(4)
$$\widehat{V(1)} - \widehat{U(1)} = \widehat{V(0)} - \widehat{U(0)} + 2(n-m)\pi.$$

Hence, if $m \neq n$, we see that

$$\max_{t} [\widehat{V(t)} - \widehat{U(t)}] - \min_{t} [\widehat{V(t)} - \widehat{U(t)}] \ge 2\pi.$$

Consequently, for some integer k and some t, the continuous function $\widehat{V(t)} - \widehat{U(t)}$ must assume the value $\alpha + 2k\pi$, contrary to 2). Therefore m = n. and (4) implies (3).

Continuing with the proof of the theorem, suppose now that f leaves no point of Z fixed. As t varies from 0 to 1, let the point c(t) describe C once at a uniform rate in the positive sense. so that c(0) = c(1). Then, from the hypotheses of the theorem we see easily that the two vectors $\overline{c(t)}, f(c(t)) \equiv F(t)$ and $\overline{z}, c(t) \equiv G(t)$ satisfy the hypotheses of the lemma, and therefore can be taken respectively as the vectors U(t), V(t) of the lemma. But obviously, $\widehat{G(1)} - \widehat{G(0)} = 2\pi$. Hence, by (3), we must also have

(5)
$$\widehat{F(1)} - \widehat{F(0)} = 2\pi.$$

Since there is no fixed point, there exists a circumference $C_1 \subset Z$ with center at z, so small that C_1 and $f(C_1)$ are contained in different half-planes into which R^2 is separated by some straight line. For $t \in [0, 1]$, let L(t) be the constant vector of lenght 1 in either of of the two directions on that straight line. Let the line segment joining z to c(t) intersect C_1 at the point $c_1(t)$. Moreover, as s varies from 0 to 1, let the point c(t, s) traverse the line segment joining c(t) to $c_1(t)$ at a uniform rate so that $c(t, 0) \equiv$ = c(t) and $c(t, 1) = c_1(t)$. This determines a deformation on Z of C into C.

For fixed s, the vector $\overline{c(t, s)}$, $\overline{f(c(t, s))} \equiv \Phi(t, s)$ is a continuous vector with lenght ± 0 . Furthermore, it is clear that $c(0, s) \equiv c(1, s)$. Hence

(6)
$$\widehat{\Phi(1, s)} - \widehat{\Phi(0, s)} = 2k(s)\pi,$$

where k(s) is an integer-valued function of s. Also, it is obvious that $\Phi(t, 0) = F(t)$, so that by (5) we have

(7)
$$\widehat{\Phi(1, 0)} - \widehat{\Phi(0, 0)} = 2\pi.$$

Now, for s_1 , s_2 , $t \in [0, 1]$, let $A(s_1, s_2, t)$ be the smallest nonnegative angle formed by $\Phi(t, s_1)$ and $\Phi(t, s_2)$. Since f is continuous and leaves no point fixed, we infer that A is continuous, hence uniformly continuous, in s_1 , s_2 , t. Therefore, given $\varepsilon > 0$, there corresponds $\delta > 0$ such that if $|s_1 - s_2| < \delta$, then

$$|A(s_1, s_2, t) - A(s_1, s_1, t)| < \varepsilon.$$

Taking $\varepsilon \leq \pi$ and noting that $A(s_1, s_1, t) = 0$, we have $A(s_1, s_2, t) < \pi$. Hence, in view of (6), $U(t) = \Phi(t, s_1)$, $V(t) = \Phi(t, s_2)$ satisfy the hypotheses of the lemma with $\alpha = \pi$. Therefore, by (3),

$$\widehat{\Phi(1)}, s_1) - \widehat{\Phi(0)}, s_1) = \widehat{\Phi(1)}, s_2) - \widehat{\Phi(0)}, s_2),$$

from which we conclude that $\Phi(1, s) - \Phi(0, s)$ is constant. From (7), we see that the constant value is 2π , and hence, taking s = 1, we have

(8)
$$\widehat{\Phi}(\widehat{1}, 1) - \widehat{\Phi}(\widehat{0}, 1) = 2\pi.$$

On the other hand, since C_1 and $f(C_1)$ are separated by a lineparallel to the constant vector L(t), the continuous vector $\Phi(t, 1) \equiv \overline{c(t, 1)}, f(c(t, 1))$ never has the same direction as L(t). Hence, in view of (8) and the constantness of the vector L(t), the lemma with $\alpha = 0$ is applicable to the two continuous vectors $\Phi(t, 1)$ and L(t), yielding

$$\widehat{\Phi(1, 1)} - \widehat{\Phi(0, 1)} = \widehat{L(1)} - \widehat{L(0)} = 0,$$

which contradicts (8). Thus, f has at least one fixed point. and the theorem is proved.

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