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The number of points on certain cubic surfaces over a finite field.

Nota di LEONARD CARLITZ (Durham, U. S. A.)

Sunto. - *Explicit formulas are found for the number of solutions in a finite field of the equation $ax^2 + by^2 + cz^2 + 2a'x + 2b'y + 2c'z = 2dxyz + e$.*

In a recent paper in this Bollettino, L. A. ROSATI [4] has determined the number of points on certain classes of cubic surfaces over a finite field $GF(q)$. Geometric characterizations are freely used. The present writer has proved [1] by a simple method that the number of solutions x, y, z of the equation

$$(1) \quad ax^2 + by^2 + cz^2 = 2dxyz + e \quad (abcd \neq 0)$$

is given by the formula

$$(2) \quad N = q^2 + 1 + q \{ \Psi(a) + \Psi(b) + \Psi(c) + \Psi(e) \} \cdot \Psi(d^2e - abc),$$

where q is odd and $\Psi(a) = +1, -1, 0$ according as a is a square, a non-square or zero of $GF(q)$. It is easily verified that the number of points on the surface

$$ax^2t + by^2t + cz^2t = 2dxdz + et^3$$

is $N + 3q$. For some similar results see [2], [3]. ROSATI has shown that the number of points on

$$(3) \quad axt^2 + byt^2 + czt^2 + xyz + 2et^3 = 0 \quad (abc \neq 0)$$

is given by

$$q^2 + 3q + 1 + q\Psi(e^2 + abc).$$

where again q is odd; this is equivalent to the result that the number of solutions of

$$(4) \quad ax + by + cz + xyz + 2e = 0$$

is equal to

$$(5) \quad q^2 + 1 + q\Psi(e^2 + abc).$$

It may be of interest to point out that it is not difficult to find the number of solution of

$$(6) \quad ax^2 + by^2 + cz^2 + 2a'x + 2b'y + 2c'z = 2dxyz + e.$$

There are a number of cases. If $c \neq 0$, the equation (6) may be written in the form

$$(cz - dxy + c')^2 = f(x, y),$$

where

$$(7) \quad f(x, y) = (d^2x^2 - bc)y^2 - 2(c'dx + b'c)y - (acx^2 + 2a'cx + c'^2 - ce).$$

Thus the number of solutions of (6) is the same as the number of solutions x, y, w of the equation

$$(8) \quad w^2 = f(x, y).$$

Clearly this number is equal to

$$\sum_{x, y} |1 + \Psi(f(x, y))| = q^2 + \sum_{x, y} \Psi(f(x, y)),$$

where the sums are over all $x, y \in GF(q)$.

We shall make use of the easily proved formula

$$(9) \quad \sum_y \Psi(y^2 + a) = \begin{cases} q - 1 & (a = 0) \\ -1 & (a \neq 0). \end{cases}$$

Let

$$(10) \quad S = \sum_{x, y} \Psi(f(x, y))$$

and put $S = S_1 + S_2$, where

$$(11) \quad S_1 = \sum_{\substack{x, y \\ d^2x^2 + bc}} \Psi(f(x, y)), \quad S_2 = \sum_{\substack{x, y \\ d^2x^2 + bc}} \Psi(f(x, y)).$$

To evaluate S_1 let

$$(12) \quad g(x) = (c'dx + b'c)^2 + (d^2x^2 - bc)(acx^2 + 2a'cx + c'^2 - ce),$$

so that

$$\begin{aligned} (13) \quad S_1 &= \sum_{d^2x^2 + bc} \Psi(d^2x^2 - bc) \sum_z \Psi \left\{ z^2 - \frac{g(x)}{(d^2x^2 - bc)^2} \right\} \\ &= (q - 1) \sum_{g(x)=0} \Psi(d^2x^2 - bc) - \sum_{g(x) \neq 0} \Psi(d^2x^2 - bc) \\ &= q \sum_{g(x)=0} \Psi(d^2x^2 - bc) - \sum_x \Psi(d^2x^2 - bc) \\ &= q \sum_{g(x)=0} \Psi(d^2x^2 - bc) - k, \end{aligned}$$

where $k = q - 1$ or -1 according as $bc = 0$ or $\neq 0$.

As for S_2 there are several possibilities. If the polynomials $d^2x^2 - bc$ and $c'dx + bc$ are relatively prime, that is if

$$\delta = cd^2(bc'^2 - b'^2c) \neq 0,$$

it follows at once that

$$(14) \quad S_2 = 0 \quad (\delta \neq 0).$$

However, when $\delta = 0$, there are a number of cases to consider. We assume that $cd \neq 0$. If $b' = c' = 0$, then

$$(15) \quad S_2 = q \sum_{d^2x^2 = bc} \Psi(-(acx^2 + 2a'cx - ce)),$$

while

$$(16) \quad S_2 = q\Psi(ab'^2c^3 - 2a'b'c'd + c'^4d^2 - cc'^2d^2e) \quad (c' \neq 0).$$

It therefore follows from (10), (11) and (13) that when $cd \neq 0$, the number of solutions of (6) is given by

$$(17) \quad N = q^2 + qT - k + S_2,$$

where

$$(18) \quad T = \sum_{g(x)=0} \Psi(d^2x^2 - bc),$$

$k = q - 1$ or -1 according as $b = 0$ or $\neq 0$, and S_2 is determined by (14), (15) and (16).

In particular, when $a' = b' = c' = 0$, we find that ($cd \neq 0$)

$$(19) \quad N = q^2 + q(\Psi(a) + \Psi(b) + \Psi(c) + \Psi(e))\Psi(e) - q + 1$$

if $a = 0$, $b \neq 0$, or $a \neq 0$, $b = 0$, while for $a = b = 0$ we have

$$(20) \quad N = \begin{cases} q^2 + q\Psi(ce) - q + 1 & (e \neq 0) \\ 2q^2 - 2q + 1 & (e = 0). \end{cases}$$

The case $ab \neq 0$ is covered by (2).

A word may be added about the equation

$$(21) \quad z^2 = x + ay^2 + (bx + c)y + dx^2 + 2ex + f \quad (a \neq 0).$$

By means of a linear transformation we may remove the term $(bx + c)y$. Then we find that the number of solutions of

$$(22) \quad z^2 = x + ay^2 + dx^2 + ex + f$$

is equal to

$$(23) \quad q^2 + q\Psi(a) \sum_{dx^2+2ex+f=0} \Psi(x).$$

It will be clear how equations somewhat more general than (21) can be treated in the same way.

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- [4] L. A. ROSATI, *Sul numero dei punti di una superficie cubica in uno spazio lineare finito*, « Bollettino della Unione Matematica Italiana », series 3, vol. 11 (1956), pp. 412-418.